

2023 Form 6X - Amendment to Full and Public Disclosure of Financial Interests

Filed with COE: 05/14/2024

General Information

Name: Ms R. Joyce Griffin

PID 243437

AGENCY INFORMATION

Organization	Suborganization	Title
Monroe County	Elected Constitutional Officer	Supervisor of Elections

Net Worth

This section not amended by filer.

Assets

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is N/A.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

Description of Asset	Value of Asset
This section not amended by filer.	

Liabilities

LIABILITIES IN EXCESS OF \$1,000:

Name of Creditor	Address of Creditor	Amount of Liability
This section not amended by filer.		

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
This section not amended by filer.		

Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2023 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2023 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding \$1,000	Address of Source of Income	Amount
See Attached		

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Name of Business Entity	Name of Major Sources of Business' Income	Address of Source	Principal Business Activity of Source
This section not amended by filer.			

Interests in Specified Businesses**Business Entity # 1**

This section not amended by filer.

Training

This section applies only to a Constitutional or elected municipal officer, each of whom are required to complete annual ethics training pursuant to Section 112.3142, F.S.

☒ I certify that I have completed the required training under Section 112.3142, F.S.

☐ Required training under Section 112.3142, F.S., not applicable to filer for this form year.

Amendment Reason

Explanation of changes why are you amending your previous form 6 submission?
Attachment did not get upload.

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6X and that the facts stated in it are true.

R. Joyce Griffin

Digitally signed: 05/14/2024

Filed with COE: 05/14/2024

Raymond James & Associates, Inc.

880 Carillon Parkway
Saint Petersburg, FL 33716
Customer Service: 800-647-7378

Tax Information

Account

Roslyn Joyce Griffin
2816 Seidenberg Ave
Key West, FL 33040-4052

Statement Date: 02/28/2024
Document ID: ~~000000000000~~

2023

THOMAS NITTI
305-294-9575
tom.nitti@raymondjames.com
Office Code: 32G
Rep Code: 31X6

PAYER'S TIN: 59-1237041

RECIPIENT'S TIN: XXX-XX-6869

11- [] FATCA filing requirement (see instructions)

Summary Information

13- [] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2023 1099-DIV*

OMB No. 1545-0110

MISCELLANEOUS INFORMATION

2023 1099-MISC* OMB No. 1545-0115

1a- Total ordinary dividends (includes lines 1b, 5, 2e) 15,653.53
1b- Qualified dividends 2,512.40
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 343.96
2b- Unrecaptured Section 1250 gain 0.00
2c- Section 1202 gain 0.00
2d- Collectibles (28%) gain 0.00
2e- Section 897 ordinary dividends 0.00
2f- Section 897 capital gain 0.00
3- Nondividend distributions 0.00
4- Federal income tax withheld 0.00
5- Section 199A dividends 375.81
6- Investment expenses 0.00
8- Foreign country or US possession: See detail 54.66
9- Cash liquidation distributions 0.00
10- Noncash liquidation distributions 0.00
12- Exempt-interest dividends (includes line 13) 1,710.50
13- Specified private activity bond interest dividends (AMT) 184.50

SECTION 1256 CONTRACTS

2023 1099-B*

OMB No. 1545-0715

2- Royalties 0.00
3- Other income 0.00
4- Federal income tax withheld 0.00
8- Substitute payments in lieu of dividends or interest 0.00
8- Profit or (loss) realized in 2023 on closed contracts 0.00
9- Unrealized profit or (loss) on open contracts-12/31/2022 0.00
10- Unrealized profit or (loss) on open contracts-12/31/2023 0.00
11- Aggregate profit or (loss) on contracts 0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	76,142.27	75,824.86	0.00	0.00	317.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	76,142.27	75,824.86	0.00	0.00	317.41
Long	D (basis reported to the IRS)	202,417.53	210,320.13	0.00	0.00	-7,902.60
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	202,417.53	210,320.13	0.00	0.00	-7,902.60
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	278,559.80	286,144.99	0.00	0.00	-7,585.19

Withholding

Federal income tax withheld

Amount

0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2023 may require an amended tax form.

Summary Information

(continued)

2023

02/28/2024

Account

INTEREST INCOME

2023 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	50.47
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession:	6- Foreign tax paid:
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail
FATCA filing requirement []	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UJT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	5,479.19
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Proceeds from Broker and Barter Exchange Transactions

2023 1099-B* OMB No. 1545-0715

02/28/2024

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkbox, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkbox to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF". The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 3)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
 "Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GATEWAY FUND CL Y N/L - NATIXIS ADVISOR / CUSIP: 367829884 / Symbol: GTEYX	07/03/23	175,688	6,916.83	07/12/22	6,275.57	0.00	641.26	Sale
ISHARES TR MSCI EAFE ETF / CUSIP: 464287465 / Symbol: EFA								
3 transactions for 04/27/23. Total proceeds and cost reported to the IRS.								
		22,000	1,616.99	06/23/22	1,362.02	0.00	254.97	1 of 3 - Sale
		32,000	2,351.98	07/12/22	1,973.68	0.00	378.30	2 of 3 - Sale
		7,000	514.49	07/28/22	453.25	0.00	61.24	3 of 3 - Sale
04/27/23		61,000	4,483.46	Various	3,788.95	0.00	694.51	Total of 3 transactions
ISHARES TR RUS 1000 GRW ETF / CUSIP: 464287614 / Symbol: IWF								
05/22/23		9,000	2,296.69	06/23/22	1,968.75	0.00	327.94	Sale

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2023 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

(continued)

Account XXXXXXXXXX
02/28/2024

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)/Loss or (Net)	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IShares TR SHORT TREAS BD / CUSIP: 464288679 / Symbol: SHV								
05/30/23		32,000	3,532.13	04/12/23	3,527.36	0.00	4.77	Sale
4 transactions for 08/15/23. Total proceeds and cost reported to the IRS.								
		50,000	5,510.45	04/12/23	5,511.50	0.00	-1.05	1 of 4 - Sale
		50,000	5,510.46	04/18/23	5,514.25	0.00	-3.79	2 of 4 - Sale
		50,000	5,510.45	04/27/23	5,521.75	0.00	-11.30	3 of 4 - Sale
		49,000	5,400.25	05/22/23	5,404.46	0.00	-4.21	4 of 4 - Sale
08/15/23		199,000	21,931.61	Various	21,951.96	0.00	-20.35	Total of 4 transactions
		Security total:	25,463.74		25,479.32		-15.58	
LISTED FUNDS TRUST CORE ALT ETF / CUSIP: 53656F847 / Symbol: CCOR								
09/29/23		672,000	18,573.93	08/14/23	19,030.97	0.00	-457.04	Sale
NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I NL / CUSIP: 67065Q772 / Symbol: NHMRX								
05/22/23		97,965	1,457.57	06/23/22	1,489.89	0.00	-32.32	Sale
NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I NL / CUSIP: 67065Q855 / Symbol: FAARX								
05/23/23		87,581	875.81	06/23/22	888.95	0.00	-13.14	Sale
PIMCO INCOME FUND CL I2 NL / CUSIP: 72201M719 / Symbol: PONPX								
05/01/23		247,492	2,576.39	06/23/22	2,635.79	0.00	-59.40	Sale
VANECK GOLD MINERS ETF / CUSIP: 92189F106 / Symbol: GDX								
3 transactions for 04/05/23. Total proceeds and cost reported to the IRS.								
		20,000	684.39	06/23/22	601.80	0.00	82.59	1 of 3 - Sale
		60,000	2,053.18	07/12/22	1,579.79	0.00	473.39	2 of 3 - Sale
		13,000	444.86	07/28/22	335.00	0.00	109.86	3 of 3 - Sale
04/05/23		93,000	3,182.43	Various	2,516.59	0.00	665.84	Total of 3 transactions
VANGUARD EXTENDED DURATION TREASURY ETF / CUSIP: 921910709 / Symbol: EDV								
2 transactions for 08/02/23. Total proceeds and cost reported to the IRS.								
		69,000	5,475.11	09/19/22	6,370.49	0.00	-895.38	1 of 2 - Sale
		61,000	4,840.31	09/29/22	5,379.59	0.00	-539.28	2 of 2 - Sale
08/02/23		130,000	10,315.42	Various	11,750.08	0.00	-1,434.66	Total of 2 transactions
Totals :			76,142.27		75,824.86		317.41	

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2023 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account

(continued)

02/28/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
 Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)
 *Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G) gross or (N) Net	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALAMOS MKT NEUTRAL INCOME FUND CL I N/L / CUSIP: 128119880 / Symbol: CMNIX								
2 transactions for 04/12/23. Total proceeds and cost reported to the IRS.								
	04/12/23	264,085	3,750.01	06/22/21	3,750.00	0.00	0.01	1 of 2 - Sale
		213,872	3,036.98	07/08/21	3,045.54	0.00	-8.56	2 of 2 - Sale
		477,957	6,786.99	Various	6,795.54	0.00	-8.55	Total of 2 transactions
5 transactions for 12/06/23. Total proceeds and cost reported to the IRS.								
	12/06/23	1,098	16.23	Various	15.79	0.00	0.44	1 of 5 - Sale
		49,895	737.45	07/08/21	710.50	0.00	26.95	2 of 5 - Sale
		507,403	7,499.41	01/20/22	7,301.53	0.00	197.88	3 of 5 - Sale
		225,263	3,329.39	06/23/22	3,045.55	0.00	283.84	4 of 5 - Sale
		542,339	8,015.77	07/12/22	7,332.43	0.00	683.34	5 of 5 - Sale
		1,325,998	19,598.25	Various	18,405.80	0.00	1,192.45	Total of 5 transactions
		Security total:	26,385.24		25,201.34		1,183.90	
GATEWAY FUND CL Y N/L - NATIXIS ADVISOR / CUSIP: 367829884 / Symbol: GTEYX								
3 transactions for 04/18/23. Total proceeds and cost reported to the IRS.								
	04/18/23	95,737	3,610.24	06/22/21	3,750.00	0.00	-139.76	1 of 3 - Sale
		0.183	6.90	06/25/21	7.16	0.00	-0.26	2 of 3 - Sale
		79,635	3,003.04	07/08/21	3,133.63	0.00	-130.59	3 of 3 - Sale
		175,555	6,620.18	Various	6,890.79	0.00	-270.61	Total of 3 transactions
4 transactions for 07/03/23. Total proceeds and cost reported to the IRS.								
	07/03/23	15,398	606.22	07/08/21	605.91	0.00	0.31	1 of 4 - Sale
		0.402	15.83	09/23/21	16.00	0.00	-0.17	2 of 4 - Sale
		185,246	7,293.13	01/20/22	7,313.51	0.00	-20.38	3 of 4 - Sale
		104,704	4,122.20	06/23/22	3,717.00	0.00	405.20	4 of 4 - Sale
		305,750	12,037.38	Various	11,652.42	0.00	384.96	Total of 4 transactions
2 transactions for 08/14/23. Total proceeds and cost reported to the IRS.								
	08/14/23	26,469	1,048.44	07/12/22	945.47	0.00	102.97	1 of 2 - Sale
		454,969	18,021.32	07/28/22	16,738.30	0.00	1,283.02	2 of 2 - Sale
		481,438	19,069.76	Various	17,683.77	0.00	1,385.99	Total of 2 transactions
		Security total:	37,727.32		36,226.98		1,500.34	

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2023 1099-B* OMB No. 1545-0715

Account [REDACTED]

02/28/2024

Proceeds from Broker and Barter Exchange Transactions (continued)

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date
sold or
disposed

1d- Proceeds &
6- Reported
(G)ross or (N)et
acquired

1b- Date
acquired

1e- Cost or
other basis

1f- Accrued mkt disc (D) &
1g- Wash sale loss
disallowed (W)

7- Loss not allowed (X)
also not reported (Z)

Additional information

ISHARES TR MSCI EAFE ETF / CUSIP: 464287465 / Symbol: EFA

3 transactions for 04/27/23. Total proceeds and cost reported to the IRS.

1c- Date	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et acquired	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
04/27/23	12.000	881.99	06/22/21	955.14	0.00	-73.15	1 of 3 - Sale
	13.000	955.49	07/08/21	1,014.00	0.00	-58.51	2 of 3 - Sale
	26.000	1,910.99	01/20/22	2,028.91	0.00	-117.92	3 of 3 - Sale
	51.000	3,748.47	Various	3,998.05	0.00	-249.58	Total of 3 transactions

ISHARES TR RUS 1000 GRW ETF / CUSIP: 464287614 / Symbol: IWF

4 transactions for 05/22/23. Total proceeds and cost reported to the IRS.

1c- Date	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et acquired	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
05/22/23	1.000	255.19	06/22/21	267.27	0.00	-12.08	1 of 4 - Sale
	1.000	255.19	07/08/21	273.50	0.00	-18.31	2 of 4 - Sale
	3.000	765.56	01/20/22	845.15	0.00	-79.59	3 of 4 - Sale
	15.000	3,827.82	01/24/22	3,983.47	0.00	-155.65	4 of 4 - Sale
	20.000	5,103.76	Various	5,369.39	0.00	-265.63	Total of 4 transactions

ISHARES TR ISHS 1-5YR INVS / CUSIP: 464288646 / Symbol: IGSB

3 transactions for 08/18/23. Total proceeds and cost reported to the IRS.

1c- Date	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et acquired	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
08/18/23	25.000	1,249.36	06/26/21	1,355.15	0.00	-105.79	1 of 3 - Sale
	154.000	7,696.02	07/03/22	7,811.07	0.00	-115.05	2 of 3 - Sale ³¹
	58.000	2,898.50	07/12/22	2,932.91	0.00	-34.41	3 of 3 - Sale
	237.000	11,843.88	Various	12,099.13	0.00	-255.25	Total of 3 transactions

NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I NIL / CUSIP: 67065Q772 / Symbol: NHMRX

2 transactions for 05/22/23. Total proceeds and cost reported to the IRS.

1c- Date	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et acquired	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
05/22/23	63.398	943.36	01/20/22	1,156.38	0.00	-213.02	1 of 2 - Sale
	719.134	10,700.72	07/03/22	11,168.15	0.00	-467.43	2 of 2 - Sale ³¹
	782.532	11,644.08	Various	12,324.53	0.00	-680.45	Total of 2 transactions

NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I NIL / CUSIP: 67065Q855 / Symbol: FAARX

9 transactions for 05/23/23. Total proceeds and cost reported to the IRS.

1c- Date	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et acquired	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
05/23/23	326.144	3,261.44	06/02/21	4,024.62	0.00	-763.18	1 of 9 - Sale
	294.175	2,941.75	06/22/21	3,647.77	0.00	-706.02	2 of 9 - Sale
	1.627	16.27	07/17/21	20.16	0.00	-3.89	3 of 9 - Sale
	1.906	19.06	08/16/21	23.42	0.00	-4.36	4 of 9 - Sale
	1.939	19.39	09/14/21	23.48	0.00	-4.09	5 of 9 - Sale

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2023 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account 02/28/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)
Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G) gross or (N) net	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
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NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I NL / CUSIP: 67065G855 / Symbol: FAARX (cont'd)	1.952	19.52	10/16/21	23.54	0.00	-4.02	6 of 9 - Sale
	1.933	19.33	11/15/21	23.60	0.00	-4.27	7 of 9 - Sale
	3,005.190	30,051.90	07/03/22	30,863.30	0.00	-811.40	8 of 9 - Sale 31
	12.536	125.36	07/03/22	128.74	0.00	-3.38	9 of 9 - Sale 31
05/23/23	3,647.402	36,474.02	Various	38,778.63	0.00	-2,304.61	Total of 9 transactions
PIMCO INCOME FUND CL I2 NL / CUSIP: 72201M719 / Symbol: PONPX							

12 transactions for 05/01/23. Total proceeds and cost reported to the IRS.

	233.295	2,428.60	06/06/21	2,755.21	0.00	-326.61	1 of 12 - Sale
	0.201	2.09	06/15/21	2.38	0.00	-0.29	2 of 12 - Sale
	226.405	2,356.87	06/22/21	2,671.59	0.00	-314.72	3 of 12 - Sale
	1.301	13.54	07/17/21	15.39	0.00	-1.85	4 of 12 - Sale
	1.488	15.49	08/16/21	17.60	0.00	-2.11	5 of 12 - Sale
	1.498	15.59	09/14/21	17.65	0.00	-2.06	6 of 12 - Sale
	1.515	15.77	10/16/21	17.70	0.00	-1.93	7 of 12 - Sale
	1.532	15.95	11/15/21	17.76	0.00	-1.81	8 of 12 - Sale
	55.855	581.46	01/04/22	645.13	0.00	-63.67	9 of 12 - Sale
	33.461	348.33	01/20/22	395.17	0.00	-46.84	10 of 12 - Sale
	1,421.755	14,800.47	07/03/22	15,141.69	0.00	-341.22	11 of 12 - Sale 31
	625.262	6,508.98	07/03/22	6,659.04	0.00	-150.06	12 of 12 - Sale 31
05/01/23	2,603.568	27,103.14	Various	28,356.31	0.00	-1,253.17	Total of 12 transactions
VANECK GOLD MINERS ETF / CUSIP: 92189F106 / Symbol: GDX							

3 transactions for 04/05/23. Total proceeds and cost reported to the IRS.

	25.000	855.49	06/22/21	865.73	0.00	-10.24	1 of 3 - Sale
	11,000	376.42	07/08/21	380.60	0.00	-4.18	2 of 3 - Sale
	40,000	1,368.79	01/20/22	1,311.40	0.00	57.39	3 of 3 - Sale
04/05/23	76,000	2,600.70	Various	2,557.73	0.00	42.97	Total of 3 transactions

VANGUARD EXTENDED DURATION TREASURY ETF / CUSIP: 921910709 / Symbol: EDV

9 transactions for 08/02/23. Total proceeds and cost reported to the IRS.

	11,000	872.84	06/06/21	1,459.72	0.00	-586.88	1 of 9 - Sale
	10,000	793.49	06/22/21	1,387.45	0.00	-593.96	2 of 9 - Sale
	2,000	158.70	01/04/22	260.41	0.00	-101.71	3 of 9 - Sale

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2023 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account

(continued)

02/28/2024

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
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VANGUARD EXTENDED DURATION TREASURY ETF / CUSIP: 921910709 / Symbol: EDV (cont'd)								
	08/02/23	22.000	1,745.69	01/20/22	2,911.91	0.00	-1,166.22	4 of 9 - Sale
		24.000	1,904.38	06/23/22	2,397.09	0.00	-492.71	5 of 9 - Sale
		49.000	3,888.12	07/03/22	4,973.01	0.00	-1,084.89	6 of 9 - Sale ³¹
		24.000	1,904.38	07/03/22	2,435.76	0.00	-531.38	7 of 9 - Sale ³¹
		15.000	1,190.24	07/03/22	1,522.35	0.00	-332.11	8 of 9 - Sale ³¹
		6.000	476.10	07/12/22	588.98	-0.00	-122.88	9 of 9 - Sale
		163.000	12,933.94	Various	17,946.68	0.00	-5,012.74	Total of 9 transactions

5 transactions for 08/28/23. Total proceeds and cost reported to the IRS.

VANGUARD EMERGING MKTS GOVERNMENT BOND ETF / CUSIP: 921946885 / Symbol: VWOB								
	08/28/23	76.000	4,638.25	04/18/22	4,782.41	0.00	-144.16	1 of 5 - Sale
		64.000	3,905.89	05/04/22	4,214.40	0.00	-308.51	2 of 5 - Sale
		44.000	2,685.30	06/23/22	2,725.32	0.00	-40.02	3 of 5 - Sale
		245.000	14,952.22	07/03/22	15,075.16	0.00	-122.94	4 of 5 - Sale ³¹
		11.000	671.32	07/12/22	664.07	0.00	7.25	5 of 5 - Sale
		440.000	26,852.98	Various	27,461.36	0.00	-608.38	Total of 5 transactions
		Totals :	202,417.53		210,320.13		-7,902.60	

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2023

Account

02/28/2024

Detail for Dividends and Distributions

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CALAMOS MKT NEUTRAL INCOME FUND CL1N/L	128119880	CM/NIX	03/16/23	48.23	Nonqualified dividend	03
			03/16/23	13.27	Qualified dividend	03
			03/16/23	0.05	Section 199A dividend	03
			06/16/23	83.57	Nonqualified dividend	03
			06/16/23	22.99	Qualified dividend	03
			06/16/23	0.08	Section 199A dividend	03
			09/18/23	148.57	Nonqualified dividend	03
			09/18/23	40.86	Qualified dividend	03
			09/18/23	0.15	Section 199A dividend	03
			12/22/23	343.96	Long-term capital gain	03
			12/22/23	212.33	Short-term capital gain	03
			12/22/23	174.79	Nonqualified dividend	03
			12/22/23	135.41	Qualified dividend	03
				1,224.26	Total Dividends & distributions	
VIRTUS NEWFLEET MULTI-SECTOR UNCONSTRAINED BOND ETF	26923G707	NFLT	05/31/23	142.25	Nonqualified dividend	
			06/28/23	130.94	Nonqualified dividend	
			07/28/23	145.31	Nonqualified dividend	
			08/29/23	152.04	Nonqualified dividend	
			09/28/23	148.66	Nonqualified dividend	
			10/30/23	153.01	Nonqualified dividend	
			11/29/23	201.95	Nonqualified dividend	
			12/29/23	197.60	Nonqualified dividend	
				1,271.76	Total Dividends & distributions	

2023

Account

02/28/2024

Detail for Dividends and Distributions

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GATEWAY FUND CL Y N/L - NATIXIS ADVISOR	367829884	GTEYX	03/27/23	116.92	Qualified dividend	03
			06/27/23	83.77	Qualified dividend	03
				200.69	Total Dividends & distributions	
ISHARES TR CORE US AGGBD ET	464287226	AGG	02/07/23	64.03	Nonqualified dividend	
			03/07/23	61.01	Nonqualified dividend	
			04/10/23	65.71	Nonqualified dividend	
			05/05/23	65.07	Nonqualified dividend	
			06/07/23	67.27	Nonqualified dividend	
			07/10/23	66.49	Nonqualified dividend	
			08/07/23	69.06	Nonqualified dividend	
			09/08/23	67.98	Nonqualified dividend	
			10/06/23	67.16	Nonqualified dividend	
			11/07/23	72.19	Nonqualified dividend	
			12/07/23	71.42	Nonqualified dividend	
			12/20/23	73.69	Nonqualified dividend	
				811.08	Total Dividends & distributions	
ISHARES TR MSCI EMG MKT ETF	464287234	EEM	06/13/23	28.31	Qualified dividend	03
			06/13/23	24.85	Nonqualified dividend	03
			06/13/23	-7.57	Foreign tax withheld-Various	03
			12/27/23	71.05	Qualified dividend	03
			12/27/23	57.16	Nonqualified dividend	03
			12/27/23	-18.25	Foreign tax withheld-Various	03
				181.37	Total Dividends & distributions	
				-25.82	Total Foreign tax withheld	
ISHARES TR 7-10 YR TRSY BD	464287440	IEF	02/07/23	61.96	Nonqualified dividend	
			03/07/23	57.29	Nonqualified dividend	
			04/10/23	57.23	Nonqualified dividend	
			05/05/23	69.67	Nonqualified dividend	
			06/07/23	104.91	Nonqualified dividend	
			07/10/23	105.03	Nonqualified dividend	
			08/07/23	109.42	Nonqualified dividend	
			09/08/23	131.27	Nonqualified dividend	
			10/06/23	129.73	Nonqualified dividend	
			11/07/23	135.09	Nonqualified dividend	

Detail for Dividends and Distributions

2023

(continued)

Account
02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ISHARES TR 7-10 YR TRSY BD (cont'd)			12/07/23	135.18	Nonqualified dividend	
			12/20/23	152.91	Nonqualified dividend	
				1,249.69	Total Dividends & distributions	
ISHARES TR MSCI EAFE ETF	464287465 EFA		06/13/23	103.21	Qualified dividend	03
			06/13/23	-6.17	Foreign tax withheld-Various	03
			12/27/23	73.25	Qualified dividend	03
			12/27/23	-4.38	Foreign tax withheld-Various	03
				176.46	Total Dividends & distributions	
				-10.55	Total Foreign tax withheld	
ISHARES TR CORE S&P MCP ETF	464287507 IJH		03/29/23	30.00	Qualified dividend	03
			03/29/23	1.24	Section 199A dividend	03
			06/13/23	18.85	Qualified dividend	03
			06/13/23	3.97	Nonqualified dividend	03
			06/13/23	2.14	Section 199A dividend	03
			10/02/23	28.38	Qualified dividend	03
			10/02/23	5.97	Nonqualified dividend	03
			10/02/23	3.21	Section 199A dividend	03
			12/27/23	30.07	Qualified dividend	03
			12/27/23	6.32	Nonqualified dividend	03
			12/27/23	3.40	Section 199A dividend	03
				133.55	Total Dividends & distributions	
ISHARES TR RUS 1000 GRW ETF	464287614 IWF		03/29/23	37.29	Qualified dividend	03
			06/13/23	16.81	Qualified dividend	03
			10/02/23	22.10	Qualified dividend	03
			12/27/23	25.96	Qualified dividend	03
				102.16	Total Dividends & distributions	
ISHARES TR RUSSELL 2000 ETF	464287655 IWM		03/29/23	22.13	Qualified dividend	03
			03/29/23	3.99	Nonqualified dividend	03
			03/29/23	2.89	Section 199A dividend	03
			06/13/23	16.23	Qualified dividend	03
			06/13/23	4.84	Nonqualified dividend	03
			10/02/23	2.33	Section 199A dividend	03
			10/02/23	26.45	Qualified dividend	03
			10/02/23	7.88	Nonqualified dividend	03
			10/02/23	3.80	Section 199A dividend	03
			12/27/23	23.41	Qualified dividend	03

2023

Detail for Dividends and Distributions

(continued)

Account XXXXXXXXXX
02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ISHARES TR RUSSELL 2000 ETF (cont'd)			12/27/23	6.98	Nonqualified dividend	03
			12/27/23	3.37	Section 199A dividend	03
				124.30	Total Dividends & distributions	
ISHARES TR U.S. REAL ES ETF	464287739 IYR		03/29/23	67.75	Section 199A dividend	03
			03/29/23	1.89	Qualified dividend	03
			03/29/23	1.12	Section 199A dividend	03
			06/13/23	54.55	Section 199A dividend	03
			06/13/23	5.97	Nonqualified dividend	03
			06/13/23	1.09	Qualified dividend	03
			06/13/23	0.54	Nonqualified dividend	03
			10/02/23	124.08	Section 199A-dividend	03
			10/02/23	13.57	Nonqualified dividend	03
			10/02/23	2.49	Qualified dividend	03
			12/27/23	1.22	Nonqualified dividend	03
			12/27/23	105.65	Section 199A dividend	03
			12/27/23	11.55	Nonqualified dividend	03
			12/27/23	2.12	Qualified dividend	03
			12/27/23	1.04	Nonqualified dividend	03
				394.63	Total Dividends & distributions	
ISHARES TR IBOXX HI YD ETF	464288513 HYG		02/07/23	65.59	Nonqualified dividend	
			03/07/23	80.03	Nonqualified dividend	
			04/10/23	60.83	Nonqualified dividend	
			05/05/23	58.00	Nonqualified dividend	
			06/07/23	62.84	Nonqualified dividend	
			07/10/23	71.69	Nonqualified dividend	
			08/07/23	63.69	Nonqualified dividend	
			09/08/23	62.42	Nonqualified dividend	
			10/06/23	68.56	Nonqualified dividend	
			11/07/23	68.98	Nonqualified dividend	
			12/07/23	61.21	Nonqualified dividend	
			12/20/23	67.37	Nonqualified dividend	
				791.21	Total Dividends & distributions	

2023

Detail for Dividends and Distributions

(continued)

Account [REDACTED]
02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ISHARES TR ISHS 1-5YR INVS	464288646 IGSB		02/07/23	26.90	Nonqualified dividend	
			03/07/23	29.55	Nonqualified dividend	
			04/10/23	30.82	Nonqualified dividend	
			05/05/23	31.30	Nonqualified dividend	
			06/07/23	31.79	Nonqualified dividend	
			07/10/23	33.09	Nonqualified dividend	
			08/07/23	32.80	Nonqualified dividend	
				216.25	Total Dividends & distributions	
ISHARES TR 10-20 YR TRS ETF	464288653 TLH		09/08/23	175.89	Nonqualified dividend	
			10/06/23	188.35	Nonqualified dividend	
			11/07/23	176.57	Nonqualified dividend	
			12/07/23	193.19	Nonqualified dividend	
			12/20/23	187.38	Nonqualified dividend	
				921.38	Total Dividends & distributions	
ISHARES TR SHORT TREAS BD	464288679 SHV		05/05/23	72.35	Nonqualified dividend	
			06/07/23	95.87	Nonqualified dividend	
			07/10/23	85.87	Nonqualified dividend	
			08/07/23	93.01	Nonqualified dividend	
				347.10	Total Dividends & distributions	
LISTED FUNDS TRUST CORE ALT ETF	53656F847 CCOR		09/22/23	54.55	Qualified dividend	03
NUVEEN HIGH YIELD MUNICIPAL BOND	67065Q772 NHMRX		02/01/23	49.25	Tax-exempt dividend	03
			02/01/23	9.59	Tax-exempt dividend AMT	03
			03/01/23	2.79	Nonqualified dividend	03
			03/01/23	49.25	Tax-exempt dividend	03
			03/01/23	9.59	Tax-exempt dividend AMT	03
			03/01/23	2.79	Nonqualified dividend	03
			04/03/23	49.25	Tax-exempt dividend	03
			04/03/23	9.59	Tax-exempt dividend AMT	03
			04/03/23	2.79	Nonqualified dividend	03
			05/01/23	49.26	Tax-exempt dividend	03
			05/01/23	9.59	Tax-exempt dividend AMT	03
			05/01/23	2.79	Nonqualified dividend	03
			06/01/23	36.54	Tax-exempt dividend	03
			06/01/23	7.11	Tax-exempt dividend AMT	03

2023

Detail for Dividends and Distributions

Account

02/28/2024

(continued)

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I N/L (cont'd)			06/01/23	2.07	Nonqualified dividend	03
				13.23	Total Dividends & distributions	
				279.02	Total Tax-exempt dividends	
NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I N/L	67065Q855 FAARX		02/01/23	85.61	Tax-exempt dividend	03
			02/01/23	28.03	Tax-exempt dividend AMT	03
			02/01/23	0.28	Nonqualified dividend	03
			03/01/23	89.81	Tax-exempt dividend	03
			03/01/23	29.41	Tax-exempt dividend AMT	03
			03/01/23	0.30	Nonqualified dividend	03
			04/03/23	89.81	Tax-exempt dividend	03
			04/03/23	29.41	Tax-exempt dividend AMT	03
			04/03/23	0.30	Nonqualified dividend	03
			05/01/23	89.81	Tax-exempt dividend	03
			05/01/23	29.41	Tax-exempt dividend AMT	03
			05/01/23	0.30	Nonqualified dividend	03
			06/01/23	69.53	Tax-exempt dividend	03
			06/01/23	22.77	Tax-exempt dividend AMT	03
			06/01/23	0.23	Nonqualified dividend	03
				1.41	Total Dividends & distributions	
				563.60	Total Tax-exempt dividends	
PIMCO INCOME FUND CL I2 N/L	72201M719 PONPX		02/01/23	154.36	Nonqualified dividend	
			03/01/23	154.27	Nonqualified dividend	
			04/03/23	154.33	Nonqualified dividend	
			05/01/23	154.33	Nonqualified dividend	
			06/01/23	4.98	Nonqualified dividend	
				622.27	Total Dividends & distributions	
PIMCO ACTIVE BOND EXCHANGE-TRADED FUND	72201R775 BOND		02/06/23	96.32	Nonqualified dividend	
			03/06/23	99.76	Nonqualified dividend	
			04/06/23	103.20	Nonqualified dividend	
			05/04/23	101.48	Nonqualified dividend	
			06/06/23	106.64	Nonqualified dividend	
			07/07/23	113.52	Nonqualified dividend	
			08/04/23	99.76	Nonqualified dividend	
			09/07/23	113.52	Nonqualified dividend	
			10/05/23	116.96	Nonqualified dividend	
			11/06/23	110.08	Nonqualified dividend	

Detail for Dividends and Distributions

2023

(continued)

02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PIMCO ACTIVE BOND EXCHANGE-TRADED FUND (cont'd)			12/06/23	111.80	Nonqualified dividend	
			01/03/24	116.96	Nonqualified dividend	
				1,290.00	Total Dividends & distributions	
PIMCO 25+ YEAR ZERO COUPON U.S. TREASURY INDEX FUND	72201R882 ZROZ		10/05/23	198.09	Nonqualified dividend	
			01/03/24	217.62	Nonqualified dividend	
				415.71	Total Dividends & distributions	
SPDR S&P GLOBAL NATURAL RESOURCES ETF	78463X541 GNR		06/08/23	112.98	Qualified dividend	03
			06/08/23	10.30	Nonqualified dividend	03
			12/22/23	125.43	Qualified dividend	03
			12/22/23	11.43	Nonqualified dividend	03
			12/22/23	-6.23	Foreign tax withheld-Various	03
				260.14	Total Dividends & distributions	
				-6.23	Total Foreign tax withheld	
VANECK GOLD MINERS ETF	92189F106 GDV		12/22/23	126.08	Qualified dividend	03
			12/22/23	-12.06	Foreign tax withheld-Various	03
				126.08	Total Dividends & distributions	
				-12.06	Total Foreign tax withheld	
VANECK LONG MUNI ETF	92189F536 MLN		06/07/23	105.56	Tax-exempt dividend	03
			06/07/23	1.58	Nonqualified dividend	03
			07/07/23	105.78	Tax-exempt dividend	03
			07/07/23	1.58	Nonqualified dividend	03
			08/10/23	94.72	Tax-exempt dividend	03
			08/10/23	1.42	Nonqualified dividend	03
			09/08/23	112.06	Tax-exempt dividend	03
			09/08/23	1.88	Nonqualified dividend	03
			10/06/23	112.71	Tax-exempt dividend	03
			10/06/23	1.69	Nonqualified dividend	03
			11/07/23	117.26	Tax-exempt dividend	03
			11/07/23	1.76	Nonqualified dividend	03
			12/07/23	108.16	Tax-exempt dividend	03
			12/07/23	1.62	Nonqualified dividend	03
			01/02/24	111.63	Tax-exempt dividend	03
			01/02/24	1.67	Nonqualified dividend	03
				13.00	Total Dividends & distributions	
				867.88	Total Tax-exempt dividends	

2023

Detail for Dividends and Distributions

(continued)

Account [REDACTED]
02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD EXTENDED DURATION TREASURY ETF	921910709 EDV		04/06/23	197.57	Nonqualified dividend	
			07/07/23	212.84	Nonqualified dividend	
				410.41	Total Dividends & distributions	
VANGUARD TOTAL BOND MKT ETF	921937835 BND		02/06/23	76.54	Nonqualified dividend	
			03/06/23	71.44	Nonqualified dividend	
			04/06/23	79.52	Nonqualified dividend	
			05/04/23	78.19	Nonqualified dividend	
			06/06/23	81.33	Nonqualified dividend	
			07/07/23	80.20	Nonqualified dividend	
			08/04/23	83.81	Nonqualified dividend	
			09/07/23	85.14	Nonqualified dividend	
			10/05/23	83.74	Nonqualified dividend	
			11/06/23	88.21	Nonqualified dividend	
			12/06/23	87.42	Nonqualified dividend	
			12/28/23	91.58	Nonqualified dividend	
				987.12	Total Dividends & distributions	
VANGUARD HIGH DIVIDEND YIELD ETF	921946406 VYM		03/23/23	226.64	Qualified dividend	03
			06/23/23	277.04	Qualified dividend	03
			09/21/23	247.93	Qualified dividend	03
			12/21/23	347.44	Qualified dividend	03
				1,099.05	Total Dividends & distributions	
VANGUARD EMERGING MKTS GOVERNMENT BOND ETF	921946885 VWOB		02/06/23	121.84	Nonqualified dividend	
			03/06/23	124.65	Nonqualified dividend	
			04/06/23	126.81	Nonqualified dividend	
			05/04/23	127.38	Nonqualified dividend	
			06/06/23	128.96	Nonqualified dividend	
			07/07/23	128.61	Nonqualified dividend	
			08/04/23	133.06	Nonqualified dividend	
				891.31	Total Dividends & distributions	
VANGUARD INTERMEDIATE-TERM TREASURY ETF	92206C706 VGIT		02/06/23	125.37	Nonqualified dividend	
			03/06/23	118.87	Nonqualified dividend	
			04/06/23	128.46	Nonqualified dividend	
			05/04/23	126.19	Nonqualified dividend	
			06/06/23	133.00	Nonqualified dividend	
			07/07/23	132.38	Nonqualified dividend	
			08/04/23	131.56	Nonqualified dividend	

2023

Detail for Dividends and Distributions

(continued)

Account 02/28/2024

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VANGUARD INTERMEDIATE-TERM TREASURY ETF (cont'd)			09/07/23	139.49	Nonqualified dividend	
			10/05/23	142.28	Nonqualified dividend	
			11/06/23	154.03	Nonqualified dividend	
			12/06/23	163.10	Nonqualified dividend	
			12/28/23	172.59	Nonqualified dividend	
				1,667.32	Total Dividends & distributions	
				15,997.49	Total Dividends & distributions	
				1,710.50	Total Tax-exempt dividends	
				-54.66	Total Foreign tax withheld	

2023

Account [REDACTED]
02/28/2024

Detail for Interest Income

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
RJ BDP INTEREST		01/31/23	2.40	Credit interest	
		02/07/23	0.45	Credit interest	
		02/28/23	1.60	Credit interest	
		03/31/23	2.69	Credit interest	
		04/28/23	3.50	Credit interest	
		05/31/23	4.41	Credit interest	
		06/09/23	0.01	Credit interest	
		06/30/23	4.23	Credit interest	
		07/31/23	4.73	Credit interest	
		08/31/23	4.81	Credit interest	
		09/29/23	4.21	Credit interest	
		10/31/23	4.62	Credit interest	
		11/30/23	4.54	Credit interest	
		12/29/23	8.27	Credit interest	
			50.47	Total Interest	
			50.47	Total Interest	

Fees and Expenses

2023

Account 02/28/2024

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
1Q Fees for 090/365 Days		01/18/23	-1,325.92	Management fee	
2Q Fees for 091/365 Days		04/19/23	-1,386.87	Management fee	
3Q Fees for 092/365 Days		07/19/23	-1,411.66	Management fee	
4Q Fees for 092/365 Days		10/18/23	-1,354.74	Management fee	
			-5,479.19	Total Management fee	

2023

Mutual Fund and UIT Supplemental Information

Account

02/28/2024

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

VIRTUS NEWFLEET MULTI-SECTOR UNCONSTRAINED BOND ETF / 26923G707 / NFLT
PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES
Fed Source Total
3.63% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	3.63	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

ISHARES TR CORE US AGGBD ET / 464287226 / AGG
PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES
Fed Source Total
33.59% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	33.59	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

ISHARES TR MSCI EMG MKT ETF / 464287234 / EEM
FOREIGN SOURCE INCOME PERCENTAGES
Fgn Source Inc Tot

100.00%

Fgn Source Inc Qual

54.31%

Fgn Source Inc Adj

0.00%

ISHARES TR 7-10 YR TRSY BD / 464287440 / IEF
PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES
Fed Source Total
98.66% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	98.66	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

ISHARES TR MSCI EAFE ETF / 464287465 / IEFA
FOREIGN SOURCE INCOME PERCENTAGES
Fgn Source Inc Tot

90.17%

Fgn Source Inc Qual

90.17%

Fgn Source Inc Adj

0.00%

2023

Mutual Fund and UIT Supplemental Information

(continued)

Account XXXXXXXXXX
02/28/2024

ISHARES TR 10-20 YR TRS ETF / 464288653 / TLH

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

97.83% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	97.83	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

ISHARES TR SHORT TREAS BD / 464288679 / SHV

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

94.60% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	94.60	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I N/L / 67065Q772 / NHMRX

TAX-EXEMPT INTEREST DIVIDENDS BY STATE AND US POSSESSIONS

State	%	State	%	State	%	State	%
Alabama	1.52	Georgia	0.46	Louisiana	0.87	Nebraska	0.15
Arizona	2.90	Hawaii	0.09	Maine	0.03	Nevada	1.11
Arkansas	0.69	Idaho	0.23	Maryland	1.32	New Hampshire	0.17
California	9.30	Illinois	6.78	Massachusetts	0.13	New Jersey	0.52
Colorado	11.59	Indiana	0.96	Michigan	1.08	New Mexico	0.18
Connecticut	0.06	Iowa	0.67	Minnesota	1.00	New York	5.79
Delaware	0.14	Kansas	0.33	Mississippi	0.05	North Carolina	0.14
Dist. Columbia	1.16	Kentucky	0.19	Missouri	0.81	North Dakota	0.05
Florida	18.30					Ohio	2.88
						Oklahoma	0.37
						Oregon	0.17
						Pennsylvania	1.62
						Rhode Island	0.30
						South Carolina	0.80
						South Dakota	0.05
						Tennessee	1.68
						Texas	5.22
						Utah	0.66
						Virginia	1.46
						Washington	0.82
						West Virginia	0.27
						Wisconsin	7.19
						Wyoming	0.02
						U.S.Possessions	7.72

Mutual Fund and UIT Supplemental Information (continued)

Account

02/28/2024

2023

NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I N/L / 67065Q855 / FAARX

TAX-EXEMPT INTEREST DIVIDENDS BY STATE AND US POSSESSIONS

State	%	State	%	State	%	State	%	State	%
Alabama	0.84	Florida	10.30	Kentucky	1.14	Missouri	2.20	North Carolina	0.28
Alaska	0.03	Georgia	0.62	Louisiana	1.49	Montana	0.25	North Dakota	0.23
Arizona	2.14	Hawaii	0.15	Maine	0.13	Nebraska	0.25	Ohio	1.12
Arkansas	0.58	Idaho	0.21	Maryland	0.48	Nevada	0.76	Oklahoma	0.59
California	11.74	Illinois	9.10	Massachusetts	2.14	New Hampshire	0.11	Oregon	0.52
Colorado	5.53	Indiana	1.82	Michigan	0.99	New Jersey	2.54	Pennsylvania	4.70
Connecticut	0.52	Iowa	0.35	Minnesota	3.09	New Mexico	0.07	South Carolina	0.47
Delaware	0.23	Kansas	0.09	Mississippi	0.28	New York	9.59	South Dakota	0.04
Dist. Columbia	0.50								
				</					

PIMCO INCOME FUND CL I2 N/L / 72201M719 / PONPX

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

14.05% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	12.35	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	1.68	Other Dir. Fed	0.03

PIMCO ACTIVE BOND EXCHANGE-TRADED FUND / 72201R775 / BOND

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

15.55% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	14.32	Fed Farm Credit	0.00	TN Valley Auth	0.27
Fed Home Loan	0.00	Student Loan	0.77	Other Dir. Fed	0.20

2023

Mutual Fund and UIT Supplemental Information

(continued)

Account
02/28/2024PIMCO 25+ YEAR ZERO COUPON U.S. TREASURY INDEX FUND / 72201R882 / ZROZ
PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

100.00% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	100.00	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.00	Student Loan	0.00	Other Dir. Fed	0.00

SPDR S&P GLOBAL NATURAL RESOURCES ETF / 78463X541 / GNR
FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot

77.17%

Fgn Source Inc Qual

0.00%

Fgn Source Inc Adj

0.00%

VANECK GOLD MINERS ETF / 92189F106 / GDX
FOREIGN SOURCE INCOME PERCENTAGES

Fgn Source Inc Tot

70.23%

Fgn Source Inc Qual

0.00%

Fgn Source Inc Adj

0.00%

VANECK LONG MUNI ETF / 92189F536 / MLN

TAX-EXEMPT INTEREST DIVIDENDS BY STATE AND US POSSESSIONS

State	%	State	%	State	%	State	%	State	%
Alabama	0.25	Florida	4.83	Louisiana	0.86	Nebraska	0.79	Ohio	2.11
Arizona	0.99	Georgia	2.26	Maine	0.14	Nevada	0.62	Oklahoma	0.22
Arkansas	0.13	Hawaii	0.15	Maryland	1.48	New Hampshire	0.11	Oregon	0.83
California	16.13	Idaho	0.19	Massachusetts	3.90	New Jersey	3.50	Pennsylvania	4.58
Colorado	2.25	Illinois	4.97	Michigan	2.27	New Mexico	0.10	Rhode Island	0.12
Connecticut	0.35	Indiana	0.66	Minnesota	0.68	New York	20.88	South Carolina	1.47
Delaware	0.25	Iowa	0.45	Mississippi	0.15	North Carolina	0.92	South Dakota	0.18
Dist. Columbia	1.35	Kentucky	0.49	Missouri	0.69	North Dakota	0.29	Tennessee	0.94
								State	%
								Texas	10.94
								Utah	0.61
								Virginia	1.38
								Washington	1.67
								West Virginia	0.40
								Wisconsin	1.25
								Wyoming	0.22

Mutual Fund and UIT Supplemental Information
(continued)

2023

Account

02/28/2024

VANGUARD EXTENDED DURATION TREASURY ETF / 921910709 / EDV

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 100.00% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	99.98	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.02	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD TOTAL BOND MKT ETF / 921937835 / BND

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 38.41% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	37.82	Fed Farm Credit	0.13	TN Valley Auth	0.11
Fed Home Loan	0.41	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD HIGH DIVIDEND YIELD ETF / 921946406 / VYM

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 0.06% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	0.03	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.03	Student Loan	0.00	Other Dir. Fed	0.00

VANGUARD EMERGING MKTS GOVERNMENT BOND ETF / 921946885 / VWOB

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total 0.25% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	0.20	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.05	Student Loan	0.00	Other Dir. Fed	0.00

2023

Mutual Fund and UIT Supplemental Information

(continued)

02/28/2024

VANGUARD INTERMEDIATE-TERM TREASURY ETF / 92206C706 / VGIT

PERCENTAGE OF INCOME FROM US GOVERNMENT SECURITIES

Fed Source Total

100.00% (A detailed breakdown is shown below when available)

Agency	%	Agency	%	Agency	%
U.S. Treasury	99.94	Fed Farm Credit	0.00	TN Valley Auth	0.00
Fed Home Loan	0.06	Student Loan	0.00	Other Dir. Fed	0.00

Mutual Fund and UIT Supplemental Tax Detail

2023

Account
02/28/2024

CUSIP	Security description	Municipal income					Unavailable amounts
		Direct federal income*	Indirect federal income*	State of residence	Resident state amount	Other state amounts	
26923G707	VIRTUS NEWFLEET MULTI-SECTOR UNCONSTRAINED BOND ETF	46.17	16.89		0.00	0.00	0.00
464287226	ISHARES TR CORE US AGGBD ET	272.44	193.83		0.00	0.00	0.00
464287440	ISHARES TR 7-10 YR TRSY BD	1,233.00	0.00		0.00	0.00	0.00
464288653	ISHARES TR 10-20 YR TRS ETF	901.42	0.00		0.00	0.00	0.00
464288679	ISHARES TR SHORT TREAS BD	328.37	0.00		0.00	0.00	0.00
67065Q772	NUVEEN HIGH YIELD MUNICIPAL BOND FUND CL I N/L	0.00	0.00	FL	51.06	227.96	0.00
67065Q855	NUVEEN ALL AMERICAN MUNICIPAL BOND FUND CL I N/L	0.00	0.00	FL	58.05	505.55	0.00
72201M719	PIMCO INCOME FUND CL 12 N/L	87.46	51.31		0.00	0.00	0.00
72201R775	PIMCO ACTIVE BOND EXCHANGE-TRADED FUND	200.65	302.75		0.00	0.00	0.00
72201R882	PIMCO 25+ YEAR ZERO COUPON U.S. TREASURY INDEX FUND	415.71	0.00		0.00	0.00	0.00
92189F536	VANECK LONG MUNI ETF	0.00	0.00	FL	41.92	825.96	0.00
921910709	VANGUARD EXTENDED DURATION TREASURY ETF	410.41	0.00		0.00	0.00	0.00
921937835	VANGUARD TOTAL BOND MKT ETF	379.75	210.36		0.00	0.00	0.00
921946885	VANGUARD EMERGING MKTS GOVERNMENT BOND ETF	2.23	0.00		0.00	0.00	0.00
92206C706	VANGUARD INTERMEDIATE-TERM TREASURY ETF	1,667.32	0.00		0.00	0.00	0.00
Total:		5,944.93	775.14		151.03	1,559.47	0.00

* Certain states impose thresholds or other limitations. As a result, the amounts shown may not be exempt from state income tax. Please consult your tax advisor or fund for more information.

Foreign Income and Taxes Summary

Account

02/28/2024

2023

Foreign source income from mutual funds and UITs

Country

Security Description

CUSIP

Qualified

Foreign source income
Total

Adjusted

Tax

RIC	ISHARES TR MSCI EMG MKT ETF	464287234	98.50	181.37	0.00	-25.82
RIC	ISHARES TR MSCI EAFE ETF	464287465	159.11	159.11	0.00	-10.55
RIC	SPDR S&P GLOBAL NATURAL RESOURCES ETF	78463X541	0.00	200.76	0.00	-6.23
RIC	VANECK GOLD MINERS ETF	92189F106	0.00	88.55	0.00	-12.06
Total			257.61	629.79	0.00	-54.66
Grand Total	Total Foreign Source Income*		629.79			
	Qualified Foreign Source Income**		257.61			
	Foreign Tax		-54.66			

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

For RICs

Qualified Foreign Source Income

Represents the total income, as reported in box 1a of Form 1099-DIV, which is from a qualified foreign source.

Total Foreign Source Income

Represents the total income, as reported in box 1a of Form 1099-DIV, which is from a foreign source, regardless of whether the income was generated from a qualified or a non qualified foreign source.

Adjusted Foreign Source Income

Represents the total income, as reported in box 1a of Form 1099-DIV, which is from a foreign source, as reduced to take into account a limitation on foreign income that is similar to the reduction described in IRC 904(b)(2)(B) source income related to qualified dividend income.

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

31 This tax lot was acquired through an inheritance.