

2022 Form 6X - Amendment to Full and Public Disclosure of Financial Interests

Filed with COE: 06/02/2024

General Information

Name: Maria Marino

PID 265869

AGENCY INFORMATION

Organization	Suborganization	Title
Pal Mar Water Control District	Board of Supervisors	Chairperson
Pal Mar Water Control District	Board of Supervisors	Commissioner
Palm Beach County	Elected Constitutional Officer	County Commissioner
Palm Beach County Solid Waste Authority	Solid Waste Authority, Palm Beach County	County Commissioner District 1
S Florida Regional Transportation Authority	Board Of Directors	County Commissioner
Treasure Coast Reg. Planning Council	Board of Supervisors	Commissioner
Treasure Coast Reg. Planning Council	Board of Supervisors	Vice Mayor

Net Worth

My Net Worth as of December 31, 2022 was \$ 1,570,509.00.

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Assets

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is \$ 130,000.00.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

Description of Asset	Value of Asset
3 Carrick Road, Palm Beach Gardens, FL 33418	\$ 950,000.00
43 Cottontail Lane, Jay, NY 12941	\$ 158,800.00
3021 Alcazar Place, Palm Beach Gardens, FL 33410	\$ 200,000.00
14 Elmcrest Terrace Properties LLC, PO Box 579, Wilton, CT 06897	\$ 121,027.00
Personal Checking, Bank of America	\$ 7,500.00
Personal Savings, Bank of America	\$ 40,000.00
FRS Retirement Plan	\$ 120,000.00
Roth IRA, Merrill Lynch	\$ 158,220.00
PSP Retirement Plan, Merrill Lynch	\$ 18,865.00
Annuity, Nationwide, Merrill Lynch	\$ 43,558.00
See Attached	

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Liabilities

LIABILITIES IN EXCESS OF \$1,000:

Name of Creditor	Address of Creditor	Amount of Liability
Bank of America	Bank of America, PO Box 672050, Dallas TX 75267	\$ 3,500.00
United Wholesale Mortgage	585 South Blvd. E., Pontiac MI 48341	\$ 286,000.00
Suntrust Bank	PO Box 79041, Baltimore, MD 21279	\$ 81,961.00
BMW Financial Services	1700 City View Drive, Columbus, Ohio, 43215	\$ 6,000.00

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income.

☐ I elect to file a copy of my 2022 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding \$1,000	Address of Source of Income	Amount
Palm Beach County	301 N. Olive Ave, WPB FL 33401	\$ 111,567.00
14 Elmcrest Properties LLC	PO Box 579 Wilton, CT 06897	\$ 5,530.00

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Business Entity	Major Sources of Business Income	Address	Principal Business Activity of Source
N/A			

Interests in Specified Businesses**Business Entity # 1**

N/A

Training

- ☒ I certify that I have completed the required training under Section 112.3142, F.S.
- ☐ Required training under Section 112.3142, F.S., not applicable to filer for this form year.

Amendment Reason

Explanation of changes why are you amending your previous form 6 submission?
Inclusion of documentation that was omitted by mistake for 2022

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6X and that the facts stated in it are true.

Maria Marino

Digitally signed: **06/02/2024**

Filed with COE: **06/02/2024**



Online at: www.mymerrill.com

Account Number:

24-Hour Assistance: (800) MERRILL

MARIA G MARINO
3 CARRICK RD
PALM BCH GDNS FL 33418-6836

Total Value:

\$43,558.93

Your Financial Advisor:
MARINO HALBRECHT GROUP
3507 KYOTO GARDENS DR STE 400
PALM BCH GRDNS FL 33410
1-800-937-0398

MARIA BBA

December 01, 2022 - December 30, 2022

	This Statement	Year to Date
Opening Value (12/01)	\$44,181.30	
Total Credits	-	-
Total Debits	-	(29.00)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(622.37)	(9,095.93)
Closing Value (12/30)	\$43,558.93	

ASSETS	December 30	November 30
Cash/Money Accounts	0.80	0.80
Fixed Income	-	-
Equities	-	-
Mutual Funds	-	-
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	0.80	0.80
TOTAL ASSETS	\$0.80	\$0.80

LIABILITIES	December 30	November 30
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$0.80	\$0.80
Assets Not Held/Valued By MLPF&S (2)	\$43,558.13	\$44,180.50
TOTAL VALUE	\$43,558.93	\$44,181.30

(2) Please see Assets Not Held/Valued By MLPF&S section for an important disclosure.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

MARIA BBA

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2022 - December 30, 2022

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	0.80	0.80		.80		
LONG PORTFOLIO		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income
TOTAL		0.80	0.80			

ASSETS NOT HELD/VALUED BY MLPF&S

The amount shown for Assets Not Held/Valued by MLPF&S is for informational purposes only, may vary from values (if any) provided by the issuer or others, and may change. These investments are generally illiquid, not listed on any securities exchange or NASDAQ market, and investors may not be able to sell them or realize amounts shown upon a sale or liquidation. Except for Individual Retirement accounts, MLPF&S does not hold or act as custodian of and has no responsibility to safekeep, monitor or value these investments and the investments are not registered in the name of nor held by MLPF&S or its nominees. MLPF&S makes no representation as to the accuracy of the value(s) provided, and the investments are not covered by SIPC.

CMA INSURANCE SERVICES

VARIABLE ANNUITY AND FIXED INDEXED ANNUITY CONTRACTS

		Contractual Benefit Information ¹				
Annuities	Tax Status	Contract Value	Cash Surrender Value	Protected Living Benefit Base Amount	Projected Annual Income Amount	Guaranteed Minimum Death Benefit
NON-ACHIEVER VA BONUS	NON-QUALIFIED	\$43,558.13	\$43,248.40	\$50,045.45	\$2,502.27	
POLICY NUMBER	ISSUE DATE 04/22/09	TOTAL PREMIUMS \$20,000.00	PRODUCT TYPE VARIABLE ANNUITY	CONTRACT VALUE AS OF 12/29/22		
CURRENT DEATH BENEFIT \$50,045.45						

MARIA BBA

Account Number:

24-Hour Assistance: (800) MERRILL

ASSETS NOT HELD/VALUED BY MLPF&S

December 01, 2022 - December 30, 2022

VARIABLE ANNUITY AND FIXED INDEXED ANNUITY CONTRACTS (continued)

UNDERLYING INVESTMENT INFORMATION:

Name	Units	Unit Price	Value (\$)
NW NVIT BLUEPRINTCAPAPP II	1,309.1639	16.709694	\$21,875.73
FIDVIP FREE 2020 SVC 2	1,075.6170	20.158103	\$21,682.40

TOTAL VARIABLE ANNUITY AND FIXED INDEXED ANNUITY CONTRACTS

\$43,558.13

¹ If present, values displayed in the Contractual Benefits section represent aspects of an annuity living benefit and/or death benefit feature associated with your contract. These annuity details are provided to assist you with determining the value of these benefits as of the date shown. The Protected Living Benefit Base Amount and Guaranteed Minimum Death Benefit values are not amounts you can withdraw as cash. The Protected Living Benefit Base Amount shown is used to determine the current year's living benefit income amount (Annual Income Amount). The actual Annual Income Amount may be more or less depending on the income start date, market fluctuations and withdrawals. The Guaranteed Minimum Death Benefit is the minimum death benefit payable under your contract. The actual annuity death benefit amount may be more or less depending on the date of death, market fluctuations and withdrawals. For more information regarding your benefits, please refer to your contract or prospectus.

This Insurance Services Summary is provided to you as a courtesy and is reported to you based upon information supplied by the issuing insurance company. Merrill Lynch does not verify the information provided by the insurance company. The official accounting of your life insurance or annuity contract values will be provided by the issuing insurance company in a separate statement. If the life insurance or annuity product is variable, the investments underlying the contract values are held in the insurance company's separate account, which is registered with the SEC under the Investment Company Act of 1940. If the insurance product is fixed or you have elected a fixed interest subaccount, these guarantees are provided by the issuing insurance company and are backed by its claims-paying ability. Insurance product(s) and any underlying variable product investment option(s) are not held in your Merrill Lynch CMA Account, and are not covered by SIPC protection. Any questions concerning your contract should be directed to the issuing insurance company. Insurance and annuity contract guarantees and benefits, including rider guarantees and annuity payout rates, are not backed by Merrill, or any of its affiliates, and none of them makes any representations or guarantees regarding the claims-paying ability of the issuing insurance company.

Merrill Lynch makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation (BoFA Corp). Merrill Lynch Life Agency Inc. is a licensed insurance agency and a wholly owned subsidiary of BoFA Corp. MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp.

Investment products offered through MLPF&S and insurance and annuity products offered through Merrill Lynch Life Agency Inc.:

Are Not FDIC Insured	Are Not Bank Guaranteed	May Lose Value
Are Not Deposits	Are Not Insured By Any Federal Government Agency	Are Not a Condition to Any Banking Service or Activity

Online at: www.mymerrill.com

Account Number:

FLORIDA GOLF LIFESTYLE LLC
FBO MARIA G. MARINO
U/A 12/22/2005
3 CARRICK RD
PALM BCH GDNS FL 33418-6836

24-Hour Assistance: (866) 4MIBUSINESS



Net Portfolio Value:

\$18,865.30

Your Financial Advisor:

MARINO HALBRECHT GROUP
3507 KYOTO GARDENS DR STE 400
PALM BCH GRDNS FL 33410
1-800-937-0398

MARIA PSP

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2022 - December 30, 2022

	This Statement	Year to Date
Opening Value (12/01)	\$20,061.03	
Total Credits	68.08	242.69
Total Debits	(1.79)	(24.82)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(1,262.02)	(5,519.98)
Closing Value (12/30)	\$18,865.30	

ASSETS	December 30	November 30
Cash/Money Accounts	2,207.48	2,141.19
Fixed Income	-	-
Equities	-	-
Mutual Funds	16,657.82	17,919.84
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	18,865.30	20,061.03
TOTAL ASSETS	\$18,865.30	\$20,061.03
LIABILITIES		
Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$18,865.30	\$20,061.03

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MARIA PSP

Account Number:

MERRILL LYNCH INVESTMENT ADVISORY PROGRAM

December 01, 2022 - December 30, 2022

This Account is enrolled in the Merrill Lynch Investment Advisory Program (Program) and your Financial Advisor(s) is acting in a fiduciary capacity in providing services under the Program. The Program Fee for this Account is calculated as described in the Client Agreement and the Program ADV Brochure (Brochure).

For this Account, the Program Fee is comprised of the Merrill Lynch Fee. The Merrill Lynch Fee is the fee charged by Merrill for the Program services based on the fee rate determined as provided in the Brochure and set forth in your Program Report sent to you upon enrollment or as updated in any updated Program Report. The dollar amount of the Merrill Lynch Fee for this month is reflected in this statement.

Upon request, and at no charge, we will provide more detailed information regarding the calculation of the Program Fee for the billing period indicated. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Account or reasonably modify existing restrictions.

If you would like to receive a free copy of the Brochure, please ask your Financial Advisor(s). You may also access the Brochure at www.mymerrill.com/ADV/Materials or www.ml.com/relationships. You may also obtain a copy of the Brochure by accessing the SEC's website at www.adviserinfo.sec.gov.

YOUR RCMA ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	12.48	12.48		12.48					
BLF FEDFUND CASH RESERVE	2,195.00	2,195.00	1.0000	2,195.00	76	3.47			
TOTAL		2,207.48		2,207.48	76	3.47			
MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	
INVESTCO QQQ TR SER 1	19,0000	1,915.11	266.2800	5,059.32	3,144.21	1,915	3,144	41	
CURRENT YIELD 0.801% SYMBOL: QQQ Initial Purchase: 11/12/12 Equity 100%									
ISHARES EDGE MSCI	85,0000	6,357.80	113.9600	9,686.60	3,328.80	6,357	3,328	155	
USA QUALITY FACTOR ETF CURRENT YIELD 1.591% SYMBOL: QUAL Initial Purchase: 01/20/17 Equity 100%									
VANGUARD TOTAL STK MKT	10,0000	2,129.50	191.1900	1,911.90	(217.60)	2,129	(217)	32	
ETF CURRENT YIELD 1.664% SYMBOL: VTI Initial Purchase: 06/01/21 Equity 100%									

MARIA PSP

Account Number:

24-Hour Assistance: (866) 4MIBUSINESS

YOUR RCMA ASSETS

December 01, 2022 - December 30, 2022

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPS (continued)		Total		Estimated		Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
Description	Quantity	Cost Basis	Market Price	Market Value					
Subtotal (Equities)				16,657.82		6,255.41		6,255	228
TOTAL	YIELD 1.37%	10,402.41		16,657.82					

LONG PORTFOLIO									
		Adjusted/Total		Estimated		Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated	
		Cost Basis	Market Price	Market Value	Market Price			Annual Income	
TOTAL		YIELD 1.61%		12,609.89		18,865.30	6,255.41	304	

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor, and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.



Online at: www.mymerrill.com

Account Number:

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO
MARIA G MARINO RRA
FBO MARIA G MARINO
3 CARRICK RD
PALM BCH GDNS FL 33418-6836

Net Portfolio Value:

\$158,220.07

Your Financial Advisor:
MARINO HALBRECHT GROUP
3507 KYOTO GARDENS DR STE 400
PALM BCH GRDNS FL 33410
1-800-937-0398

MARIA ROTH IRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2022 - December 30, 2022

	This Statement	Year to Date
Opening Value (12/01)	\$164,799.99	
Total Credits	9,593.08	12,659.65
Total Debits	(9.32)	(110.32)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(16,163.68)	(46,122.44)
Closing Value (12/30)	\$158,220.07	

ASSETS	December 30	November 30
Cash/Money Accounts	3,739.28	3,586.70
Fixed Income	-	-
Equities	-	-
Mutual Funds	154,480.79	161,213.29
Options	-	-
Other/Annuities/Insurance	-	-
Subtotal (Long Portfolio)	158,220.07	164,799.99
TOTAL ASSETS	\$158,220.07	\$164,799.99
LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$158,220.07	\$164,799.99

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MARIA ROTH IRA

Account Number:

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2022 - December 30, 2022

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MERRILL LYNCH INVESTMENT ADVISORY PROGRAM

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YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Market Value	Annual Income	Est. Annual Yield%
+BANK OF AMERICA, NA RASP	3,739.28	3,739.28	1.0000	3,739.28			40	1.06
+FIDIC INSURED NOT SIPC COVERED								
MUTUAL FUNDS/CLOSED END FUNDS/UNITS/ETPS		Total	Estimated	Estimated	Estimated	Estimated	Estimated	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Market Value	Annual Income	Est. Annual Yield%
BLACKROCK EOTY DIVIDEND	909,7440	18,535.34	18.2800	16,630.11	(1,905.23)	5,901	10,728	292

MARIA ROTH IRA

Account Number:

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2022 - December 30, 2022

MUTUAL FUNDS/CLOSED END FUNDS/UTS/ETPs (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Income
FUND INSTL CURRENT YIELD 1.750% SYMBOL: MADVX Initial Purchase: 10/21/11 Equity 100%								
INVESCO RISING	819.2410	15,920.25	22.1600	18,154.40	2,234.15	6,664	11,489	231
DIVIDENDS FUND CL Y CURRENT YIELD 1.267% SYMBOL: OYRDX Initial Purchase: 05/05/11 Equity 100%								
ISHARES RUSSELL 1000	43.0000	4,684.51	214.2400	9,212.32	4,527.81	4,684	4,527	84
GROWTH CURRENT YIELD 0.909% SYMBOL: IMF Initial Purchase: 02/10/14 Equity 100%								
ISHARES RUSSELL 1000	129.0000	15,477.24	151.6500	19,562.85	4,085.61	15,477	4,085	420
VALUE CURRENT YIELD 2.145% SYMBOL: IMD Initial Purchase: 02/10/14 Equity 100%								
JANUS HENDERSON	27.1360	3,875.71	120.6300	3,273.42	(602.29)	2,660	613	
ENTERPRISE FUND I SYMBOL: JMGRX Initial Purchase: 12/03/19 Equity 100%								
JOHN HANCOCK CLASSIC	301.6020	7,209.06	31.1400	9,391.89	2,182.83	4,483	4,908	211
VALUE FUND CL I CURRENT YIELD 2.242% SYMBOL: JCVIX Initial Purchase: 01/08/13 Equity 100%								
LAZARD EMERGING MARKETS	193.3010	3,560.06	14.5700	2,816.41	(743.65)	2,471	344	167
EQUITY PTEL CL INSTL CURRENT YIELD 5.916% SYMBOL: LZEMX Initial Purchase: 02/24/12 Equity 100%								
LOOMIS SAYLES GROWTH	1,317.5080	22,633.44	16.2800	21,449.01	(1,184.43)	15,954	5,495	
FUND CL Y SYMBOL: LSGRX Initial Purchase: 07/23/18 Equity 100%								
MAINSTAY WINSLOW LARGE	2,494.7390	24,617.56	8.2100	20,481.81	(4,135.75)	6,127	14,354	33
CAP GROWTH FUND CL I CURRENT YIELD 0.159% SYMBOL: MLAIIX Initial Purchase: 02/03/11 Equity 100%								
MFS TOTAL RETURN BOND	737.8530	7,978.08	9.3000	6,862.01	(1,116.07)	5,800	1,061	191
FUND CL I CURRENT YIELD 2.776% SYMBOL: MRBIX Initial Purchase: 05/24/13 Fixed Income 100%								
MIROVA GLOBAL	623.0520	9,856.03	15.3600	9,570.07	(285.96)	8,000	1,570	71
SUSTAINABLE EQUITY FUND Y CURRENT YIELD 0.738% SYMBOL: ESGYX Initial Purchase: 05/28/20 Equity 100%								
NEUBERGER BERMAN HIGH	427.4010	3,822.17	7.2700	3,107.21	(714.96)	1,822	1,284	185
INCOME BOND FUND CL INST CURRENT YIELD 5.922% SYMBOL: NHILX Initial Purchase: 10/05/11 Fixed Income 100%								
PIMCO INCOME FUND CL I2	1,143.0490	13,619.71	10.3500	11,830.53	(1,789.18)	10,421	1,408	615
CURRENT YIELD 5.194% SYMBOL: PONPX Initial Purchase: 06/05/17 Fixed Income 100%								
PRINCIPAL SPECTRUM PREF	125.0000	2,241.25	17.1100	2,138.75	(102.50)	2,241	(102)	99
SECURITIES ACTIVE ETF CURRENT YIELD 4.628% SYMBOL: PREF Initial Purchase: 05/31/22 Fixed Income 100%								
Subtotal (Fixed Income)				23,938.50				
Subtotal (Equities)				130,542.29				

+

MARIA ROTH IRA

Account Number:

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2022 - December 30, 2022

MUTUAL FUNDS/CLOSED END FUNDS/UNITs/ETPs (continued)	Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
TOTAL			154,030.41		154,480.79	450.38		61,764	2,599

LONG PORTFOLIO	YIELD 1.68%	Adjusted/Total Cost Basis	Estimated Market/Contract Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income
TOTAL		157,769.69	158,220.07	450.38		2,638

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Sales Charge Discounts or Waivers: Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

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Notes

✦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".