

2023 Form 6 - Full and Public Disclosure of Financial Interests

Filed with COE: 04/03/2024

General Information

Name: Hon Leslie Rossway Swan

PID 236614

AGENCY INFORMATION

Organization	Suborganization	Title
Indian River County	Elected Constitutional Officer	Supervisor of Elections

CANDIDATE FOR

Position	Agency Name	Position sought or held
Supervisor of Elections	Indian River County	Supervisor of Elections

Net Worth

My Net Worth as of December 31, 2023 was \$ 4,144,569.00.

Assets

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is \$ 150,000.00.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

Description of Asset	Value of Asset
1280 Indian Mound Trail, Vero Beach FL 32963	\$ 883,707.00
2730 SW 14th Drive, Gainesville FL 32608	\$ 200,000.00
Checking Account, Bank of America, 601 21 St, Vero Beach FL 32960	\$ 46,966.00
Inherited Roth IRA, PNC Bank, 3001 Ocean Dr, Vero Beach FL 32963	\$ 451,249.00
Inherited IRA, PNC Bank, 3001 Ocean Dr, Vero Beach FL 32963	\$ 205,098.00
SEP IRA, PNC Bank, 3001 Ocean Dr, Vero Beach FL 32963	\$ 28,789.00
Rollover IRA, PNC Bank, 3001 Ocean Dr, Vero Beach FL 32963	\$ 179,291.00
IMA, PNC Bank, 3001 Ocean Dr, Vero Beach FL 32963	\$ 414,656.00
IMA, PNC, 3001 Ocean Dr, Vero Beach FL 32963	\$ 566,177.00
Swan Interiors, 1280 Indian Mound Trail, Vero Beach FL 32963	\$ 2,444.00
Marcus by Goldman Sachs, 11850 S Election Rd, Draper UT 84020	\$ 223,725.00
Lincoln Financial, PO Box 7876, Fort Wayne IN 46801-7876	\$ 492,350.00
Deferred Retirement Option Program, PO Box 9000, Tallahassee FL 32315-9000	\$ 27,786.00
KLB 1/3 Interest, 2345 14 Ave, Vero Beach FL 32960	\$ 159,639.00
VBTEXLLC 2101 Indian River Blvd, Vero Beach FL 32960	\$ 112,692.00
See Attached	

Liabilities

LIABILITIES IN EXCESS OF \$1,000:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2023 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2023 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding \$1,000	Address of Source of Income	Amount
IRC Supervisor of Elections	4375 43 Ave, Vero Beach FL 32967	\$ 150,108.00
Condo	, 2730 SW 14 Dr, Gainesville, FL 32608	\$ 23,400.00
KLB	2345 14 Ave, Vero Beach FL 32960	\$ 16,667.00
VBTEX LLC	2101 Indian River Blvd, Vero Beach FL 32960	\$ 2,992.00
Inherited Roth IRA, PNC Bank	3001 Ocean Dr, Vero Beach FL 32963	\$ 17,662.00
Inherited IRA, PNC Bank	3001 Ocean Dr, Vero Beach FL 32963	\$ 7,821.00

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Name of Business Entity	Name of Major Sources of Business' Income	Address of Source	Principal Business Activity of Source
N/A			

Interests in Specified Businesses

Business Entity # 1
N/A

Training

This section applies only to a Constitutional or elected municipal officer, each of whom are required to complete annual ethics training pursuant to Section 112.3142, F.S.

☒ I certify that I have completed the required training under Section 112.3142, F.S.

☐ Required training under Section 112.3142, F.S., not applicable to filer for this form year.

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6 and that the facts stated in it are true.

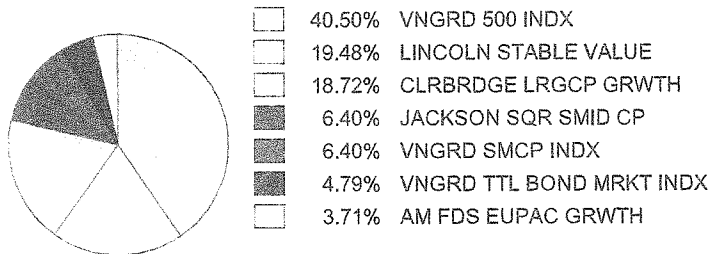
Leslie Rossway Swan

Digitally signed: 04/03/2024

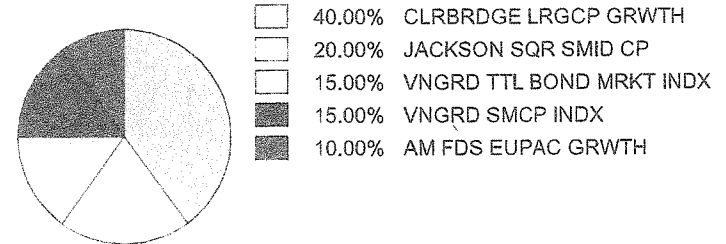
Filed with COE: 04/03/2024

Your Fund Allocation Profile

Existing Balance Allocation (as of 12/31/2023)



Current Investment Election (as of 02/26/2024)



Activity by Investment

Beginning Balance	Money In	Investment Earnings	Revenue Share Credit	Fees and Expenses	Money Out	Unit value	Number of Units	Closing Balance
JACKSON SQR SMID CP GRWTH 20%								
\$22,082.05	\$6,000.00	\$3,483.91	\$0.00	-\$32.85	\$0.00	\$18.6300	1,692.5984	\$31,533.11
CLRBRDGE LRGCP GRWTH 40%								
\$27,516.09	\$55,111.84	\$9,909.47	\$0.00	-\$71.48	-\$283.35	\$61.3800	1,501.8340	\$92,182.57
T ROWE GRWTH STCK 0%								
\$24,887.46	\$6,000.00	\$9,919.04	\$0.00	-\$18.93	-\$40,787.57	\$86.8500	0.0000	\$0.00
AM FDS EUPAC GRWTH 10%								
\$12,972.77	\$3,695.10	\$1,628.64	\$0.00	-\$19.67	\$0.00	\$54.7000	334.1287	\$18,276.84
VNGRD TTL BOND MRKT INDX 15%								
\$17,938.21	\$5,210.72	\$489.43	\$0.00	-\$25.77	-\$17.46	\$9.7100	2,429.9824	\$23,595.13
VNGRD 500 INDX 0%								
\$158,105.26	\$2,858.42	\$38,600.83	\$0.00	-\$209.66	\$0.00	\$439.9900	453.0895	\$199,354.85
VNGRD SMCP INDX 15%								
\$22,394.91	\$5,023.65	\$4,146.39	\$0.00	-\$32.42	-\$44.10	\$102.1900	308.1361	\$31,488.43
LINCOLN STABLE VALUE ACCOUNT 0%								
\$0.00	\$94,425.70	\$1,562.18	\$0.00	-\$69.22	\$0.00	\$1.0485	91,474.5786	\$95,918.66
TOTALS								
\$285,896.75	\$178,325.43	\$69,739.89	\$0.00	-\$480.00	-\$41,132.48			\$492,349.59



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
PNC BANK N.A.	\$9,566.17	\$16,082.63	
DEPOSIT SWEEP ACCRUED INT	16,082.630	\$1.0000	
WM FIDUCIARY IRA			
PNC			
PNC BANK N.A.	4,700.54	4,401.89	
DEPOSIT SWEEP ACCRUED INT	4,401.890	1.0000	
WM FIDUCIARY IRA			
PNC QUALITY DIV GR ADV EQ			
Total mutual funds - money market		\$20,484.52	

Total cash and cash equivalents

\$20,484.52

Fixed income

Etf - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
ISHARES CORE US AGGREGATE BOND (AGG)	\$21,822.75	\$22,331.25	
ETF	225	\$99.2500	
PNC			
ISHARES IBOX \$ INVESTMENT (LQD)	34,581.04	36,296.48	
GRADE CORPORATE BOND	328	110.6600	
ETF			
PNC			



A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Fixed income
Etf - fixed income

Description (Symbol)	Market value last period	Current	
		market value	price per unit
ISHARES AGENCY BOND (AGZ)	Quantity	Current	
ETF	5,321.50	5,421.60	
PNC	50	108,4320	
ISHARES TRUST ISHARES 1-5 YEAR (IGSB)	29,892.00	30,765.00	
INVESTMENT GRADE CORPORATE BOND	600	51,2750	
ETF			
PNC			
Total etf - fixed income		\$94,814.33	

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current	
		market value	price per unit
BLACKROCK HIGH YIELD BOND (BHYX)	Quantity	Current	
PORTFOLIO CL INSTL	\$6,133.67	\$6,503.17	
PNC	923.746	\$7,0400	
Total fixed income		\$101,317.50	

Equities
Stocks
Consumer discretionary

Description (Symbol)	Market value last period	Current	
		market value	price per unit
HOME DEPOT INC (HD)	Quantity	Current	
PNC	\$9,475.80	\$10,396.50	
HOME DEPOT INC (HD)	30	\$346.5500	
PNC QUALITY DIV GR ADV EQ	5,685.48	4,851.70	
	14	346.5500	



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Equities

Stocks

Consumer discretionary

Description (Symbol)	Market value last period	Quantity	Current market value	
			Current	price per unit
MARRIOTT INTERNATIONAL INC (MAR)			1,127.55	
CL A		5	225.5100	
PNC QUALITY DIV GR ADV EQ				
MCDONALD'S CORP (MCD)	4,216.48		4,744.16	
PNC QUALITY DIV GR ADV EQ		16	296.5100	
STARBUCKS CORP (SBUX)				
PNC QUALITY DIV GR ADV EQ	2,678.40	37	3,552.37	
TJX COMPANIES INC NEW (TJX)				
PNC QUALITY DIV GR ADV EQ		34	3,189.54	
WILLIAMS SONOMA INC (WSM)	1,608.88		2,824.92	
PNC QUALITY DIV GR ADV EQ		14	201.7800	
Total consumer discretionary			\$30,686.74	

Consumer staples

Description (Symbol)	Market value last period	Quantity	Current market value	
			Current	price per unit
COCA COLA CO (KO)			\$3,853.66	
PNC QUALITY DIV GR ADV EQ		62	\$58.9300	
COSTCO WHOLESALE CORP (COST)				
PNC QUALITY DIV GR ADV EQ	3,195.50	7	4,620.56	
THE HERSHEY COMPANY (HSY)				
PNC QUALITY DIV GR ADV EQ	2,547.27	11	2,050.84	
MONDELEZ INTERNATIONAL (MDLZ)				
PNC QUALITY DIV GR ADV EQ	3,932.35	59	4,273.37	
PEPSICO INC (PEP)				
PNC QUALITY DIV GR ADV EQ	3,613.20	20	72.4300	
Total consumer staples			\$17,995.23	



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Energy

Description (Symbol)	Market value last period	Current	
		market value	price per unit
CHEVRON CORPORATION (CVX)	Quantity	Current	
PNC QUALITY DIV GR ADV EQ	\$7,000.11		\$3,430.68
	23		\$149.1600
PHILLIPS 66 (PSX)			2,396.52
PNC QUALITY DIV GR ADV EQ	18		133.1400
SCHLUMBERGER LTD (SLB)			2,758.12
SEDOL 2779201	53		52.0400
ISIN AN8068571086			
PNC QUALITY DIV GR ADV EQ			
Total energy			\$8,585.32

Financial

Description (Symbol)	Market value last period	Current	
		market value	price per unit
AMERIPRISE FINANCIAL INC (AMP)	Quantity	Current	
PNC QUALITY DIV GR ADV EQ	\$4,359.18		\$5,317.62
	14		\$379.8300
BANK OF AMERICA CORP (BAC)			3,367.00
PNC QUALITY DIV GR ADV EQ	2,219.04		33.6700
BLACKROCK INC (BLK)			2,435.40
PNC QUALITY DIV GR ADV EQ	3,543.15		811.8000
JPMORGAN CHASE & CO (JPM)			6,293.70
PNC QUALITY DIV GR ADV EQ	2,279.70		170.1000
MARSH & MCLENNAN (MMC)			2,842.05
COMPANIES INC	1,820.28		189.4700
PNC QUALITY DIV GR ADV EQ	15		
MORGAN STANLEY (MS)			4,009.75
PNC QUALITY DIV GR ADV EQ	3,655.86		93.2500
VISA INC (V)			5,727.70
CLASS A SHARES	3,116.40		260.3500
PNC QUALITY DIV GR ADV EQ	22		
Total financial			\$29,993.22



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Health care

Description (Symbol)	Market value last period	Current market value	
		Quantity	price per unit
ABBOTT LABORATORIES INC (ABT)	\$3,183.91	29	\$3,192.03
PNC QUALITY DIV GR ADV EQ			\$110.0700
ABBVIE INC (ABBV)	6,626.01	33	5,114.01
PNC QUALITY DIV GR ADV EQ			154.9700
JOHNSON & JOHNSON (JNJ)	5,122.85	22	3,448.28
PNC QUALITY DIV GR ADV EQ			156.7400
ELI LILLY & CO (LLY)	6,585.12	14	8,160.88
PNC QUALITY DIV GR ADV EQ			582.9200
MERCK & CO INC (MRK)	4,659.90	42	4,578.84
PNC QUALITY DIV GR ADV EQ			109.0200
STRYKER CORP (SYK)			3,294.06
PNC QUALITY DIV GR ADV EQ			299.4600
UNITEDHEALTH GROUP INC (UNH)	6,892.34	15	7,897.05
PNC QUALITY DIV GR ADV EQ			526.4700
Total health care			\$35,685.15

Industrials

Description (Symbol)	Market value last period	Current market value	
		Quantity	price per unit
EATON CORP PLC (ETN)	\$4,865.45	25	\$6,020.50
SEDOL B8KQ82			\$240.8200
ISIN IE00B8KQ827			
PNC QUALITY DIV GR ADV EQ			
AUTOMATIC DATA PROCESSING INC (ADP)	4,299.48	18	4,193.46
PNC QUALITY DIV GR ADV EQ			232.9700
CSX CORP (CSX)			3,293.65
PNC QUALITY DIV GR ADV EQ			34.6700
HONEYWELL INTL INC (HON)			3,355.36
PNC QUALITY DIV GR ADV EQ			209.7100
HUBBELL INC (HUBB)	3,520.20	7	2,302.51
PNC QUALITY DIV GR ADV EQ			328.9300



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 27, 2023

Detail

Industrials

Description (Symbol)	Market value last period		Current market value	
	Quantity		Current	price per unit
ILLINOIS TOOL WORKS INC (ITW)	3,745.10			4,452.98
PNC QUALITY DIV GR ADV EQ	17			261.9400
PARKER HANIFIN CORP (PH)	2,910.00			4,607.00
PNC QUALITY DIV GR ADV EQ	10			460.7000
PAYCHEX INC (PAYX)	3,697.92			3,811.52
PNC QUALITY DIV GR ADV EQ	32			119.1100
Total industrials			\$32,036.98	

Information technology

Description (Symbol)	Market value last period		Current market value	
	Quantity		Current	price per unit
ACCENTURE PLC CLASS A (ACN)	\$4,002.60			\$5,965.47
SEDOL B4BNMY3	17			\$350.9100
ISIN IE00B4BNMY34				
PNC QUALITY DIV GR ADV EQ				
APPLE INC (AAPL)	16,241.25			14,439.75
PNC	75			192.5300
BROADCOM INC (AVGO)	5,591.30			7,813.75
PNC QUALITY DIV GR ADV EQ	7			1,116.2500
CDW CORP/DE (CDW)	1,966.38			3,637.12
PNC QUALITY DIV GR ADV EQ	16			227.3200
LAM RESEARCH CORP (LRCX)	1,260.90			2,349.78
PNC QUALITY DIV GR ADV EQ	3			783.2600
MICROSOFT CORP (MSFT)	12,710.46			19,930.12
PNC QUALITY DIV GR ADV EQ	53			376.0400
MOTOROLA SOLUTIONS INC (MSI)	3,350.23			4,070.17
PNC QUALITY DIV GR ADV EQ	13			313.0900



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Information technology

Description (Symbol)	Market value last period	Current	
		market value	price per unit
TAIWAN SEMICONDUCTOR MTG CO (TSM)	Quantity	Current	
ADR	22		2,288.00
PNC QUALITY DIV GR ADV EQ			104.0000
TEXAS INSTRUMENTS INC (TXN)	4,956.60	3,750.12	
PNC QUALITY DIV GR ADV EQ	22	170.4600	
Total information technology		\$64,244.28	

Materials

Description (Symbol)	Market value last period	Current	
		market value	price per unit
AIR PRODUCTS & CHEMICALS INC (APD)	Quantity	Current	
PNC QUALITY DIV GR ADV EQ	\$4,932.16	\$4,380.80	
	16	\$273.8000	

Real estate

Description (Symbol)	Market value last period	Current	
		market value	price per unit
AMERICAN TOWER CORP (AMT)	Quantity	Current	
PNC QUALITY DIV GR ADV EQ	\$1,694.88	\$2,806.44	
	13	\$215.8800	
PROLOGIS INC (PLD)		3,065.90	
PNC QUALITY DIV GR ADV EQ	23	133.3000	
Total real estate		\$5,872.34	



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Telecommunication services

Description (Symbol)	Market value last period	Quantity	Current market value	
			Current	price per unit
COMCAST CORPORATION CL A (CMCSA)	\$1,398.80	114	\$4,998.90	\$43.8500
PNC QUALITY DIV GR ADV EQ				
INTERPUBLIC GROUP COMPANIES INC (IPG)	2,198.46		2,154.24	
PNC QUALITY DIV GR ADV EQ		66	32,6400	
Total telecommunication services			\$7,153.14	

Utilities

Description (Symbol)	Market value last period	Quantity	Current market value	
			Current	price per unit
NEXTERA ENERGY INC (NEE)	\$4,681.60		\$3,401.44	
PNC QUALITY DIV GR ADV EQ		56	\$60.7400	
WEC ENERGY GROUP INC (WEC)	3,562.88		3,198.46	
PNC QUALITY DIV GR ADV EQ		38	84.1700	
Total utilities			\$6,599.90	
Total stocks			\$243,233.10	

Etf - equity

Description (Symbol)	Market value last period	Quantity	Current market value	
			Current	price per unit
INVESCO QQQ TRUST (QQQ)			\$14,333.20	
ETF	\$9,319.80	35	\$409.5200	
PNC				
ISHARES SELECT DIVIDEND (DVI)				
ETF	3,015.00	25	2,930.50	
PNC			117.2200	
ISHARES MSCI EMERGING MARKETS (EEM)				
ETF	7,580.00	200	8,042.00	
PNC			40.2100	



PNC PRIVATE BANK

A ROSSWAY ROTH IRA IMA FBO LCONS
ROTH IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Etf - equity

Description (Symbol)	Market value last period Quantity	Current market value	
		Current price per unit	Current
ISHARES MSCI EAFE ETF (EFA)	13,128.00	15,070.00	
PNC	200	75,3500	
ISHARES RUSSELL MID-CAP (IWR)	23,337.70	26,894.58	
ETF	346	77,7300	
PNC			
ISHARES CORE S&P SMALL CAP ETF (IJR)	16,562.00	18,943.75	
PNC	175	108,2500	
Total etf - equity			\$86,214.03

Total equities \$329,447.13

Total portfolio \$451,249.15



January 1, 2023 - December 29, 2023

Detail

Portfolio - income

Cash and cash equivalents
Mutual funds - money market

Description	Market value last period	Current market value	
		Current	price per unit
PNC BANK N.A.	Quantity		
DEPOSIT SWEEP ACCRUED INT	\$5,628.87		\$7,124.51
WM FIDUCIARY INV. MGMT.	7,124.510		\$1.0000

Portfolio - principal

Cash and cash equivalents
Mutual funds - money market

Description	Market value last period	Current market value	
		Current	price per unit
PNC BANK N.A.	Quantity		
DEPOSIT SWEEP ACCRUED INT	\$1,220.05		\$459.71
WM FIDUCIARY INV. MGMT.	459.710		\$1.0000



PNC PRIVATE BANK

MICHAEL J & LESLIE R SWAN IMA
INVESTMENT MANAGEMENT

January 1, 2023 - December 29, 2023

Detail

Fixed income Etf - fixed income

Description (Symbol)	Market value last period	Current	
		market value	price per unit
ISHARES IBOXX \$ INVESTMENT (LOD)	Quantity	Current	
GRADE CORPORATE BOND	\$27,938.95		\$29,324.90
ETF	265		\$110.6600
ISHARES AGENCY BOND (AGZ)	4,257.20	4,337.28	
ETF	40	108.4320	
Total etf - fixed income		\$33,662.18	

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current	
		market value	price per unit
ARISTOTLE FLOATING RATE (PLFRX)	Quantity	Current	
INCOME FUND CL I	685.140		\$6,529.38
			\$9,5300
Total fixed income		\$40,191.56	

Equities

Stocks Consumer discretionary

Description (Symbol)	Market value last period	Current	
		market value	price per unit
HOME DEPOT INC (HD)	Quantity	Current	
	\$173,723.00		\$190,602.50
	550		\$346.5500



PNC PRIVATE BANK

MICHAEL J & LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Health care

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
JOHNSON & JOHNSON (JNJ)	\$22,081.25	125	\$19,592.50	\$156.7400
MERCK & CO INC (MRK)	11,095.00	100	10,902.00	109.0200
Total health care			\$30,494.50	

Information technology

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
MICROSOFT CORP (MSFT)	\$102,882.78	429	\$161,321.16	\$376.0400
ORACLE CORP (ORCL)	36,129.08	442	46,600.06	105.4300
Total information technology			\$207,921.22	
Total stocks			\$429,018.22	

Etf - equity

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
ISHARES RUSSELL MID-CAP (IWR) ETF	\$30,352.50	428	\$33,268.44	\$77.7300
ISHARES RUSSELL 2000 (IWM) ETF	20,051.40	90	18,063.90	200.7100
SPDR S&P 500 ETF TRUST (SPY)	26,770.10	70	33,271.70	475.3100
Total etf - equity			\$84,604.04	



PNC PRIVATE BANK

MICHAEL J & LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Etf - equity

Etf - equity		Current market value
Description (Symbol)	Market value last period Quantity	Current price per unit
Total equities		\$513,622.26

Alternative investments

Etf - alternative investments

Description (Symbol)	Market value last period Quantity	Current market value	
		Current	price per unit
SPDR GOLD TRUST (GLD) ETF	\$4,241.00 25	\$4,779.25	\$191.1700
Total portfolio		\$566,177.29	



PNC PRIVATE BANK

LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Portfolio - income

Cash and cash equivalents Mutual funds - money market

Description	Market value last period	Current	
		market value	Current
PNC BANK N.A.	Quantity	price per unit	
DEPOSIT SWEEP ACCRUED INT	\$13,524.39	\$4,037.82	
WM FIDUCIARY INV. MGMT.	4,037.820	\$1.0000	

Portfolio - principal

Cash and cash equivalents Mutual funds - money market

Description	Market value last period	Current	
		market value	Current
PNC BANK N.A.	Quantity	price per unit	
DEPOSIT SWEEP ACCRUED INT	\$535.48	\$17,625.45	
WM FIDUCIARY INV. MGMT.	17,625.450	\$1.0000	



PNC PRIVATE BANK

LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Fixed income

Etf - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
ISHARES CORE US AGGREGATE BOND (AGG) ETF	\$42,190.65	\$43,173.75	
	435	\$99.2500	
ISHARES IBOX \$ INVESTMENT (LQD) GRADE CORPORATE BOND ETF	25,724.92	27,001.04	
	244	110.6600	
Total etf - fixed income			\$70,174.79

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
VANGUARD WELLINGTON FD (VWENX) ADMIRAL SHARES	\$95.25	\$102.53	
	1.436	\$71.4000	
Total fixed income			\$70,277.32

Equities

Stocks

Consumer discretionary

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
HOME DEPOT INC (HD)	\$134,872.22	\$147,976.85	
	427	\$346.5500	



PNC PRIVATE BANK

LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Consumer staples

Description (Symbol)	Market value last period	Current	
		Quantity	price per unit
MONDELEZ INTERNATIONAL (MDLZ)	\$1,133.05	17	\$72.4300
PHILIP MORRIS INTERNAT-W/I (PM)	10,121.00	100	94.0800
Total consumer staples			\$10,639.31

Health care

Description (Symbol)	Market value last period	Current	
		Quantity	price per unit
ABBOTT LABORATORIES INC (ABT)	\$4,720.97	43	\$110.0700

Information technology

Description (Symbol)	Market value last period	Current	
		Quantity	price per unit
INTERNATIONAL BUSINESS MACHS (IBM) CORP	\$36,067.84	252	\$163.5500

Telecommunication services

Description (Symbol)	Market value last period	Current	
		Quantity	price per unit
VERIZON COMMUNICATIONS INC (VZ)	\$10,835.00	275	\$37.7000



PNC PRIVATE BANK

LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Utilities

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
NEXTERA ENERGY INC (NEE)	\$97,310.40	1,164	\$70,701.36	\$60.7400
WEC ENERGY GROUP INC (WEC)	20,627.20	220	18,517.40	84.1700
Total utilities			\$89,218.76	
Total stocks			\$304,150.03	

Etf - equity

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
ISHARES RUSSELL 2000 (IWM) ETF	\$6,102.60	35	\$7,024.85	\$200.7100
Total equities			\$311,174.88	

Alternative investments

Etf - alternative investments

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
SPDR GOLD TRUST (GLD) ETF	\$5,937.40	35	\$6,690.95	\$191.1700

Mutual funds - alternative invest

Description (Symbol)	Market value last period	Quantity	Current market value	Current price per unit
FIRST TRUST MERGER ARBITRAGE (VARBX) FUND CLASS I	\$4,885.94	456.204	\$4,849.45	\$10.6300



LESLIE R SWAN IMA
INVESTMENT MANAGEMENT STATEMENT

January 1, 2023 - December 29, 2023

Detail

Mutual funds - alternative invest

Description (Symbol)	Market value last period Quantity	Current market value	
		Current	price per unit
Total alternative investments		\$11,540.40	
Total portfolio		\$414,655.87	

* We have been unable to obtain tax cost information for these assets. When this information is obtained, it will be added to the cost basis of the assets. If you have any information regarding tax cost call Mary Theodore your A



January 1, 2023 - December 29, 2023

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period	Current market value	
		Current	Current
PNC BANK N.A.	Quantity	price per unit	
DEPOSIT SWEEP ACCRUED INT	\$8,673.78	\$8,181.64	
WM FIDUCIARY IRA	8,181.640	\$1.0000	

Fixed income

Etf - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
ISHARES CORE US AGGREGATE BOND (AGG) ETF	Quantity	price per unit	
	\$27,157.20	\$32,752.50	
	330	\$99.2500	
ISHARES IBOX \$ INVESTMENT (LQD) GRADE CORPORATE BOND ETF	5,271.50	5,533.00	
	50	110.6600	
ISHARES AGENCY BOND (AGZ) ETF	13,303.75	13,554.00	
	125	108.4320	
Total etf - fixed income		\$51,839.50	



PNC PRIVATE BANK

LESLIE R SWAN ROLLOVER IRA IMA
IRA MANAGED STATEMENT

January 1, 2023 - December 31, 2023

Detail

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current
		market value
PAYDEN LOW DURATION FUND-SI (PYLDX)	Quantity	Current
	\$14,700.65	price per unit
	1,542.566	\$14,978.32
		\$9,7100
Total fixed income		\$66,817.82

Equities

Stocks
Consumer discretionary

Description (Symbol)	Market value last period	Current
		market value
HOME DEPOT INC (HD)	Quantity	Current
	\$7,896.50	price per unit
	25	\$8,663.75
		\$346.5500

Etf - equity

Description (Symbol)	Market value last period	Current
		market value
ISHARES CORE S&P 500 (IWM)	Quantity	Current
ETF	\$19,210.50	price per unit
	50	\$23,881.50
		\$477.6300
ISHARES CORE S&P MID-CAP ETF (IJH)	12,094.50	13,857.50
	50	277.1500
ISHARES CORE S & P US VALUE (IUSV)	3,531.50	4,216.50
ETF	50	84.3300
ISHARES CORE S&P SMALL CAP ETF (IJR)	7,098.00	8,118.75
	75	108.2500
ISHARES CORE MSCI EAFE ETF (IEFA)	9,246.00	10,552.50
ETF	150	70.3500
ISHARES CORE MSCI EMERGING (IEMG)	2,335.00	2,529.00
MARKETS	50	50.9800
ETF		



PNC PRIVATE BANK

LESLIE R SWAN ROLLOVER IRA IMA
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Etf - equity

Description (Symbol)	Market value last period Quantity	Current market value	
		Current price per unit	
SPDR S&P 500 ETF TRUST (SPY)	22,945.80	28,518.60	
	60	475.3100	
SCHWAB INTERNATIONAL SMALL CAP (SCHC)	1,573.50	1,744.50	
EQUITY	50	34.8900	
ETF			
VANGUARD REAL ESTATE (VNQ)	2,062.00	2,209.00	
ETF	25	88.3600	
Total etf - equity		\$95,627.85	
Total equities		\$104,291.60	
Total portfolio		\$179,291.06	



LESLIE R SWAN SEP IRA IMA
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period	Current market value	
		Current	Current price per unit
PNC BANK N.A.	Quantity		
DEPOSIT SWEEP ACCRUED INT	\$2,078.77		\$2,811.67
WM FIDUCIARY IRA	2,811.670		\$1.0000

Fixed income

Etf - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current price per unit
ISHARES CORE US AGGREGATE BOND (AGG) ETF	Quantity		
	\$3,879.60		\$3,970.00
	40		\$99.2500
ISHARES IBOX \$ INVESTMENT (LQD) GRADE CORPORATE BOND ETF	Quantity		
	1,054.30		1,106.60
	10		110.6600
ISHARES AGENCY BOND (AGZ) ETF	Quantity		
	2,128.60		2,168.64
	20		108.4320
Total etf - fixed income			\$7,245.24



PNC PRIVATE BANK

LESLIE R SWAN SEP IRA IMA
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
PAYDEN LOW DURATION FUND-SI (PYLDX)	\$2,783.05	\$2,835.61	
	292.030	\$9,7100	
Total fixed income		\$10,080.85	

Equities

Etf - equity

Description (Symbol)	Market value last period	Current market value	
		Current	Current
	Quantity	price per unit	
ISHARES CORE S&P 500 (IVV)	\$3,842.10	\$4,776.30	
ETF	10	\$477,6300	
ISHARES CORE S&P MID-CAP ETF (IJH)	1,209.45	1,385.75	
	5	277,1500	
ISHARES CORE S & P US VALUE (IUSV)	706.30	843.30	
ETF	10	84,3300	
ISHARES CORE S&P SMALL CAP ETF (IJR)	946.40	1,082.50	
	10	108,2500	
ISHARES CORE MSCI EAFE ETF (IEFA)	1,541.00	1,758.75	
ETF	25	70,3500	
ISHARES CORE MSCI EMERGING (IEMG)	467.00	505.80	
MARKETS	10	50,5800	
SPDR S&P 500 ETF TRUST (SPY)	3,824.30	4,753.10	
	10	475,3100	
SCHWAB INTERNATIONAL SMALL CAP (SCHC)	314.70	348.90	
EQUITY	10	34,8900	
VANGUARD REAL ESTATE (VNQ)	412.40	441.80	
ETF	5	88,3600	



PNC PRIVATE BANK

LESLIE R SWAN SEP IRA IMA
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Equities

Etf - equity

Description (Symbol)	Current market value	
	Quantity	Current price per unit
Total etf - equity		
		\$15,896.20
Total equities		
		\$15,896.20
Total portfolio		
		\$28,788.72



A ROSSWAY IRA IMA FBO LESLIE
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Portfolio

Cash and cash equivalents

Mutual funds - money market

Description	Market value last period	Current market value	
		Current	Current
PNC BANK N.A.	Quantity		price per unit
DEPOSIT SWEEP ACCRUED INT	\$6,037.21		\$6,657.88
WM FIDUCIARY IRA	6,657,880		\$1,0000

Fixed income

Etf - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
ISHARES CORE US AGGREGATE BOND (AGG)	Quantity		price per unit
ETF	\$13,190.64		\$13,498.00
	136		\$99,2500
ISHARES IBOX \$ INVESTMENT (LQD)	2,635.75		2,766.50
GRADE CORPORATE BOND	25		110,6600
ETF			
ISHARES AGENCY BOND (AGZ)	6,385.80		6,505.92
ETF	60		108,4320
Total etf - fixed income			\$22,770.42

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current market value	
		Current	Current
BAIRD AGGREGATE BOND FUND (BAGIX)	Quantity		price per unit
FD #72	\$11,980.89		\$12,305.37
	1,248,009		\$9,8600
BLACKROCK LOW DURATION BOND (BFMSX)	6,851.19		6,959.32
PORTFOLIO CL INSTL	772,400		9,0100



PNC PRIVATE BANK

A ROSSWAY IRA IMA FBO LESLIE
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Mutual funds - fixed income

Description (Symbol)	Market value last period	Current	
		market value	price per unit
BLACKROCK HIGH YIELD BOND (BHYIX)	Quantity 4,389.82		4,982.98
PORTFOLIO CL INSTL	707.810		7.0400
Total mutual funds - fixed income		\$24,247.67	

Total fixed income

\$47,018.09

Equities

Stocks

Consumer discretionary

Description (Symbol)	Market value last period	Current	
		market value	price per unit
HOME DEPOT INC (HD)	Quantity \$5,053.76		\$5,544.80
	16		\$346.5500

Financial

Description (Symbol)	Market value last period	Current	
		market value	price per unit
VISA INC (V)	Quantity \$4,155.20		\$5,207.00
CLASS A SHARES	20		\$260.3500



January 1, 2023 - December 29, 2023

Detail

Information technology

Description (Symbol)	Market value last period	Current	
		Quantity	market value
APPLE INC (AAPL)	\$9,744.75	50	Current price per unit \$9,626.50 \$192,5300
CISCO SYSTEMS INC (CSCO)	5,145.12	5,456.16	50,5200
MICROSOFT CORP (MSFT)	5,995.50	7,520.80	376,0400
Total information technology			\$22,603.46

Utilities

Description (Symbol)	Market value last period	Current	
		Quantity	market value
WEC ENERGY GROUP INC (WEC)	\$4,688.00	50	Current price per unit \$4,208.50 \$84,1700
Total stocks			\$37,563.76

Etf - equity

Description (Symbol)	Market value last period	Current	
		Quantity	market value
FIDELITY REAL ESTATE ETF (FREL)	\$4,465.80	180	Current price per unit \$4,797.00 \$26,6500
INVESTCO QQQ TRUST (QQQ)	6,390.72	9,828.48	409,5200
ISHARES CORE S&P 500 (IOW)	29,199.96	36,299.88	477,6300
ISHARES CORE S&P MID-CAP ETF (IJH)	11,368.83	13,026.05	277,1500
ISHARES CORE S & P US VALUE (IUSV)	7,416.15	8,854.65	84,3300



PNC PRIVATE BANK

A ROSSWAY IRA IMA FBO LESLIE
IRA MANAGED STATEMENT

January 1, 2023 - December 29, 2023

Detail

Etf - equity

Description (Symbol)	Market value last period	Current market value	
		Quantity	price per unit
ISHARES CORE S&P SMALL CAP ETF (IJR)	7,003.36	74	8,010.50
ISHARES CORE MSCI EAFE ETF (IEFA)	16,026.40	260	108.2500
ISHARES CORE MSCI EMERGING (IEMG)	6,631.40	142	18,291.00
MARKETS			70.3500
ETF			7,182.36
SCHWAB INTERNATIONAL SMALL CAP (SCHC)	2,706.42	86	50.5800
EQUITY			3,000.54
ETF			34.8900
WISDOMTREE U.S. QUALITY DIVI (DGRW)	3,923.40	65	4,568.20
ETF			70.2800
Total etf - equity			\$113,858.66
Total equities			\$151,422.42
Total portfolio			\$205,098.39