

## 2022 Form 6 - Full and Public Disclosure of Financial Interests

Filed with COE: 05/14/2023

### General Information

Name: Hon James R. Case

**CONFIDENTIAL**

Address: {REDACTED}

**PID 26307**

County: {REDACTED}

### AGENCY INFORMATION

| Organization           | Suborganization        | Title                  |
|------------------------|------------------------|------------------------|
| Judicial Senior Judges | Consent to be Assigned |                        |
| Judicial Senior Judges | Consent to be Assigned | Senior / Retired Judge |

### Net Worth

My Net Worth as of December 31, 2022 was \$ 9,228,329.00.

**Assets**

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is \$ 150,000.00.

**ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:**

| Description of Asset                                                      | Value of Asset  |
|---------------------------------------------------------------------------|-----------------|
| Real property{REDACTED}                                                   | \$ 1,175,000.00 |
| Real property{REDACTED}                                                   | \$ 819,202.00   |
| Bank Account Bank of America (savings and checking account)               | \$ 535,468.00   |
| Bank Account Regions Bank (checking account)                              | \$ 15,517.00    |
| Thrivent Mutual Funds, Moderately Aggressive Fund                         | \$ 3,376.00     |
| James R. Case IRA (file attached)                                         | \$ 572,360.00   |
| James R. Case SEP (file attached)                                         | \$ 559,567.00   |
| James R. Case Trust Assets (file attached)                                | \$ 932,752.00   |
| Case Family LLC (James R. Case .290687 ownership interest (file attached) | \$ 4,132,304.00 |
| See Attached                                                              |                 |

**Liabilities**

LIABILITIES IN EXCESS OF \$1,000:

| Name of Creditor | Address of Creditor | Amount of Liability |
|------------------|---------------------|---------------------|
| N/A              |                     |                     |

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

| Name of Creditor | Address of Creditor | Amount of Liability |
|------------------|---------------------|---------------------|
| N/A              |                     |                     |

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## Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income.

☐ I elect to file a copy of my 2022 federal income tax return and all W2s, schedules, and attachments.

### PRIMARY SOURCES OF INCOME:

| Name of Source of Income Exceeding \$1,000                     | Address of Source of Income                       | Amount        |
|----------------------------------------------------------------|---------------------------------------------------|---------------|
| Florida Retirement System                                      | P. O. Box 9000, Tallahassee, FL 32315             | \$ 104,777.00 |
| State of Florida                                               | 200 E Gaines St, Tallahassee, FL 32399            | \$ 3,751.00   |
| Social Security                                                | Department of Treasury, Washington DC             | \$ 44,172.00  |
| Huntington Bank - Trustee Fees                                 | P. O. Box 1558, Columbus, OH 43216                | \$ 20,054.00  |
| Private Alternative Dispute Resolution Fees                    | {REDACTED}                                        | \$ 133,625.00 |
| Manager Fees - Case Family Nevada LLC                          | 611 Druid Road E, Suite 105, Clearwater, FL 33756 | \$ 21,958.00  |
| Trustee Fees - Nelson H. Case Trust                            | 611 Druid Rd E, Suite 105, Clearwater, FL 33756   | \$ 15,729.00  |
| Case Family Nevada LLC - James Case .290687 ownership interest | Schwab                                            | \$ 102,995.00 |
| James R. Case Living Trust                                     | Schwab                                            | \$ 6,682.00   |
| James R. Case IRA Mandatory 2022 Distribution                  | Schwab                                            | \$ 27,351.00  |
| James R Case SEP 2022 Mandatory Distribution                   | Schwab                                            | \$ 27,618.00  |
| See Attached                                                   |                                                   |               |

### SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

| Business Entity | Major Sources of Business Income | Address | Principal Business Activity of Source |
|-----------------|----------------------------------|---------|---------------------------------------|
| N/A             |                                  |         |                                       |

**Interests in Specified Businesses****Business Entity # 1**

N/A

**Training**

Based on the office or position you hold, the certification of training required under Section 112.3142, F.S., is not applicable to you for this form year.

**Signature of Reporting Official or Candidate**

Under the penalties of perjury, I declare that I have read the foregoing Form 6 and that the facts stated in it are true.

***James R. Case***

Digitally signed: 05/14/2023

Filed with COE: 05/14/2023

# James R Case SEP 2022 Assets

| Description of Asset                                   | Value of Asset |
|--------------------------------------------------------|----------------|
| ABSOLUTE CONVERTIBLE ARBITRAGE                         | \$14,482.01    |
| CASH                                                   | \$11,800.30    |
| INVESCO BULLETSHARES 2023 CORPORATE BOND ETF           | \$10,490.00    |
| INVESCO BULLETSHARES 2024 CORPORATE BOND ETF           | \$10,242.55    |
| INVESCO BULLETSHARES 2025 CORPORATE BOND ETF           | \$10,070.00    |
| INVESCO BULLETSHARES 2026 CORPORATE BOND ETF           | \$9,462.50     |
| ISHARES INTERMEDIATE CREDIT BOND ETF                   | \$9,902.00     |
| ISHARES MSCI EAFE ETF                                  | \$32,820.00    |
| ISHARES MSCI EMERGING MARKETS ETF                      | \$11,370.00    |
| ISHARES MSCI EUROZONE ETF                              | \$15,790.00    |
| JPMORGAN ULTRA-SHORT INCOME ETF                        | \$23,210.19    |
| PERFORMANCE TRUST STRATEGIC FUND CL I                  | \$13,483.63    |
| SCHWAB FUNDAMENTAL U.S. SMALL COMPANY INDEX ETF        | \$23,360.00    |
| SCHWAB TREASURY OBLIGATION FUND                        | \$25,373.08    |
| SCHWAB U.S. LARGE-CAP GROWTH ETF                       | \$66,672.00    |
| SCHWAB U.S. MID-CAP ETF                                | \$65,610.00    |
| SCHWAB US AGGREGATE BOND ETF                           | \$6,847.50     |
| SPDR S&P DIVIDEND ETF                                  | \$60,052.80    |
| TECHNOLOGY SELECT SECTOR SPDR FUND                     | \$12,444.00    |
| VANGUARD DIVIDEND APPRECIATION INDEX FUND              | \$83,517.50    |
| VANGUARD SHORT-TERM CORPORATE BOND ETF                 | \$12,030.40    |
| VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES ETF | \$23,355.00    |
| WESTERN ASSET PREMIER BOND FUND                        | \$7,182.00     |
|                                                        | \$559,567.46   |

# CASE Family Nevada LLC 2022 Income

| Name of Source Of Income                              | Address of Source of Income | Income Amount |
|-------------------------------------------------------|-----------------------------|---------------|
| ABBVIE INC                                            | Case Family LLC             | \$18,330.00   |
| ALTRIA GROUP INC                                      | Case Family LLC             | \$8,137.80    |
| AMERICAN EXPRESS CO                                   | Case Family LLC             | \$5,970.00    |
| AMGEN INC                                             | Case Family LLC             | \$11,640.00   |
| ANALOG DEVICES INC                                    | Case Family LLC             | \$8,512.00    |
| BLACKROCK MUNI INTER DURATION FUND                    | Case Family LLC             | \$1,859.03    |
| BLACKROCK MUNIHOLDINGS FUND INC                       | Case Family LLC             | \$1,320.66    |
| BLACKSTONE GROUP LP                                   | Case Family LLC             | \$26,150.00   |
| BLOOMFIELD CT GO 2.125% 1/15/2026                     | Case Family LLC             | \$1,062.50    |
| BNY MELLON MUNI BOND INFRASTRUCTURE FUND              | Case Family LLC             | \$1,507.66    |
| BNY MELLON MUNI INCOME INC                            | Case Family LLC             | \$1,714.32    |
| BNY MELLON STRATEGIC MUNI BOND FUND                   | Case Family LLC             | \$2,025.37    |
| BNY MELLON STRATEGIC MUNICIPALS INC                   | Case Family LLC             | \$1,815.78    |
| BOUND BROOK BORO NJ 2% 02/01/2025                     | Case Family LLC             | \$1,000.00    |
| CAPE CORAL FL UTILITY IMPROVEMENT 2.25% 09/01/2023    | Case Family LLC             | \$1,012.50    |
| CAPE CORAL FL UTILITY IMPROVEMENT 2.75% 09/01/2025    | Case Family LLC             | \$1,100.00    |
| CISCO SYSTEMS INC                                     | Case Family LLC             | \$4,530.00    |
| CITIGROUP INC                                         | Case Family LLC             | \$10,200.00   |
| COMCAST CORP CL A                                     | Case Family LLC             | \$7,420.00    |
| CONCORD MA 2% 9/15/2024                               | Case Family LLC             | \$1,000.00    |
| CVS HEALTH CORP                                       | Case Family LLC             | \$9,900.00    |
| DECATUR AL 2% 10/01/2025                              | Case Family LLC             | \$2,000.00    |
| DELRAN TOWNSHIP NJ BOARD OF ED 2% 07/15/2024          | Case Family LLC             | \$1,000.00    |
| DENTON COUNTY TX FRESH WATER 2% 09/01/2024            | Case Family LLC             | \$1,000.00    |
| EMERSON ELECTRIC CORP                                 | Case Family LLC             | \$9,292.50    |
| ENBRIDGE INC                                          | Case Family LLC             | \$19,645.86   |
| ENTERPRISE PRODUCTS PARTNERS LP                       | Case Family LLC             | \$20,680.00   |
| FISHKILL VILLAGE NY 2% 09/01/2023                     | Case Family LLC             | \$1,000.00    |
| FORT BEND COUNTY TX MUN UTIL DI 2% 03/01/2028         | Case Family LLC             | \$1,000.00    |
| FORT BEND COUNTY TX MUN UTIL DI 2% 12/01/2028         | Case Family LLC             | \$1,000.00    |
| FORT BEND COUNTY TX MUNI UTILITY DISTRICT 2% 9/1/2024 | Case Family LLC             | \$1,000.00    |
| GENERAL MILLS INC                                     | Case Family LLC             | \$9,240.00    |
| HARRIS COUNTY TX GO 3% 4/1/2026                       | Case Family LLC             | \$1,500.00    |
| INTERPUBLIC GROUP COS INC                             | Case Family LLC             | \$10,440.00   |
| JEFFERSONVILLE IN REDEV AUTH 2% 08/01/2023            | Case Family LLC             | \$1,000.00    |
| KENTUCKY BOARD FINANCING REV 2% 02/01/2026            | Case Family LLC             | \$1,000.00    |
| LOCKHEED MARTIN CORP                                  | Case Family LLC             | \$9,690.00    |
| MAINSTAY MACKAY DEFINED TERM MUNI                     | Case Family LLC             | \$2,550.00    |
| MCDONALDS CORP                                        | Case Family LLC             | \$11,320.00   |
| MONT BELVIEU TX 2.5% 8/15/2023                        | Case Family LLC             | \$1,250.00    |
| NEUBERGER BERMAN INTER MUNI FUND                      | Case Family LLC             | \$2,349.60    |
| NEW CANEY TX MUNI UTILITY DIST 2% 04/1/2024           | Case Family LLC             | \$1,000.00    |
| NEW MILFORD N J 1.5% 04/01/2029                       | Case Family LLC             | \$1,125.00    |
| NEWTOWN CT 2.125% 02/15/2025                          | Case Family LLC             | \$1,062.50    |
| NOVARTIS AG SPONS ADR                                 | Case Family LLC             | \$5,985.45    |
| PEPSICO INC                                           | Case Family LLC             | \$10,235.00   |
| PFIZER INC                                            | Case Family LLC             | \$9,600.00    |
| PHILIP MORRIS INTERNATIONAL INC                       | Case Family LLC             | \$15,060.00   |

|                                                     |                 |              |
|-----------------------------------------------------|-----------------|--------------|
| PIKE CNTY KY 2.25% 03/01/2024                       | Case Family LLC | \$1,125.00   |
| PROCTER & GAMBLE CO                                 | Case Family LLC | \$9,673.98   |
| PULASKI COUNTY AR SPECIAL SCHOOL DIST 2% 02/01/2029 | Case Family LLC | \$1,000.00   |
| RIO RANCHO NM PUB SCH DIST 2.25% 08/01/2026         | Case Family LLC | \$1,125.00   |
| ROCKLAND COUNTY NY 2.125% 06/01/2025                | Case Family LLC | \$1,062.50   |
| ROGERS AR SCHOOL DIST 2.25% 02/01/2028              | Case Family LLC | \$1,125.00   |
| SAN JUAN COUNTY WA SCHOOL DIST NO 3% 12/01/2027     | Case Family LLC | \$1,500.00   |
| SANOFI SPONS ADR                                    | Case Family LLC | \$9,198.34   |
| SCHENECTADY COUNTY NY 2% 02/15/2024                 | Case Family LLC | \$1,000.00   |
| STARBUCKS CORP                                      | Case Family LLC | \$2,000.00   |
| TRINITY INDUSTRIES INC                              | Case Family LLC | \$8,280.00   |
| UNILEVER PLC SPONS ADR                              | Case Family LLC | \$2,841.15   |
| VALERO ENERGY CORP                                  | Case Family LLC | \$11,760.00  |
| VENTAS INC                                          | Case Family LLC | \$4,050.00   |
| VISA INC                                            | Case Family LLC | \$2,008.14   |
| WEEHAWKEN TWP NJ BOARD ED GO 2% 07/15/2023          | Case Family LLC | \$1,000.00   |
| WELLS FARGO & CO                                    | Case Family LLC | \$5,500.00   |
| WELLTOWER INC                                       | Case Family LLC | \$8,784.00   |
| WEST ORANGE TOWNSHIP NJ 2% 02/01/2024               | Case Family LLC | \$1,000.00   |
| WILLIAMSON COUNTY TX MUN UTIL 2% 09/01/2028         | Case Family LLC | \$1,000.00   |
| WOODLAND HILLS SCH DIST PA 2.1% 09/01/2025          | Case Family LLC | \$1,050.00   |
| James Case .290687 income interest                  |                 | \$102,995.24 |

# James R Case Living Trust 2022 Assets

| Description of Asset                      | Value of Asset |
|-------------------------------------------|----------------|
| ABSOLUTE CONVERTIBLE ARBITRAGE            | \$20,404.52    |
| AIR PRODUCTS & CHEMICALS INC              | \$26,202.10    |
| AMAZON.COM INC                            | \$20,160.00    |
| AMGEN INC                                 | \$22,324.40    |
| ANALOG DEVICES INC                        | \$28,705.25    |
| BANK OF NEW YORK MELLON CORP              | \$16,159.60    |
| BLACKROCK MUNI INCOME II                  | \$19,761.29    |
| CARMEL CLAY IN IND SCHOOLS 3% 01/15/2027  | \$25,097.75    |
| CASH                                      | \$14,404.58    |
| CISCO SYSTEMS INC                         | \$47,640.00    |
| COMAL COUNTY TX 3% 02/01/2025             | \$25,071.25    |
| COUNTRY CLUB HILLS IL 3% 01/01/2026       | \$24,916.50    |
| CVS HEALTH CORP                           | \$27,957.00    |
| EMERSON ELECTRIC CORP                     | \$31,699.80    |
| FISERV INC                                | \$18,697.95    |
| GILEAD SCIENCES INC                       | \$70,568.70    |
| ISHARES S&P SMALL-CAP 600 VALUE ETF       | \$36,524.00    |
| JPMORGAN CHASE & CO                       | \$24,138.00    |
| LOCKHEED MARTIN CORP                      | \$26,756.95    |
| META PLATFORMS INC CL A                   | \$36,102.00    |
| MICROSOFT CORP                            | \$47,964.00    |
| NOVARTIS AG SPONS ADR                     | \$20,412.00    |
| OMNICOM GROUP INC                         | \$16,314.00    |
| PAYCHEX INC                               | \$34,668.00    |
| PERFORMANCE TRUST MUNICIPAL BOND FUND CL  | \$31,288.84    |
| RAYTHEON TECHNOLOGIES CORP                | \$27,753.00    |
| SCHWAB MUNICIPAL MONEY FUND               | \$51,455.18    |
| SCHWAB TREASURY OBLIGATION FUND           | \$25,829.18    |
| STARBUCKS CORP                            | \$18,252.80    |
| THORNBURG LIMITED TERM MUNICIPAL FUND CLA | \$37,073.07    |
| VANGUARD SHORT-TERM INFLATION-PROTECTED S | \$18,684.00    |
| VISA INC                                  | \$31,164.00    |
| WPP PLC ADR                               | \$8,603.00     |
|                                           | \$932,752.71   |

# James R. Case Living Trust 2022 Income

| Name of Source Of Income                               | Address of Source of Income     | Income Amount |
|--------------------------------------------------------|---------------------------------|---------------|
| BLACKROCK MUNI INCOME II                               | James R. Case - Revocable Trust | \$1,169.60    |
| CISCO SYSTEMS INC                                      | James R. Case - Revocable Trust | \$1,510.00    |
| GILEAD SCIENCES INC                                    | James R. Case - Revocable Trust | \$2,920.00    |
| VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES ETF | James R. Case - Revocable Trust | \$1,082.52    |
|                                                        |                                 | \$6,682.12    |

# James R Case IRA 2022 Assets

| Description of Asset                                   | Value of Asset |
|--------------------------------------------------------|----------------|
| ABBVIE INC                                             | \$19,393.20    |
| AIR PRODUCTS & CHEMICALS INC                           | \$15,413.00    |
| ALTRIA GROUP INC                                       | \$5,713.75     |
| AMAZON.COM INC                                         | \$10,080.00    |
| AMERISOURCEBERGEN CORP                                 | \$21,542.30    |
| AMGEN INC                                              | \$12,606.72    |
| BECTON DICKINSON & CO                                  | \$0.00         |
| BLACKROCK CORE BOND FUND                               | \$7,785.00     |
| BLACKSTONE GSO FLOATING RATE FUND                      | \$6,215.00     |
| CASH                                                   | \$27,966.72    |
| CITIGROUP INC                                          | \$9,046.00     |
| COMCAST CORP CL A                                      | \$10,491.00    |
| CVS HEALTH CORP                                        | \$13,978.50    |
| EMBECTA CORP                                           | \$252.90       |
| INVESCO BULLETSHARES 2023 CORPORATE BOND ETF           | \$10,490.00    |
| INVESCO BULLETSHARES 2024 CORPORATE BOND ETF           | \$10,242.55    |
| INVESCO BULLETSHARES 2025 CORPORATE BOND ETF           | \$10,070.00    |
| INVESCO BULLETSHARES 2026 CORPORATE BOND ETF           | \$9,462.50     |
| ISHARES INTERMEDIATE CREDIT BOND ETF                   | \$7,426.50     |
| JPMORGAN CHASE & CO                                    | \$14,751.00    |
| JPMORGAN ULTRA-SHORT INCOME ETF                        | \$9,875.61     |
| LOCKHEED MARTIN CORP                                   | \$17,027.15    |
| MICROSOFT CORP                                         | \$23,982.00    |
| NOVARTIS AG SPONS ADR                                  | \$10,432.80    |
| OMNICOM GROUP INC                                      | \$16,314.00    |
| ORGANON & CO                                           | \$363.09       |
| PEPSICO INC                                            | \$18,066.00    |
| PFIZER INC                                             | \$15,372.00    |
| PFIZER INC 3.2% 09/15/2023                             | \$9,883.88     |
| PROCTER & GAMBLE CO                                    | \$13,337.28    |
| RAYTHEON TECHNOLOGIES CORP                             | \$10,092.00    |
| SCHWAB CHARLES CORP                                    | \$8,742.30     |
| SCHWAB U.S. MID-CAP ETF                                | \$13,122.00    |
| SCHWAB US AGGREGATE BOND ETF                           | \$6,847.50     |
| SCHWAB VALUE ADVANTAGE                                 | \$19,138.66    |
| SIMON PROPERTY GROUP 2.75% 06/01/2023                  | \$9,899.57     |
| SPIRIT REALTY CAPITAL INC                              | \$9,982.50     |
| STARBUCKS CORP                                         | \$14,880.00    |
| TRUIST FINANCIAL CORP                                  | \$9,681.75     |
| UNILEVER PLC SPONS ADR                                 | \$12,386.10    |
| UNITED PARCEL SERVICE INC CL B                         | \$11,299.60    |
| UNITEDHEALTH GROUP INC 2.875% 03/15/2023               | \$9,964.30     |
| VANGUARD SHORT-TERM INFLATION-PROTECTED SECURITIES ETF | \$14,013.00    |
| VERIZON COMMUNICATIONS INC                             | \$10,244.00    |
| VIATRIS INC                                            | \$411.81       |
| VISA INC                                               | \$14,543.20    |

|                                                               |              |
|---------------------------------------------------------------|--------------|
| WELLS FARGO & CO                                              | \$12,387.00  |
| WESTERN ASSET PREMIER BOND FUND                               | \$7,980.00   |
| WISDOMTREE YIELD ENHANCED U.S. SHORT-TERM AGGREGATE BOND FUND | \$9,333.00   |
| WPP PLC ADR                                                   | \$9,832.00   |
|                                                               | \$572,360.74 |

# Case Family Nevada LLC 2022 Assets

| Description of Asset                               | Value of Asset |
|----------------------------------------------------|----------------|
| ABBVIE INC                                         | \$484,830.00   |
| ADAMS COUNTY IL SCHOOL DIST NO 172 3% 02/01/2029   | \$49,685.00    |
| ALLIANCEBERNSTEIN NATL MUNI INCOME                 | \$10,480.00    |
| ALTRIA GROUP INC                                   | \$135,758.70   |
| AMERICAN EXPRESS CO                                | \$443,250.00   |
| AMGEN INC                                          | \$393,960.00   |
| ANALOG DEVICES INC                                 | \$459,284.00   |
| BECKER COUNTY MN GO 2.5% 02/01/2027                | \$24,849.50    |
| BLACKROCK MUNI INTER DURATION FUND                 | \$17,629.48    |
| BLACKROCK MUNIHOLDINGS FUND INC                    | \$23,466.62    |
| BLACKROCK MUNIYIELD FUND INC                       | \$15,615.00    |
| BLACKSTONE GROUP LP                                | \$370,950.00   |
| BLOOMFIELD CT GO 2.125% 1/15/2026                  | \$48,311.50    |
| BNY MELLON MUNI BOND INFRASTRUCTURE FUND           | \$25,434.20    |
| BNY MELLON MUNI INCOME INC                         | \$33,854.08    |
| BNY MELLON STRATEGIC MUNI BOND FUND                | \$17,340.00    |
| BNY MELLON STRATEGIC MUNICIPALS INC                | \$18,330.00    |
| BOUND BROOK BORO NJ 2% 02/01/2025                  | \$48,189.50    |
| BROOKFIELD CT 1% 08/15/2029                        | \$20,935.50    |
| BROOKFIELD CT 2% 10/15/2030                        | \$44,459.50    |
| CAMDEN COUNTY NJ 2% 3/1/2024                       | \$24,645.25    |
| CAPE CORAL FL UTILITY IMPROVEMENT 2.25% 09/01/2023 | \$44,737.65    |
| CAPE CORAL FL UTILITY IMPROVEMENT 2.75% 09/01/2025 | \$39,872.80    |
| CASH                                               | \$187,694.39   |
| CENTRAL KITSAP WA SCHOOL DISTRICT #4 2% 12/1/2024  | \$24,527.25    |
| CISCO SYSTEMS INC                                  | \$142,920.00   |
| CITIGROUP INC                                      | \$226,150.00   |
| CLARKSTOWN NY 1.25% 10/15/2028                     | \$43,661.00    |
| COMCAST CORP CL A                                  | \$244,790.00   |
| CONCORD MA 2% 9/15/2024                            | \$49,110.50    |
| CVS HEALTH CORP                                    | \$419,355.00   |
| DAVIS CA JT UNI SCH DIST 3% 08/01/2030             | \$48,911.50    |
| DECATUR AL 2% 10/01/2025                           | \$96,689.00    |
| DELRAN TOWNSHIP NJ BOARD OF ED 2% 07/15/2024       | \$49,040.00    |
| DELRAN TOWNSHIP NJ BOARD OF ED 2% 07/15/2025       | \$29,073.30    |
| DENTON COUNTY TX FRESH WATER 2% 09/01/2024         | \$48,918.50    |
| EMERSON ELECTRIC CORP                              | \$432,270.00   |
| ENBRIDGE INC                                       | \$290,122.00   |
| ENTERPRISE PRODUCTS PARTNERS LP                    | \$265,320.00   |
| FAULKEY GULLY TX MUNI UTIL DIST 1% 03/01/2029      | \$41,282.00    |
| FEDERATED PREMIER MUNI INCOME FUND                 | \$15,750.00    |
| FISHKILL VILLAGE NY 2% 09/01/2023                  | \$49,559.50    |
| FLORIDA ST DEPT ENVN PROTECTION 2% 07/01/2028      | \$23,803.50    |
| FLORIDA ST TPK AUTH REV 2.625% 07/01/2027          | \$24,964.00    |
| FORT BEND COUNTY TX LEVEE IMPT 1% 09/01/2028       | \$22,421.75    |
| FORT BEND COUNTY TX MUN UTIL 1.5% 09/01/2027       | \$22,807.50    |

|                                                          |              |
|----------------------------------------------------------|--------------|
| FORT BEND COUNTY TX MUN UTIL DI 1% 09/01/2027            | \$21,754.00  |
| FORT BEND COUNTY TX MUN UTIL DI 2% 03/01/2028            | \$46,257.00  |
| FORT BEND COUNTY TX MUN UTIL DI 2% 12/01/2028            | \$44,595.50  |
| FORT BEND COUNTY TX MUNI UTILITY DISTRICT 2% 9/1/2024    | \$48,760.50  |
| FORT BEND COUNTY TX MUNI UTILITY DISTRICT 2.5% 12/1/2026 | \$24,414.75  |
| FRANKLIN COUNTY MO SCHOOL DISTRICT 1.4% 3/1/2023         | \$49,815.50  |
| GENERAL MILLS INC                                        | \$368,940.00 |
| HARRIS COUNTY TX GO 3% 4/1/2026                          | \$50,046.00  |
| HARRIS COUNTY TX MUN UTIL DIST 1.25% 03/01/2027          | \$22,408.00  |
| HASBROUCK HEIGHTS N J 1.25% 09/15/2029                   | \$42,502.50  |
| HILLSBOROUGH COUNTY FL FOURTH C 3% 10/01/2030            | \$24,683.25  |
| HOPEWELL NJ TOWNSHIP 2% 4/1/2023                         | \$44,838.45  |
| INTERPUBLIC GROUP COS INC                                | \$299,790.00 |
| JEFFERSONVILLE IN REDEV AUTH 2% 08/01/2023               | \$49,565.50  |
| JPMORGAN CHASE & CO                                      | \$155,556.00 |
| KENTUCKY ASSN OF COUNTIES REV 1% 02/01/2029              | \$20,412.50  |
| KENTUCKY BOARD FINANCING REV 2% 02/01/2026               | \$47,760.50  |
| LACKAWANNA COUNTY PA 2.625% 09/01/2027                   | \$24,186.00  |
| LADUE MO SCH DIST 2% 03/01/2030                          | \$45,241.00  |
| LE SUEUR CNTY MN 2% 02/01/2027                           | \$24,018.25  |
| LOCKHEED MARTIN CORP                                     | \$413,516.50 |
| MAINSTAY MACKAY DEFINED TERM MUNI                        | \$40,150.00  |
| MCDONALDS CORP                                           | \$527,060.00 |
| MONROE TWP NJ GLOUCESTER COUNTY 2% 05/01/2027            | \$23,492.25  |
| MONT BELVIEU TX 2.5% 8/15/2023                           | \$49,754.50  |
| MONTGOMERY COUNTY TX MUN UTIL DIST 1% 04/01/2028         | \$21,352.50  |
| MOORE OK 1% 03/01/2029                                   | \$20,777.50  |
| NEENAH WI 2.4% 03/01/2028                                | \$47,507.50  |
| NESHANNOCK TWP PA SCH DIST 2% 09/01/2025                 | \$24,074.50  |
| NEUBERGER BERMAN INTER MUNI FUND                         | \$37,564.10  |
| NEVADA ST 3% 08/01/2027                                  | \$25,006.00  |
| NEW CANEY TX MUNI UTILITY DIST 2% 04/1/2024              | \$49,074.50  |
| NEW MILFORD N J 1.5% 04/01/2029                          | \$65,901.75  |
| NEW YORK N Y CITY HSG DEV CORP 1.4% 05/01/2029           | \$42,122.00  |
| NEWTOWN CT 2.125% 02/15/2025                             | \$49,145.00  |
| NOVARTIS AG SPONS ADR                                    | \$163,296.00 |
| NUVEEN QUALITY MUNICIPAL INCOME FUND                     | \$17,711.80  |
| ORGANON & CO                                             | \$5,586.00   |
| PEPSICO INC                                              | \$415,518.00 |
| PERKINS OK GO 2.125% 06/01/2026                          | \$23,981.50  |
| PFIZER INC                                               | \$307,440.00 |
| PHILIP MORRIS INTERNATIONAL INC                          | \$303,630.00 |
| PICKENS CNTY AL BOARD ED 2% 08/01/2027                   | \$23,955.00  |
| PIKE CNTY KY 2.25% 03/01/2024                            | \$49,381.00  |
| PREMONT TX IND SCH DIST 2.875% 08/15/2027                | \$49,924.00  |
| PRINCETON N J BRD ED 2.6% 01/15/2030                     | \$47,516.50  |
| PROCTER & GAMBLE CO                                      | \$406,180.80 |

|                                                       |                |
|-------------------------------------------------------|----------------|
| PULASKI COUNTY AR SPECIAL SCHOOL DIST 2% 02/01/2029   | \$46,055.50    |
| RACINE COUNTY WI 2.5% 06/01/2027                      | \$24,298.00    |
| RAMSEY COUNTY MN 2% 02/01/2026                        | \$24,309.75    |
| RENSSELAER COUNTY NY 2.125% 08/01/2027                | \$24,172.25    |
| RIO RANCHO NM PUB SCH DIST 2.25% 08/01/2026           | \$49,332.50    |
| ROBERTSON COUNTY TN 2% 05/01/2029                     | \$22,582.00    |
| ROCKLAND COUNTY NY 2.125% 06/01/2025                  | \$48,776.50    |
| ROGERS AR SCHOOL DIST 2.25% 02/01/2028                | \$47,623.00    |
| SAN JUAN COUNTY WA SCHOOL DIST NO 3% 12/01/2027       | \$49,859.00    |
| SANOFI SPONS ADR                                      | \$217,935.00   |
| SCHENECTADY COUNTY NY 2% 02/15/2024                   | \$49,263.00    |
| SCHWAB GOVERNMENT MONEY FUND                          | \$676,913.74   |
| SOUTH ORANGE VILLAGE TOWNSHIP NJ 2% 05/01/2030        | \$44,235.50    |
| SPARTANBURG COUNTY SC 2% 04/01/2026                   | \$48,194.00    |
| STARBUCKS CORP                                        | \$99,200.00    |
| STURGEON BAY WI GO 2% 10/01/2026                      | \$23,719.75    |
| SUFFOLK COUNTY NY 2% 06/15/2026                       | \$23,698.75    |
| SUFFOLK COUNTY NY 2% 10/15/2023                       | \$24,715.00    |
| SYLVAN CA UNIFIED SCHOOL DIST 2% 08/01/2029           | \$46,789.50    |
| TRINITY INDUSTRIES INC                                | \$266,130.00   |
| TULSA OK METRO UTIL AUTH UTIL 1.5% 07/01/2029         | \$43,764.00    |
| UNILEVER PLC SPONS ADR                                | \$79,553.00    |
| UNIONVILLE-SEBEWAING MI AREA SCHOOLS 2.75% 05/01/2026 | \$24,903.25    |
| UNITED PARCEL SERVICE INC CL B                        | \$156,456.00   |
| VALERO ENERGY CORP                                    | \$380,580.00   |
| VENTAS INC                                            | \$101,362.50   |
| VIATRIS INC                                           | \$8,280.72     |
| VIRIDIAN TX MUNI MGMT DIST 1% 12/01/2028              | \$41,324.50    |
| VISA INC                                              | \$264,894.00   |
| WEEHAWKEN TWP NJ BOARD ED GO 2% 07/15/2023            | \$49,576.50    |
| WELLS FARGO & CO                                      | \$206,450.00   |
| WELLTOWER INC                                         | \$235,980.00   |
| WEST HARTFORD CT 3% 01/15/2031                        | \$49,713.50    |
| WEST ORANGE TOWNSHIP NJ 2% 02/01/2024                 | \$49,282.50    |
| WESTPORT CT 2% 05/01/2030                             | \$59,241.65    |
| WHITE PLAINS NY SCH DIST 2.25% 05/15/2030             | \$47,742.50    |
| WILLIAMSON COUNTY TX MUN UTIL 2% 09/01/2028           | \$43,756.00    |
| WILLIAMSON COUNTY TX UTIL DIST 1% 08/15/2027          | \$43,273.00    |
| WILTON CT 2% 05/01/2028                               | \$23,967.00    |
| WINK-LOVING TX INDPT SCHOOL DIST 3% 02/15/2030        | \$49,668.00    |
| WOODBIDGE CT 2.75% 07/15/2027                         | \$24,884.25    |
| WOODLAND HILLS SCH DIST PA 2.1% 09/01/2025            | \$48,360.50    |
| YORKTOWN IN COMMUNITY SCHOOLS 2% 01/15/2028           | \$23,661.25    |
| James Case .290687 interest in Case Family LLC        | \$4,132,304.86 |