

2022 Form 6 - Full and Public Disclosure of Financial Interests

Filed with COE: 06/28/2023

General Information

Name: Mr Frank J Clark
Address: 439 MATARES DR, PUNTA GORDA, FL 33950 **PID 268129**
County: Charlotte

AGENCY INFORMATION

Organization	Suborganization	Title
Division Of Administrative Hearings	Judges of Compensation Claims	

Net Worth

My Net Worth as of December 31, 2022 was \$ 737,445.00.

Assets

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is \$ 150,000.00.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

Description of Asset	Value of Asset
439 Matares Drive, Punta Gorda FL 33950	\$ 700,000.00
Morgan, Stanley; Stocks, Bonds, Investments	\$ 245,745.00
Bank OZK Savings Acct	\$ 15,000.00
Bank OZK Checking Acct	\$ 3,000.00
See Attached	

Liabilities**LIABILITIES IN EXCESS OF \$1,000:**

Name of Creditor	Address of Creditor	Amount of Liability
CarMax Auto Finance	PO Box 440609, Kennesaw, GA 30160	\$ 47,000.00
Hyundai Motor Finance	PO Box 660891, Dallas, TX 75266-0891	\$ 11,600.00
Chase (home mortgage)	700 Kansas Lane, Monroe, LA 71203	\$ 259,000.00
Third Federal S&L (installment loan)	7007 Broadway Av., Cleveland, OH 44105	\$ 56,000.00
Citi (Master Card)	PO Box 70166, Philadelphia, PA 19176-0166	\$ 2,700.00

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income.

☐ I elect to file a copy of my 2022 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding \$1,000	Address of Source of Income	Amount
State of Florida	200 E. Gaines Street, Tallahassee, FL 32399-0356	\$ 144,150.00

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Business Entity	Major Sources of Business Income	Address	Principal Business Activity of Source
N/A			

Interests in Specified Businesses

Business Entity # 1

N/A

Training

Based on the office or position you hold, the certification of training required under Section 112.3142, F.S., is not applicable to you for this form year.

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6 and that the facts stated in it are true.

Frank J Clark

Digitally signed: **06/28/2023**

Filed with COE: **06/28/2023**

Morgan Stanley

Consolidated Summary

OVERVIEW OF YOUR ACCOUNTS (Includes accrued interest)

Although only whole dollar amounts are displayed below, both dollars and cents are used to calculate all totals. Manually summing the individual line items may not equal the actual total displayed. Refer to Account Statements for details. Excludes Bank Loan Balances (including Liquidity Access Lines, Tailored Lending Loans and Mortgage Balances).

Account Number	Beginning Value (12/1/22)	Funds Credited/(Debit)	Security/Currency Transfers Recd/(Divd)	Change in Value	Ending Value (12/31/22)	Income/Dist This Period/YTD	YTD Realized Gain/(Loss) (Total \$/L)	Unrealized Gain/(Loss) (Total \$/L)	Page
TOTAL FOR ALL ACCOUNTS	\$255,753	\$(218)	—	\$(9,789)	\$245,745	\$1,142	\$(3,556)	\$27,664	
\$4,974									
Personal Accounts									
FRANK CLARK	50,190	—	—	146	50,337	146	—	—	9
TOD SUBJ TO STA RULES						337	—	—	
Recap of Cash Management Activity Included									
Total Personal Accounts	\$50,190	—	—	\$146	\$50,337	\$146	—	—	
Retirement Accounts (The designation of short-term or long-term gain/(loss) is not applicable for these accounts.)									
FRANK CLARK	205,562	(218)	—	(9,936)	195,407	995	(3,556)	27,664	15
TRADITIONAL IRA						4,637			eDel
Invest Advisory									
Recap of Cash Management Activity Included									
Total Retirement Accounts	\$205,562	\$(218)	—	\$(9,936)	\$195,407	\$995	\$(3,556)	\$27,664	
						\$4,637			

This summary may include assets held in either brokerage and/or advisory accounts. Visit <https://www.morganstanley.com/wealth-relationship/pw/withms/pdfs/understandingourrelationship.pdf> to understand the differences between brokerage and advisory accounts. Refer to Individual Account Gain/(Loss) Summary and Expanded Disclosures for additional information. Accounts with no balances, holdings or activity year-to-date are not displayed on this page. eDel: This account is enrolled in eDelivery.

Consolidated Summary

CHANGE IN VALUE OF YOUR ACCOUNTS (includes accrued interest)

	This Period (12/1/22-12/31/22)	This Year (1/1/22-12/31/22)
TOTAL BEGINNING VALUE	\$255,753.05	\$227,961.88
Credits	—	57,000.00
Debits	(218.23)	(2,557.70)
Security Transfers	—	—
Net Credits/Debits/Transfers	\$(218.23)	\$54,442.30
Change in Value	(9,789.62)	(36,658.98)
TOTAL ENDING VALUE	\$245,745.20	\$245,745.20

Net Credits / Debits include Investment advisory fees as applicable. See Activity section for details.

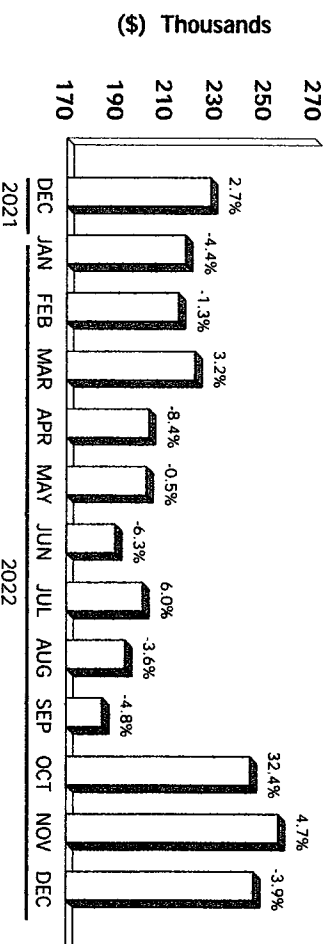
ASSET ALLOCATION (includes accrued interest)

	Market Value	Percentage
Cash	\$55,290.98	22.50
Equities	148,632.28	60.48
Fixed Income & Preferreds	29,348.15	11.94
Alternatives	12,473.79	5.08
TOTAL VALUE	\$245,745.20	100.00%

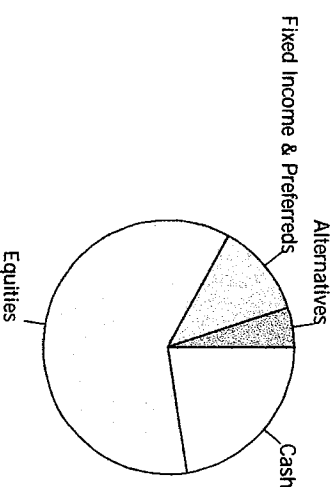
FDIC rules apply and Bank Deposits are eligible for FDIC Insurance but are not covered by SIPC. Cash and securities (including MMFs) are eligible for SIPC coverage. See Expanded Disclosures. Values may include assets externally held, as a courtesy, and may not be covered by SIPC. Foreign Exchange (FX) is neither FDIC nor SIPC insured. For additional information, refer to the corresponding section of this statement.

MARKET VALUE OVER TIME

The below chart displays the most recent thirteen months of Market Value.



The percentages above represent the change in dollar value from the prior period. They do not represent account investment performance, as they do not consider the impact of contributions and withdrawals, nor other factors that may have affected performance calculations.



This asset allocation represents holdings on a trade date basis, and projected settled Cash/BDP and MMF balances. These classifications do not constitute a recommendation and may differ from the classification of instruments for regulatory or tax purposes.

Morgan Stanley

Consolidated Summary

BALANCE SHEET (^ Includes accrued interest)

	Last Period (as of 11/30/22)	This Period (as of 12/31/22)
Cash, BDP, MMFs	\$6,132.86	\$4,953.73
Savings and Time Deposits	50,190.40	50,337.25
Stocks	150,187.03	140,713.58
ETFs & CEFS	38,704.09	37,358.68
Corporate Fixed Income	1,775.57	1,761.07
Government Securities	—	1,961.14
Mutual Funds	8,763.10	8,659.75
Total Assets	\$255,753.05	\$245,745.20
Total Liabilities (outstanding balance)	—	—
TOTAL VALUE	\$255,753.05	\$245,745.20
Total liabilities excludes Bank Loan Balances (including Liquidity Access Lines, Tailored Lending Loans and Mortgage Balances).		

CASH FLOW

	This Period (12/1/22-12/31/22)	This Year (1/1/22-12/31/22)
OPENING CASH, BDP, MMFs	\$6,132.86	\$16,072.46
Purchases	(2,103.14)	(205,079.55)
Sales and Redemptions	—	134,470.03
Income and Distributions	1,142.24	5,048.49
Total Investment Related Activity	\$(960.90)	\$(65,561.03)
Checks Deposited	—	57,000.00
Other Debits	(218.23)	(2,557.70)
Total Cash Related Activity	\$(218.23)	\$54,442.30
Total Card/Check Activity	—	—
CLOSING CASH, BDP, MMFs	\$4,953.73	\$4,953.73
Including:		
Personal Accounts	\$0.01	
Retirement Accounts	\$4,953.72	

Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2022

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Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Investment Objectives (in order of priority): Capital Appreciation, Income, Aggressive Income, Speculation

Inform us if your investment objectives, as defined in the Expanded Disclosures, change.

Account Holder Votes Proxy: No

The account holder has delegated the authority to vote proxies for the account to Institutional Shareholder Services or a third-party or Morgan Stanley-affiliated portfolio manager, as applicable.

Investment Advisory Account

HOLDINGS

This section reflects positions purchased/sold on a trade date basis. "Market Value" and "Unrealized Gain/(Loss)" may not reflect the value that could be obtained in the market. Your actual investment return may differ from the unrealized gain/(loss) displayed. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income a) is calculated on a pre-tax basis, b) does not include any reduction for applicable non-US withholding taxes, c) may include return of principal or capital gains which could overstate such estimates, and d) for holdings that have a defined maturity date within the next 12 months, is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Current Yield reflects the income generated by an investment, and is calculated by dividing the total estimated annual income by the current market value of the entire position. It does not reflect changes in its price. Structured Investments, identified on the Position Description Details line as "Asset Class: Struct Inv," may appear in various statement product categories. When displayed, the accrued interest, annual income and current yield for those with a contingent income feature (e.g., Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and current yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period.

CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.

Description	Market Value	7-Day Current Yield %	Est Ann Income	APY %
MORGAN STANLEY BANK N.A. #	\$4,953.72	—	—	0.010

Percentage of Holdings	Market Value	Est Ann Income
CASH, BDP, AND MMFS 2.54%	\$4,953.72	—

Bank Deposits are held at Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC and each a national bank and FDIC member.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ABBVIE INC COM (ABBY)	6/1/22	16,000	\$145.473	\$161.610	\$2,327.56	\$2,585.76	\$258.20 ST	\$95.00	3.67
Next Dividend Payable 02/2023, Asset Class: Equities									
ADOBE INC (ADBE)	4/1/20	5,000	304.136	336.530	1,520.68	1,662.65	161.97 LT A	—	—
	9/16/22	7,000	296.561	336.530	2,075.93	2,355.71	279.78 ST	—	—
Total		12,000			3,596.61	4,038.36	161.97 LT 279.78 ST	—	—

Asset Class: Equities

Morgan Stanley

Account Detail

Portfolio Management Retirement Account

FRANK CLARK

TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
ALPHABET INC CL C (GOOG)									
	3/12/20	20,000	57.859	88.730	1,157.17	1,774.60	617.43 LT A		
	2/25/22	20,000	134.766	88.730	2,695.32	1,774.60	(920.72) ST		
	11/18/22	12,000	97.109	88.730	1,165.31	1,064.76	(100.55) ST		
Total		52,000			5,017.80	4,613.96	617.43 LT (1,021.27) ST	—	—
ALTRIA GROUP INC (MO)									
Next Dividend Payable 01/10/23: Asset Class: Equities									
	11/18/22	65,000	43.965	45.710	2,857.73	2,971.15	113.42 ST	244.00	8.21
AMAZON COM INC (AMZN)									
	3/14/19	20,000	84.962	84.000	1,699.23	1,680.00	(19.23) LT A		
	2/25/22	20,000	153.231	84.000	3,064.61	1,680.00	(1,384.61) ST		
	11/18/22	32,000	92.974	84.000	2,975.17	2,688.00	(287.17) ST		
Total		72,000			7,739.01	6,048.00	(19.23) LT (1,671.78) ST	—	—
AMERICAN ELEC PWR CO INC (AEP)									
Next Dividend Payable 03/20/23: Asset Class: Equities									
	2/16/17	1,000	63.350	94.950	63.35	94.95	31.60 LT A		
	5/25/17	8,000	70.636	94.950	565.09	759.60	194.51 LT A		
	3/5/18	1,000	66.200	94.950	66.20	94.95	28.75 LT A		
	3/23/20	2,000	67.700	94.950	135.40	189.90	54.50 LT A		
	2/3/21	7,000	81.849	94.950	572.94	664.65	91.71 LT		
	11/18/22	18,000	91.207	94.950	1,641.73	1,709.10	67.37 ST		
Total		37,000			3,044.71	3,513.15	401.07 LT 67.37 ST	123.00	3.50
APPLE INC (AAPL)									
Next Dividend Payable 02/20/23: Asset Class: Equities									
	10/10/19	31,000	57.347	129.930	1,777.76	4,027.83	2,250.07 LT A		
	11/18/22	2,000	150.815	129.930	301.63	259.86	(41.77) ST		
Total		33,000			2,079.39	4,287.69	2,250.07 LT (41.77) ST	30.00	0.70
AT&T INC (T)									
Next Dividend Payable 02/20/23: Asset Class: Equities									
	6/1/22	76,000	21.210	18.410	1,611.96	1,399.16	(212.80) ST		
	11/18/22	188,000	18.985	18.410	3,569.18	3,461.08	(108.10) ST		
Total		264,000			5,181.14	4,860.24	(320.90) ST	293.00	6.03
CASELLA WASTE SYS INC CL A (CWST)									
Next Dividend Payable 02/20/23: Asset Class: Equities									
	6/13/18	11,000	24.664	79.310	271.30	872.41	601.11 LT A		
	1/8/19	8,000	28.764	79.310	230.11	634.48	404.37 LT A		
	3/23/20	8,000	36.513	79.310	292.10	634.48	342.38 LT A		
	5/20/20	1,000	50.800	79.310	50.80	79.31	28.51 LT A		

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
TRADITIONAL IRA

FRANK CLARK

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
CATERPILLAR INC (CAT) Next Dividend Payable 02/2023; Asset Class: Equities	2/25/22 11/18/22 Total	2,000 11,000 41,000	73.660 81.875 	79.310 79.310 	147.32 900.63 1,892.26	158.62 872.41 3,251.71	11.30 ST (28.22) ST 1,376.37 LT (16.92) ST		— — —
CISCO SIS INC (CSCO) Next Dividend Payable 01/2023; Asset Class: Equities	4/15/20 7/28/22	7,000 105,000	111.469 45.102	239.560 47.640	780.28 4,735.70	1,676.92 5,002.20	896.64 LT A 266.50 ST	34.00 160.00	2.03 3.20
COCA COLA CO (KO) Next Dividend Payable 03/2023; Asset Class: Equities	3/21/17 5/25/17 3/5/18 Total	7,000 17,000 3,000 27,000	42.530 45.339 43.820	63.610 63.610 63.610	297.71 770.76 131.46	445.27 1,081.37 190.83	147.56 LT A 310.61 LT A 59.37 LT A		48.00 2.79
CUMMINS INC (CMI) Next Dividend Payable 03/2023; Asset Class: Equities	11/18/22	7,000	248.743	242.290	1,741.20	1,696.03	(45.17) ST	44.00	2.59
CVS HEALTH CORP COM (CVS) Next Dividend Payable 02/2023; Asset Class: Equities	6/26/18 1/8/19 3/14/19 11/18/22 Total	4,000 7,000 13,000 2,000 26,000	70.015 68.950 56.450 96.525	93.190 93.190 93.190 93.190	280.06 482.65 733.85 193.05	372.76 652.33 1,211.47 186.38	92.70 LT A 169.68 LT A 477.62 LT A (6.67) ST		63.00 2.60
DOMINION ENERGY INC (D) Next Dividend Payable 03/2023; Asset Class: Equities	2/16/17 5/25/17 9/27/18 3/23/20 2/3/21 9/22/21 11/18/22 Total	2,000 6,000 2,000 1,000 9,000 1,000 36,000 57,000	73.055 80.587 69.175 59.650 72.690 74.910 59.027	61.320 61.320 61.320 61.320 61.320 61.320 61.320	146.11 483.52 138.35 59.65 654.21 74.91 2,124.96	122.64 367.92 122.64 61.32 551.88 61.32 2,207.52	(23.47) LT A (115.60) LT A (15.77) LT A 1.67 LT A (102.33) LT (13.59) LT 82.56 ST	152.00	4.35
EQT CORPORATION COM NEW (EQT) Next Dividend Payable 03/2023; Asset Class: All	2/25/22	82,000	22.355	33.830	1,833.11	2,774.06	(269.03) LT 82.56 ST		1.77

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 01/03/23: Asset Class: Equities									
FIRSTENERGY CORP (FE)	11/18/22	44,000	38.224	41.940	1,681.85	1,845.36	163.51 ST	69.00	3.74
Next Dividend Payable 03/2023: Asset Class: Equities									
HONEYWELL INTL INC (HON)	11/18/22	8,000	214.390	214.300	1,715.12	1,714.40	(0.72) ST	33.00	1.92
Next Dividend Payable 03/2023: Asset Class: Equities									
JPMORGAN CHASE & CO (JPM)	7/20/22	18,000	114.340	134.100	2,058.12	2,413.80	355.68 ST	72.00	2.98
Next Dividend Payable 01/2023: Asset Class: Equities									
KINDER MORGAN INCCORP (KMI)	11/18/22	91,000	18.249	18.080	1,660.64	1,645.28	(15.36) ST	101.00	6.14
Next Dividend Payable 02/2023: Asset Class: All									
MARATHON PETROLEUM CORP (MPC)	5/20/20	13,000	36.988	116.390	480.84	1,513.07	1,032.23 LT A	39.00	2.58
Next Dividend Payable 03/2023: Asset Class: Equities									
MARTIN MARIETTA MATERIALS (MLM)	5/20/20	9,000	179.863	337.970	1,618.77	3,041.73	1,422.96 LT A	24.00	0.79
Next Dividend Payable 03/2023: Asset Class: Equities									
MICRON TECH INC (MU)	8/3/22	53,000	64.126	49.980	3,398.68	2,648.94	(749.74) ST		
	11/18/22	4,000	58.240	49.980	232.96	199.92	(33.04) ST		
Total		57,000			3,631.64	2,848.86	(782.78) ST	25.00	0.88
Next Dividend Payable 01/19/23: Asset Class: Equities									
MICROSOFT CORP (MSFT)	5/25/17	11,000	69.225	239.820	761.48	2,638.02	1,876.54 LT A		
	1/11/19	2,000	102.240	239.820	204.48	479.64	275.16 LT A		
	2/25/22	1,000	295.910	239.820	295.91	239.82	(56.09) ST		
	11/18/22	7,000	239.693	239.820	1,677.85	1,678.74	0.89 ST		
Total		21,000			2,939.72	5,036.22	2,151.70 LT (55.20) ST	57.00	1.13
Next Dividend Payable 03/2023: Asset Class: Equities									
NETFLIX INC (NFLX)	5/25/17	2,000	163.130	294.880	326.26	589.76	263.50 LT A		
	10/10/19	2,000	277.870	294.880	555.74	589.76	34.02 LT A		
	2/25/22	3,000	389.413	294.880	1,168.24	884.64	(283.60) ST		
	11/18/22	10,000	289.557	294.880	2,895.57	2,948.80	53.23 ST		
Total		17,000			4,945.81	5,012.96	297.52 LT (230.37) ST	—	—

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
NEWMONT CORPORATION (NEM)									
	1/3/19	23.000	34.222	47.200	787.10	1,085.60	298.50 LT A		
	3/14/19	8.000	33.685	47.200	269.48	377.60	108.12 LT A		
	2/3/21	16.000	59.331	47.200	949.30	755.20	(194.10) LT		
	9/22/21	8.000	55.128	47.200	441.02	377.60	(63.42) LT		
	11/18/22	39.000	44.480	47.200	1,734.72	1,840.80	106.08 ST		
Total		94.000			4,181.62	4,436.80	149.10 LT 106.08 ST	207.00	4.67
Next Dividend Payable 03/2023: Asset Class: Equities									
NVIDIA CORPORATION (NVDA)									
	1/28/19	32.000	34.915	146.140	1,117.28	4,676.48	3,559.20 LT A		
	9/22/21	1.000	215.430	146.140	215.43	146.14	(69.29) LT		
	2/25/22	3.000	241.210	146.140	723.63	438.42	(285.21) ST		
	11/18/22	19.000	152.040	146.140	2,888.76	2,776.66	(112.10) ST		
Total		55.000			4,945.10	8,037.70	3,489.91 LT (397.31) ST	9.00	0.11
Next Dividend Payable 03/2023: Asset Class: Equities									
OLD REPUBLIC INTL CP (ORR)									
	8/1/22	105.000	23.128	24.150	2,428.44	2,535.75	107.31 ST	97.00	3.83
Next Dividend Payable 03/2023: Asset Class: Equities									
PACWEST BANCORP (PACW)									
	3/26/20	17.000	19.655	22.950	334.14	390.15	56.01 LT A		
	5/20/20	29.000	16.694	22.950	484.13	665.55	181.42 LT A		
	11/18/22	38.000	25.679	22.950	975.82	872.10	(103.72) ST		
Total		84.000			1,794.09	1,927.80	237.43 LT (103.72) ST	84.00	4.36
Next Dividend Payable 02/2023: Asset Class: Equities									
QUALCOMM INC (QCOM)									
	3/6/20	19.000	76.999	109.940	1,462.98	2,088.86	625.88 LT A		
	9/22/21	1.000	132.930	109.940	132.93	109.94	(22.99) LT		
	11/18/22	21.000	122.855	109.940	2,580.16	2,308.74	(271.42) ST		
Total		41.000			4,176.07	4,507.54	602.89 LT (271.42) ST	123.00	2.73
Next Dividend Payable 03/2023: Asset Class: Equities									
RAYMOND JAMES FINCL INC (RJF)									
	2/3/21	20.000	70.658	106.850	1,413.16	2,137.00	723.84 LT	34.00	1.59
Next Dividend Payable 01/17/23: Asset Class: Equities									
SERVICE CORP INTL (SCI)									
	5/25/17	18.000	32.221	69.140	579.97	1,244.52	664.55 LT A		
	1/8/19	4.000	40.978	69.140	163.91	276.56	112.65 LT A		
	3/14/19	4.000	39.355	69.140	157.42	276.56	119.14 LT A		
	10/10/19	5.000	45.970	69.140	229.85	345.70	115.85 LT A		
	3/23/20	3.000	35.657	69.140	106.97	207.42	100.45 LT A		

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
[REDACTED]
TRADITIONAL IRA

FRANK CLARK

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
SOUTHERN CO (SO) Next Dividend Payable 03/2023; Asset Class: Equities	5/20/20	12,000	38.580	69.140	462.96	829.68	366.72 LT A		
	2/25/22	1,000	60.170	69.140	60.17	69.14	8.97 ST		
	Total	47,000			1,761.25	3,249.58	1,479.36 LT 8.97 ST	51.00	1.57
	2/16/17	2,000	47.990	71.410	95.98	142.82	46.84 LT A		
	5/25/17	10,000	50.566	71.410	505.66	714.10	208.44 LT A		
	3/5/18	2,000	45,000	71.410	90.00	142.82	52.82 LT A		
TD SYNEX CORPORATION (SNX) Next Dividend Payable 03/2023; Asset Class: Equities	9/27/18	2,000	43.470	71.410	86.94	142.82	55.88 LT A		
	10/10/19	2,000	61.780	71.410	123.56	142.82	19.26 LT A		
	3/19/20	1,000	51.370	71.410	51.37	71.41	20.04 LT A		
	3/23/20	3,000	43.183	71.410	129.55	214.23	84.68 LT A		
	2/3/21	3,000	59.530	71.410	178.59	214.23	35.64 LT		
	Total	25,000			1,261.65	1,785.25	523.60 LT	68.00	3.81
THERMO FISHER SCIENTIFIC (TMO) Next Dividend Payable 01/2023; Asset Class: Equities	3/21/20	15,000	36.339	94.710	545.09	1,420.65	875.56 LT A		
	2/25/22	9,000	101.746	94.710	915.71	852.39	(63.32) ST		
	Total	24,000			1,460.80	2,273.04	875.56 LT (63.32) ST	29.00	1.28
TRACTOR SUPPLY CO (TSCO) Next Dividend Payable 01/16/23; Asset Class: Equities	5/25/17	4,000	172.260	550.690	689.04	2,202.76	1,513.72 LT A		
	10/10/19	1,000	280.130	550.690	280.13	550.69	270.56 LT A		
	2/25/22	1,000	546.760	550.690	546.76	550.69	3.93 ST		
	11/18/22	3,000	534.880	550.690	1,604.64	1,652.07	47.43 ST		
	Total	9,000			3,120.57	4,956.21	1,784.28 LT 51.36 ST	11.00	0.22
UNITEHEALTH GP INC (UNIH) Next Dividend Payable 03/2023; Asset Class: Equities	3/25/20	11,000	76.997	224.970	846.97	2,474.67	1,627.70 LT A	40.00	1.62
	5/25/17	5,000	177.398	530.180	886.99	2,650.90	1,763.91 LT A		
	11/18/22	1,000	530.990	530.180	530.99	530.18	(0.81) ST		
	Total	6,000			1,417.98	3,181.08	1,763.91 LT (0.81) ST	40.00	1.26
VALERO ENERGY CP DELA NEW (VLO) Next Dividend Payable 03/2023; Asset Class: Equities	2/3/21	12,000	60.145	126.860	721.74	1,522.32	800.58 LT	47.00	3.09

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description

VENTAS INC (VTR)

Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
4/13/20	43,000	32.398	45.050	1,393.11	1,937.15	544.04 LT A		
5/20/20	14,000	32.726	45.050	458.16	630.70	172.54 LT A		
Total	57,000			1,851.27	2,567.85	716.58 LT	103.00	4.01

Next Dividend Payable 01/19/23: Asset Class: All

VULCAN MATERIALS CO (VMC)

Next Dividend Payable 03/20/23: Asset Class: Equities

Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
5/20/20	12,000	101.105	175.110	1,213.26	2,101.32	888.06 LT A	19.00	0.90
Total	12,000			1,213.26	2,101.32	888.06 LT A	19.00	0.90

WALMART INC (WMT)

Next Dividend Payable 01/03/23: Asset Class: Equities

WELLTOWER INC (WELL)

Next Dividend Payable 02/20/23: Asset Class: All

COMMON STOCKS

Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
5/20/20	37,000	45.403	65.550	1,679.90	2,425.35	745.45 LT A	90.00	3.71
Total	37,000			1,679.90	2,425.35	745.45 LT A	90.00	3.71

PREFERRED STOCKS

Security Description

ALLSTATE CORP 5.100 H (ALL.H)

Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
3/19/20	15,000	\$19.660	\$19.950	\$294.90	\$299.25	\$4.35 LT A		
2/3/21	9,000	26.859	19.950	241.73	179.55	(62.18) LT		
2/25/22	3,000	25.257	19.950	75.77	59.85	(15.92) ST		
Total	27,000			612.40	538.65	(57.83) LT	34.00	6.31

Moody BAA2 S&P BBB: Next Dividend Payable 01/17/23: Asset Class: FI & Pref

DUKE ENERGY CORP 5.75%-A (DUK.A)

Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
3/19/20	15,000	24.141	23.665	362.11	354.98	(7.13) LT A		
2/3/21	5,000	28.040	23.665	140.20	118.33	(21.87) LT		
9/22/21	2,000	27.765	23.665	55.53	47.33	(8.20) LT		
2/25/22	1,000	26.450	23.665	26.45	23.67	(2.78) ST		
11/18/22	1,000	24.200	23.665	24.20	23.67	(0.53) ST		
Total	24,000			608.49	567.96	(37.20) LT	35.00	6.16

Moody BAA1 S&P BBB: Asset Class: FI & Pref

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
JPMORGAN CHASE & CO 6.0% S-EE (JPM.C)	2/25/22	23.000	26.060	24.900	599.38	572.70	(26.68) ST	35.00	6.11
Moody BAA2 S&P BBB-: Next Dividend Payable 03/2023: Asset Class: FI & Pref									
PUBLIC STORAGE 5.15%-F (PSA.F)	3/26/20	16.000	24.444	21.420	391.11	342.72	(48.39) LT A		
	9/22/21	1.000	25.830	21.420	25.83	21.42	(4.41) LT		
	2/25/22	9.000	25.017	21.420	225.15	192.78	(32.37) ST		
Total		26.000			642.09	556.92	(85.80) LT (32.37) ST	33.00	5.93

Moody A3 S&P BBB+: Next Dividend Payable 03/2023: Asset Class: FI & Pref

PREFERRED STOCKS					\$2,462.36	\$2,236.23	\$(147.83) LT \$(78.28) ST	\$137.00	6.13%
STOCKS									
	Percentage of Holdings				\$113,408.37	\$140,713.58	\$29,585.07 LT \$(2,279.84) ST	\$3,124.00	2.22%

EXCHANGE-TRADED & CLOSED-END FUNDS

Estimated Annual Income for Exchange Traded Funds, is based upon historical distributions over the preceding 12-month period, while Estimated Annual Income for Closed End Funds may be based upon either (a) the most recent dividend or (b) sum of prior 12 months (depending upon whether there is an announced fixed rate). Current Yield is calculated by dividing the total Estimated Annual Income by the current Market Value of the position, and it is for informational purposes only. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside vendor and is not verified by us. Depending upon market conditions, Current Yield may differ materially from published yields. Investors should refer to the Fund website for the most recent yield information.

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain exchange-traded funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these status codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
FIDUS INVESTMENT CORP (FIDUS)	3/25/20	58.000	\$8.090	\$19.030	\$469.21	\$1,103.74	\$634.53 LT A		
	3/26/20	59.000	8.463	19.030	499.30	1,122.77	623.47 LT A		
	2/25/22	22.000	18.500	19.030	407.00	418.66	11.66 ST		
	11/18/22	110.000	20.255	19.030	2,228.05	2,093.30	(134.75) ST		
Total		249.000			3,603.56	4,738.47	1,258.00 LT (123.09) ST	359.00	7.58

Next Dividend Payable 03/2023: Asset Class: Equities

FIRST TR INSTL PFD SECS ETF (FPEI)									
	11/18/20	96.000	19.923	17.810	1,912.58	1,709.76	(202.82) LT		
	2/3/21	53.000	20.370	17.810	1,079.60	943.93	(135.67) LT		
	9/22/21	59.000	20.829	17.810	1,228.94	1,050.79	(178.15) LT		
	2/25/22	12.000	19.608	17.810	235.30	213.72	(21.58) ST		

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
Next Dividend Payable 01/2023: Asset Class: FI & Pref									
FIRST TR MORNINGSTAR DIV (FDI)	11/18/22	135,000	37.073	36.560	5,004.81	4,935.60	(69.21) ST	176.00	3.57
GIMA Status: AL: Next Dividend Payable 03/2023: Asset Class: Equities									
FIRST TRUST CAPITAL STRGTH ETF (FTCS)	11/18/22	132,000	75.791	74.950	10,004.35	9,893.40	(110.95) ST	122.00	1.23
GIMA Status: AL: Next Dividend Payable 03/2023: Asset Class: Equities									
FIRST TRUST GBL TACTICAL STG F (FTGC)	2/3/21	125,000	20.241	24.490	2,530.13	3,061.25	531.12 LT	209.00	6.83
GIMA Status: AL: Next Dividend Payable 03/2023: Asset Class: Alt									
FIRST TRUST LOW DURATION OPP (LMBS)	2/25/22	66,000	49.430	47.410	3,262.37	3,129.06	(133.31) ST		
	11/18/22	10,000	47.280	47.410	472.80	474.10	1.30 ST		
Total		76,000			3,735.17	3,603.16	(132.01) ST	80.00	2.22
GIMA Status: AL: Next Dividend Payable 01/2023: Asset Class: FI & Pref									
FRANKLIN INVESTMENT GRADE CO (FLCO)	11/18/22	172,000	20.817	20.870	3,580.49	3,589.64	9.15 ST	138.00	3.84
Next Dividend Payable 01/04/23: Asset Class: FI & Pref									
ISHARES TIPS BOND ETF (TIP)	8/3/22	26,000	115.934	106.440	3,014.28	2,767.44	(246.84) ST		
	11/18/22	8,000	106.140	106.440	849.12	851.52	2.40 ST		
Total		34,000			3,863.40	3,618.96	(244.44) ST	252.00	6.96
GIMA Status: AL: Next Dividend Payable 01/2023: Asset Class: FI & Pref									
EXCHANGE-TRADED & CLOSED-END FUNDS	19.12%				\$36,778.33	\$37,358.68	\$1,272.48 LT \$(692.13) ST	\$1,540.00	4.12%
Percentage of Holdings		Total Cost		Market Value		Unrealized Gain/(Loss)		Est Ann Income	

Morgan Stanley

Account Detail

Portfolio Management Retirement Account

FRANK CLARK

TRADITIONAL IRA

**CORPORATE FIXED INCOME
FIXED-RATE CAPITAL SECURITIES**

Security Description	Trade Date	Face Value	Orig Unit Cost		Orig Total Cost		Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
			Adj Unit Cost	Unit Price	Adj Total Cost					
NATIONAL RURAL UTIL COOP 5.50% (NRUC)										
Coupon Rate 5.500%; Matures 05/15/2064										
	3/19/20	16,000	\$22.209	\$24.080	\$355.35					
			\$22.209		\$355.35	\$385.28	\$29.93	LT A		
	2/3/21	5,000	27.294	24.080	136.47					
			27.294		136.47	120.40	(16.07)	LT		
	9/22/21	2,000	27.265	24.080	54.53					
			27.265		54.53	48.16	(6.37)	LT		
	2/25/22	2,000	25.620	24.080	51.24					
			25.620		51.24	48.16	(3.08)	ST		
Total		25,000			597.59				34.00	5.65

Interest Paid Quarterly Dec; Callable \$25.00 on 05/15/24; Moody A3 S&P BBB; Asset Class: FI & Pref					
ENERGY LOUISIANA LLC 4.875% (ELC)	3/19/20	16,000	20.792	20.270	332.67
Coupon Rate 4.875%; Matures 09/01/2066			20.792		332.67
	2/3/21	7,000	26.180	20.270	183.26
	9/22/21	4,000	26.180	20.270	183.26
			25.240		100.96
			25.240		100.96
Total		27,000			81.08
			616.89		(19.88) LT
			616.89		
GEORGIA POWER CO 5%-A (GPJA)					547.29
Coupon Rate 5.0000%; Matures 10/01/2077	11/18/22	26,000	22.727	23.530	(69.60) LT
Interest Paid Quarterly Apr; Callable \$25.00 on 01/30/23; Moody BAA2 S&P BBB-; Asset Class: FI & Pref			22.727		
			590.89		20.89 ST
			590.89		
					33.00
					5.39

	Percentage of Holdings	Face Value	Orig Total Cost Adj Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income Accrued Interest	Current Yield %
CORPORATE FIXED INCOME		—	\$1,805.37 \$1,805.37	\$1,761.07	\$(62.11) LT \$17.81 ST	\$100.00 —	5.68%
0.90%							

Morgan Stanley

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

GOVERNMENT SECURITIES

Security Description	Trade Date	Face Value	Orig Unit Cost	Unit Price	Orig Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
UNITED STATES TREASURY BILL	12/14/22	2,000,000	\$97.815	\$98.057	\$1,956.29	\$1,961.14	\$4.85 ST	—	—
Zero Coupon; Matures 06/08/2023; CUSIP 912796ZP7									
Issued 12/08/22; Asset Class: FI & Pref									

GOVERNMENT SECURITIES	Percentage of Holdings	Face Value	Orig Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	1.00%	2,000,000	\$1,956.29	\$1,961.14	\$4.85 ST	—	—

MUTUAL FUNDS

OPEN-END MUTUAL FUNDS

Although share price is displayed only to three decimal places, calculation of Market Value is computed using the full share price in our data base, which may carry out beyond three decimal places. Estimated Annual Income is based upon historical distributions over the preceding 12-month period, rather than on the most recent dividend. Current Yield is calculated by dividing the total estimated annual income by the current market value of the position, and it is for informational purposes only. Distributions may consist of income, capital gains or the returns of capital distributions. EAI is based upon information provided by an outside vendor and is not verified by us. Depending upon market conditions, Current Yield may differ materially from published Fund yields. Investors should refer to the Fund website for the most recent yield information.

"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.

"Net Value Increase/(Decrease)" reflects the difference between your total purchases, and the sum of the current value of the funds shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Global Investment Manager Analysis (GIMA) status codes (FL, AL or NL), may be shown for certain mutual funds and are not guarantees of performance. Refer to "GIMA Status in Investment Advisory Programs" in the June or December statement for a description of these codes.

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
FEDERATED HRMS TTL RET BD IS (FTRBX)	7/19/22	441.063	\$9.910	\$9.470	\$4,370.93	\$4,176.87	\$(194.06) ST	—	—
	11/18/22	94.318	9.460	9.470	892.25	893.19	0.94 ST	—	—
Total		535.381			5,263.18	5,070.06	(193.12) ST	157.00	3.10

Total Purchases vs Market Value
Cumulative Cash Distributions
Net Value Increase/(Decrease)

GIMA Status: FL; Dividend Cash; Capital Gains Cash; Asset Class: FI & Pref

Account Detail

Portfolio Management Retirement Account
FRANK CLARK
TRADITIONAL IRA

Security Description	Trade Date	Quantity	Unit Cost	Share Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
WESTERN ASSET CORE PLUS BD I (MMCPX)	11/18/22	383.105	9.340	9.370	3,578.20	3,589.69	11.49 ST	121.00	3.37
Total Purchases vs Market Value					3,578.20	3,589.69			
Cumulative Cash Distributions						3.99			
Net Value Increase/(Decrease)						15.48			

GIMA Status: FI: Dividend Cash: Capital Gains Cash: Asset Class: FI & Pref

MUTUAL FUNDS	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	4.43%	\$8,841.38	\$8,659.75	\$(181.63) ST	\$278.00	3.21%

TOTAL VALUE	Percentage of Holdings	Total Cost	Market Value	Unrealized Gain/(Loss)	Est Ann Income	Current Yield %
	100.00%	\$162,789.74	\$195,407.94	\$30,795.44 LT \$(3,130.94) ST	\$5,042.00	2.58%

Unrealized Gain/(Loss) totals only reflect positions that have both cost basis and market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' or 'Pending Corporate Actions' are not included.
A - You executed this transaction elsewhere and later transferred the security to this account. Another financial institution has provided the transaction detail for this position.

ALLOCATION OF ASSETS

	Cash	Equities	Fixed Income & Preferred Securities	Alternatives	Structured Investments	Other
Cash, BDP, MMFs	\$4,953.72	—	—	—	—	—
Stocks	—	\$129,064.81	\$2,236.23	\$9,412.54	—	—
ETFs & CEFs	—	19,567.47	14,729.96	3,061.25	—	—
Corporate Fixed Income	—	—	1,761.07	—	—	—
Government Securities	—	—	1,961.14	—	—	—
Mutual Funds	—	—	8,659.75	—	—	—
TOTAL ALLOCATION OF ASSETS	\$4,953.72	\$148,632.28	\$29,348.15	\$12,473.79	—	—