2025 Form 1 - Statement of Financial Interests

Filed with COE: 06/27/2026

General Information

Name: Ms Deborah Anne Henry

PID 311114

AGENCY INFORMATION

Organization	Suborganization	Title
Marco Island	City Council	Councilor

Disclosure Period

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2025.

Primary Sources of Income

PRIMARY SOURCE OF INCOME (Over $2,500) (Major sources of income to the reporting person)
(If you have nothing to report, write “none” or “n/a”)

Name of Source of Income	Source's Address	Description of the Source's Principal Business Activity
Rental Property	3820 Sawgrass Way Suite 3026 Naples, FL 34112	annual rental
Rental Property	2229 San Marco Road Unit 5-201 Marco Island, FL 34145	annual rental
Rental Property	1008 Manatee Road Unit G101 Naples, FL 34114	annual rental
Rental Property	1532 Mainsail Dr Unit 4 Naples, FL 34114	annual rental
Rental Property	1015 Mainsail Dr Unit 115 Naples, FL 34114	annual rental
See Attached		

Filed with COE: 06/27/2026

Secondary Sources of Income

SECONDARY SOURCES OF INCOME (Major customers, clients, and other sources of income to businesses owned by the reporting person) (If you have nothing to report, write “none” or “n/a”)

Name of Business Entity	Name of Major Sources of Business' Income	Address of Source	Principal Business Activity of Source
N/A			

Real Property

REAL PROPERTY (Land, buildings owned by the reporting person)
(If you have nothing to report, write “none” or “n/a”)

Location/Description
2229 San Marco Road unit 5-201 Marco Island, FL 34145
3820 Sawgrass Way Suite 3026 Naples, FL 34112
1008 Manatee Road Unit G101 Naples, FL Naples 34114
1532 Mainsail Dr Unit 4 Naples, Fl 34114
1015 Mainsail Dr Unit 115 Naples, Fl 34114

Intangible Personal Property

INTANGIBLE PERSONAL PROPERTY (Stocks, bonds, certificates of deposit, etc. over $10,000)
(If you have nothing to report, write “none” or “n/a”)

Type of Intangible	Business Entity to Which the Property Relates
Stock Portfolio	Deborah Henry
Savings	Deborah Henry
See Attached	

Filed with COE: 06/27/2026

Liabilities

LIABILITIES (Major debts valued over $10,000):
(If you have nothing to report, write “none” or “n/a”)

Name of Creditor	Address of Creditor
N/A	

Interests in Specified Businesses

INTERESTS IN SPECIFIED BUSINESSES (Ownership or positions in certain types of businesses)
(If you have nothing to report, write “none” or “n/a”)

Business Entity # 1
N/A

Training

This section applies only to an appointed school superintendent, an elected municipal officer, elected local officer of an independent special district or a commissioner of a community redevelopment agency created under Part III, Chapter 163, each of whom are required to complete annual ethics training pursuant to Section 112.3142, F.S.

☑ I certify that I have completed the required training under Section 112.3142, F.S.

☐ Required training under Section 112.3142, F.S., not applicable to filer for this form year.

Filed with COE: 06/27/2026

Signature of Filer

Deborah Anne Henry

Digitally signed: **06/27/2026**

Filed with COE: 06/27/2026

LPL Financial
1055 LPL Way
Fort Mill, SC 29715

LPL Financial

DEBORAH HENRY AND
BRIAN R HENRY JTTEN
920 TULIP COURT
MARCO ISLAND, FL 34145

This page intentionally left blank.

LPL Financial

Tax Information
Account [redacted]

Statement Date: 02/14/2026
Document ID: 2141 14G NS7F

2025

1055 LPL Way
Fort Mill, SC 29715

PAYER'S TIN: 95-2834236

DEBORAH HENRY AND
BRIAN R HENRY JTTEN
920 TULIP COURT
MARCO ISLAND, FL 34145

RECIPIENT'S TIN: XXX-XX-[redacted]

JOSEPH HAAS (III)
215-835-4003

11 - [ ] FATCA filing requirement (see instructions)

Summary Information

13 - [ ] FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2025 1099-DIV* OMB No. 1545-0110

1a- Total ordinary dividends (includes lines 1b, 5, 2e)		20,612.09
1b- Qualified dividends		20,214.18
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)		161,474.12
2b- Unrecaptured Section 1250 gain		3.67
2c- Section 1202 gain		0.00
2d- Collectibles (28%) gain		0.00
2e- Section 897 ordinary dividends		0.00
2f- Section 897 capital gain		0.00
3- Nondividend distributions		0.00
4- Federal income tax withheld		**0.00**
5- Section 199A dividends		68.61
6- Investment expenses		0.00
8- Foreign country or US possession: See detail	7- Foreign tax paid:	854.63
9- Cash liquidation distributions		0.00
10- Noncash liquidation distributions		0.00
12- Exempt-interest dividends (includes line 13)		0.00
13- Specified private activity bond interest dividends (AMT)		0.00

MISCELLANEOUS INFORMATION 2025 1099-MISC* OMB No. 1545-0115

2- Royalties	0.00
3- Other income	0.00
4- Federal income tax withheld	**0.00**
8- Substitute payments in lieu of dividends or interest	0.00

SECTION 1256 CONTRACTS 2025 1099-B* OMB No. 1545-0715

8- Profit or (loss) realized in 2025 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2024	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2025	0.00
11- Aggregate profit or (loss) on contracts	0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B *and* Proceeds not reported to the IRS *pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	141,771.39	166,231.91	0.00	0.00	-24,460.52
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	**141,771.39**	**166,231.91**	**0.00**	**0.00**	**-24,460.52**
Long	D (basis reported to the IRS)	555,721.30	499,829.97	0.00	0.00	55,891.33
Long	E (basis not reported to the IRS)	20,000.00	20,000.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	**575,721.30**	**519,829.97**	**0.00**	**0.00**	**55,891.33**
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
	Grand total	**717,492.69**	**686,061.88**	**0.00**	**0.00**	**31,430.81**

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2025 may require an amended tax form.

LPL Financial Account [redacted]

Summary Information

2025 **(continued)** 02/14/2026

INTEREST INCOME 2025 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1-	Interest income (not included in line 3)	239.73
2-	Early withdrawal penalty	0.00
3-	Interest on US Savings Bonds & Treasury obligations	0.00
4-	**Federal income tax withheld**	**0.00**
5-	Investment expenses	0.00
7-	Foreign country or U.S. territory: 6- Foreign tax paid:	0.00
8-	Tax-exempt interest (includes line 9)	0.00
9-	Specified private activity bond interest (AMT)	0.00
10-	Market discount (covered lots)	0.00
11-	Bond premium (covered lots)	0.00
12-	Bond premium on Treasury obligations (covered lots)	0.00
13-	Bond premium on tax-exempt bonds (categorized below)	0.00
	Tax-exempt obligations (covered lots)	0.00
	Tax-exempt private activity obligations (AMT, covered lots)	0.00
14-	Tax-exempt and tax credit bond CUSIP number	See detail
	FATCA filing requirement [ ]	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding *page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID *page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	4,265.59
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	116.34
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	14,059.41
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

LPL Financial Account [redacted]

Original Issue Discount

2025 1099-OID* OMB No. 1545-0117 02/14/2026

Original Issue Discount (OID) income is organized into sections for Non Treasury, Treasury, Tax-exempt and Tax-exempt Private Activity Bonds (subject to AMT). Tax lots are grouped under the security description headings which include the CUSIP numbers. Where information is available, tax lots are identified by the original cost and date of purchase and/or a unique tax lot ID number. Subtotals are provided for each bond and for each section. These amounts may not be the correct figures to report on your tax return(s). See the instructions.

If tax-exempt OID was reported to you for purchases made prior to tax year 2017, the amounts were included in the tax-exempt interest totals. Although this income is presented on these pages along with taxable OID, current regulations do not require that all tax-exempt OID be reported to the Internal Revenue Service (IRS). Only tax-exempt OID from covered securities acquired on or after January 1, 2017 must be reported. For your convenience, however, any amounts not reported to the IRS are shown in the column titled "Tax-exempt OID Not Reported to IRS."

Columns are also included for market discount and acquisition premium. Market discount is displayed, however, only if you have elected to recognize it currently rather than at the time of sale or maturity. Wherever the letter "N" appears next to an amount of market discount or acquisition premium it has not been reported to the IRS due either to the tax lot's noncovered status or its date of purchase.

Totals for OID income and related acquisition premium or market discount for the above referenced sections are found in Original Issue Discount and Adjustments. For securities presented in this section, detail of all interest transactions and bond premium amortization are found in either Detail for Interest Income or Detail for Tax-exempt Interest.

Income and adjustment totals at the end of the tax-exempt sections are categorized by your state of residence, US possessions and other states.

FATCA filing requirement [ ]

TAX-EXEMPT ORIGINAL ISSUE DISCOUNT

7- Description:

Tax lot identifier or Cost and date acquired	Quantity	Begin date	11- Tax-exempt OID	Tax-exempt OID not reported to IRS	5- Market discount	6- Acquisition premium	Notes
BETHLEHEM PA 0.0 051527/ CUSIP: 087401GR0 State: PA							
	0.00	12/31/25	0.00	956.73	0.00	24.64	
	Tax lot totals:		**0.00**	**956.73**	**0.00**	**24.64**	**N**
BETHLEHM PA 0.0 051525/ CUSIP: 087401GM1 State: PA							
	0.00	12/31/25	0.00	387.90	0.00	12.07	
	Tax lot totals:		**0.00**	**387.90**	**0.00**	**12.07**	**N**
ERIE PA 0.0 090130/ CUSIP: 295528ES8 State: PA							
	0.00	12/31/25	0.00	893.92	0.00	70.02	
	Tax lot totals:		**0.00**	**893.92**	**0.00**	**70.02**	**N**
LAKE LEHMAN PA0.0 040131/ CUSIP: 510080JV7 State: PA							
	0.00	12/31/25	0.00	794.49	0.00	9.61	
	Tax lot totals:		**0.00**	**794.49**	**0.00**	**9.61**	**N**
SHALER PA 0.0 090129/ CUSIP: 819215PE8 State: PA							
	0.00	12/31/25	0.00	1,232.55	0.00	0.00	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

LPL Financial Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2025 1099-B* OMB No. 1545-0715 02/14/2026

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, Check if basis reported to IRS checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulations. For these transactions the Cost or other basis (column 1e) is always presented as $0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APOLLO GLOBAL MGMT NEW / CUSIP: 03769M106 / Symbol: APO							
09/08/25	100.000	13,355.50	01/08/25	16,218.95	0.00	-2,863.45	Sale
CORE NAT RES INC / CUSIP: 218937100 / Symbol: CNR							
07/31/25	300.000	21,569.00	01/08/25	31,534.99	0.00	-9,965.99	Sale
MFS RESEARCH I / CUSIP: 552981706 / Symbol: MRFIX							
01/08/25	452.434	27,372.27	Various	28,078.06	0.00	-705.79	Total of 3 transactions
NEW WRLD F2 / CUSIP: 649280823 / Symbol: NFFFX							
01/08/25	139.481	10,762.38	Various	10,752.58	0.00	9.80	Total of 2 transactions
SABLE OFFSHORE CORP / CUSIP: 78574H104 / Symbol: SOC							
09/08/25	650.000	14,416.50	01/08/25	15,168.90	0.00	-752.40	Sale

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

LPL Financial | Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2025 1099-B* OMB No. 1545-0715 (continued) 02/14/2026

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENTINELONE INC A / CUSIP: 81730H109 / Symbol: S							
07/31/25	900.000	16,985.00	01/08/25	20,049.91	0.00	-3,064.91	Sale
ZEVIA PBC A / CUSIP: 98955K104 / Symbol: ZVIA							
02/28/25	4,000.000	8,992.74	Various	16,397.02	0.00	-7,404.28	Total of 2 transactions
ROIVANT SCIENCES LTD / CUSIP: G76279101 / Symbol: ROIV							
07/31/25	2,500.000	28,318.00	01/08/25	28,031.50	0.00	286.50	Sale
Totals :		**141,771.39**		**166,231.91**		**-24,460.52**	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFS RESEARCH I / CUSIP: 552981706 / Symbol: MRFIX							
01/08/25	4,658.311	281,827.80	Various	266,137.32	0.00	15,690.48	Total of 6 transactions
NET LSE OFFICE PPTYS / CUSIP: 64110Y108 / Symbol: NLOP							
01/08/25	4.000	109.75	12/14/23	79.76	0.00	29.99	Sale [31]
NEW WRLD F2 / CUSIP: 649280823 / Symbol: NFFFX							
01/08/25	3,496.318	269,775.87	Various	228,819.43	0.00	40,956.44	Total of 17 transactions
W P CAREY INC / CUSIP: 92936U109 / Symbol: WPC							
01/08/25	73.000	4,007.88	12/14/23	4,793.46	0.00	-785.58	Sale [31] Original basis: $4,796.46
Totals :		**555,721.30**		**499,829.97**		**55,891.33**	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

LPL Financial

Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2025 **1099-B*** OMB No. 1545-0715 **(continued)** 02/14/2026

LONG TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Line 5)*

Report on Form 8949, Part II with Box E checked. Basis is NOT provided to the IRS. *(Line 12)*

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BETHLEHM PA 0.0 051525 / CUSIP: 087401GM1 / Symbol:							
05/15/25	20,000.000	20,000.00	09/17/02	20,000.00	0.00	0.00	Redemption [AA] Original basis: $6,482.25
Totals :		**20,000.00**		**20,000.00**		**0.00**	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

LPL Financial Account [redacted]

Detail for Dividends and Distributions

2025 02/14/2026

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, Section 897 dividends and capital gains, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Long-term capital gain distributions (dividends) are included in 1099-DIV Box 2a.
Short-term capital gain distributions are Ordinary Dividends and are included in 1099-DIV Box 1a.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries, The IRS has indicated that boxes 2e and 2f are not applicable to recipients that are U.S. individuals. You should consult your tax advisor for further information.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APOLLO GLOBAL MGMT NEW	03769M106 APO		02/28/25	46.25	Qualified dividend	
			05/30/25	51.00	Qualified dividend	
			08/29/25	51.00	Qualified dividend	
				148.25	**Total Dividends & distributions**	
BARRICK GOLD CORP	067901108		03/17/25	100.00	Qualified dividend	
			03/17/25	-15.00	Foreign tax paid-CA	
				100.00	**Total Dividends & distributions**	
				-15.00	**Total Foreign tax withheld**	
BARRICK MINING CORP	06849F108 B		06/16/25	100.00	Qualified dividend	
			06/16/25	-15.00	Foreign tax paid-CA	
			09/15/25	150.00	Qualified dividend	
			09/15/25	-22.50	Foreign tax paid-CA	
			12/15/25	175.00	Qualified dividend	
			12/15/25	-26.25	Foreign tax paid-CA	
				425.00	**Total Dividends & distributions**	
				-63.75	**Total Foreign tax withheld**	
CHESAPEAKE UTILITY CORP	165303108 CPK		04/07/25	96.00	Qualified dividend	
			07/07/25	102.75	Qualified dividend	
			10/06/25	102.75	Qualified dividend	
				301.50	**Total Dividends & distributions**	

LPL Financial Account [redacted]

Detail for Dividends and Distributions

2025 **(continued)** 02/14/2026

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
CORE NAT RES INC	218937100 CNR		03/17/25	30.00	Qualified dividend	
			06/13/25	30.00	Qualified dividend	
				60.00	**Total Dividends & distributions**	
FUNDAMENTAL INVS F2	360802821 FINFX		03/13/25	915.42	Qualified dividend	03
			06/12/25	8,236.13	Long-term capital gain	
			06/12/25	904.82	Qualified dividend	03
			09/18/25	943.69	Qualified dividend	03
			12/18/25	23,590.01	Long-term capital gain	
			12/18/25	992.35	Qualified dividend	03
				35,582.42	**Total Dividends & distributions**	
GRW OF AMER F2	399874825 GFFFX		12/18/25	49,923.39	Long-term capital gain	
			12/18/25	2,422.75	Qualified dividend	03
				52,346.14	**Total Dividends & distributions**	
ISHS BIOTECH ETF	464287556 IBB		03/21/25	30.29	Qualified dividend	03
			09/19/25	20.71	Qualified dividend	03
			12/19/25	45.77	Qualified dividend	03
				96.77	**Total Dividends & distributions**	
NEW ECONOMY F2	643822828 NEFFX		12/17/25	34,768.08	Long-term capital gain	
NEW PERSP F2	648018828 ANWFX		12/19/25	31,999.27	Long-term capital gain	
			12/19/25	7,502.49	Qualified dividend	03
				39,501.76	**Total Dividends & distributions**	
NIKE INC B	654106103 NKE		04/01/25	160.00	Qualified dividend	
			07/01/25	160.00	Qualified dividend	
			10/01/25	160.00	Qualified dividend	
				480.00	**Total Dividends & distributions**	
NUCOR CORP	670346105 NUE		05/12/25	82.50	Qualified dividend	
			08/11/25	82.50	Qualified dividend	
			11/10/25	82.50	Qualified dividend	
				247.50	**Total Dividends & distributions**	

LPL Financial

Account [redacted]

Detail for Dividends and Distributions

2025 (continued) 02/14/2026

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
RTX CORP	75513E101 RTX		03/20/25	110.25	Qualified dividend	
			06/12/25	119.00	Qualified dividend	
			09/04/25	119.00	Qualified dividend	
			12/11/25	119.00	Qualified dividend	
				467.25	**Total Dividends & distributions**	
ST STR FINL SEL SCTR ETF	81369Y605 XLF		03/26/25	125.14	Qualified dividend	03
			06/25/25	120.47	Qualified dividend	03
			09/24/25	124.60	Qualified dividend	03
			12/24/25	133.54	Qualified dividend	03
				503.75	**Total Dividends & distributions**	
SMALLCAP WRLD A	831681101 SMCWX		06/16/25	342.06	Qualified dividend	03
			06/16/25	44.38	Nonqualified dividend	03
			06/16/25	1.08	Section 199A dividend	03
			06/16/25	-283.98	Foreign tax paid-Various	03
			12/22/25	12,953.57	Long-term capital gain	
			12/22/25	2,196.53	Qualified dividend	03
			12/22/25	284.92	Nonqualified dividend	03
			12/22/25	6.97	Section 199A dividend	03
			12/22/25	-285.80	Foreign tax paid-Various	03
				15,829.51	**Total Dividends & distributions**	
				-569.78	**Total Foreign tax withheld**	
STMICROELECTRONICS N V	861012102 STM		04/01/25	67.51	Qualified dividend	
			04/01/25	-10.13	Foreign tax paid-NL	
			07/01/25	67.51	Qualified dividend	
			07/01/25	-10.13	Foreign tax paid-NL	
			09/30/25	67.51	Qualified dividend	
			09/30/25	-10.13	Foreign tax paid-NL	
			12/23/25	67.51	Qualified dividend	
			12/23/25	-10.13	Foreign tax paid-NL	
				270.04	**Total Dividends & distributions**	
				-40.52	**Total Foreign tax withheld**	
W P CAREY INC	92936U109 WPC		01/15/25	60.56	Section 199A dividend	03
			01/15/25	3.67	Unrecaptured section 1250 gain	03
			01/15/25	0.01	Qualified dividend	03
				64.24	**Total Dividends & distributions**	

LPL Financial Account [redacted]

Detail for Dividends and Distributions

2025 **(continued)** 02/14/2026

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
WYNN RESORTS LTD	983134107 WYNN		03/05/25	50.00	Qualified dividend	
			05/30/25	50.00	Qualified dividend	
			08/29/25	50.00	Qualified dividend	
			11/26/25	50.00	Qualified dividend	
				200.00	**Total Dividends & distributions**	
ICL GROUP LTD	M53213100 ICL		03/25/25	161.20	Qualified dividend	
			03/25/25	-38.55	Foreign tax paid-IS	
			06/18/25	170.40	Qualified dividend	
			06/18/25	-42.60	Foreign tax paid-IS	
			09/17/25	170.40	Qualified dividend	
			09/17/25	-42.60	Foreign tax paid-IS	
			12/17/25	192.00	Qualified dividend	
			12/17/25	-41.83	Foreign tax paid-IS	
				694.00	**Total Dividends & distributions**	
				-165.58	**Total Foreign tax withheld**	
				182,086.21	**Total Dividends & distributions**	
				-854.63	**Total Foreign tax withheld**	

LPL Financial Account [redacted]

Detail for Interest Income

2025 02/14/2026

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
CREDIT INTEREST		01/30/25	0.01	Credit interest	
		08/28/25	0.01	Credit interest	
			0.02	Total Interest	
INSURED CASH ACCOUNT	9999227	01/31/25	25.86	Interest	
		02/03/25	0.10	Interest	
		02/28/25	1.49	Interest	
		03/31/25	9.15	Interest	
		04/30/25	8.22	Interest	
		05/30/25	17.14	Interest	
		06/30/25	24.31	Interest	
		07/31/25	23.60	Interest	
		08/29/25	79.43	Interest	
		09/30/25	29.77	Interest	
		10/31/25	7.42	Interest	
		11/28/25	6.56	Interest	
		12/31/25	6.66	Interest	
			239.71	Total Interest	
			239.73	**Total Interest**	

LPL Financial Account [redacted]

Fees and Expenses

2025 02/14/2026

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099.

You may wish to refer to IRS Publication 529 -Miscellaneous Deductions or consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment of fees and investment expenses.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

If you are enrolled in flexible billing, advisory fees from specified accounts may appear in this section.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
12B1 CREDIT FUNDAMENTA		01/31/25	4.88	Adj- Management fee	
12B1 CREDIT GROWTH FUN		01/31/25	6.17	Adj- Management fee	
12B1 CREDIT NEW ECONOM		01/31/25	4.10	Adj- Management fee	
12B1 CREDIT NEW PERSPE		01/31/25	7.10	Adj- Management fee	
12B1 CREDIT NEW WORLD		01/31/25	3.88	Adj- Management fee	
12B1 CREDIT RESEARCH -		01/31/25	19.61	Adj- Management fee	
12B1 CREDIT SMALLCAP W		01/31/25	63.32	Adj- Management fee	
		02/28/25	62.14	Adj- Management fee	
		03/31/25	56.44	Adj- Management fee	
		04/30/25	58.91	Adj- Management fee	
		05/30/25	54.07	Adj- Management fee	
		06/30/25	61.38	Adj- Management fee	
		07/31/25	62.03	Adj- Management fee	
		08/29/25	66.57	Adj- Management fee	
		09/30/25	67.28	Adj- Management fee	
		10/31/25	66.46	Adj- Management fee	
		11/28/25	68.73	Adj- Management fee	
		12/31/25	65.88	Adj- Management fee	
			753.21	Total Management fee	
ADVISORY FEE		01/09/25	-4,929.65	Management fee	
		04/09/25	-2,962.89	Management fee	
		07/09/25	-3,458.10	Management fee	
		10/08/25	-3,507.72	Management fee	
			-14,858.36	Total Management fee	
			-14,059.41	**Total Management fee**	

LPL Financial Account [redacted]

Mutual Fund and UIT Supplemental Tax Detail

2025 02/14/2026

The following information may be useful in the preparation of your federal and state income tax return(s). This information represents what was available at the time your tax statement was prepared. It may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Note that depending on your state of residence not all Federal Source income is exempt from state taxation. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

					Municipal income		
CUSIP	Security description	Direct federal income*	Indirect federal income*	State of residence	Resident state amount	Other state amounts	Unavailable amounts
831681101	SMALLCAP WRLD A	186.07	0.00		0.00	0.00	0.00
	Total:	**186.07**	**0.00**		**0.00**	**0.00**	**0.00**

* Certain states impose thresholds or other limitations. As a result, the amounts shown may not be exempt from state income tax. Please consult your tax advisor or fund for more information.

LPL Financial **2025 End Notes for Account** [redacted]

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

31 This tax lot was acquired through an inheritance.

AA The cost basis for this position has been adjusted for Original Issue Discount, Accretion, and/or Amortization. Please see your client statement, confirmation(s), and other supporting information for more detail. Please contact your Tax Advisor for any additional information.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Payer's Routing Transit Number (RTN). A payer may include the RTN to identify the bank or financial institution where your account is held.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount on line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount on line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount on line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Instructions for Recipient

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Line 8. This box should be left blank if a RIC reported the foreign tax shown in Line 7.

Line 9 and 10. Show cash and noncash liquidation distributions.

Line 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Line 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Line 14-16. State income tax withheld reporting lines.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the current General Instructions for Certain Information Returns.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer must generally report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in box 1. **Note:** This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. territory to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Form 1040. This amount may be subject to backup withholding. See box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File Program. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value (FMV) of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts executed before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the FMV of any property or services you received, and the FMV of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File Program. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-OID Instructions for Recipient

Instructions for Recipient

Original issue discount (OID) is the excess of an obligation's stated redemption price at maturity over its issue price (acquisition price for a stripped bond or coupon). OID on a taxable obligation is taxable as interest over the life of the obligation. If you are the holder of a taxable OID obligation, generally you must include an amount of OID in your gross income each year you hold the obligation. Obligations that may have OID include a bond, debenture, note, certificate, or other evidence of indebtedness having a term of more than 1 year. For example, the OID rules may apply to certificates of deposit (CDs), time deposits, bonus savings plans, and other deposit arrangements, especially if the payment of interest is deferred until maturity. In addition, the OID rules apply to Treasury inflation-protected securities. See Pub. 550 for more information.

If, as the record holder, you receive Form 1099-OID showing amounts belonging to another person, you are considered a nominee recipient. Complete a Form 1099-OID for each of the other owners showing the amounts allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner as the "recipient." File Form(s) 1099-OID with Form 1096, with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. If you bought or sold an obligation during the year and you are not a nominee, you are not required to issue or file Form 1099-OID showing the OID or stated interest allocable to the seller/buyer of the obligation.

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a covered security acquired with acquisition premium, your payer must generally report either (1) a net amount of OID that reflects the offset of OID by the amount of acquisition premium amortization for the year, or (2) a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Box 1. Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount in box 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Pub. 1212 for details on how to figure the correct OID. See the instructions above for a covered security acquired with acquisition premium.

Box 2. Shows qualified stated interest on this obligation for the year, which is an amount separate from the OID. If you held the obligation the entire year, report this amount as interest income on your tax return. If you disposed of the obligation or acquired it from another holder during the year, see Pub. 550 for reporting instructions. If there is an amount in both boxes 2 and 8, the amount in box 2 is interest on a U.S. Treasury obligation and is exempt from state and local income taxes. If there is an amount in both boxes 2 and 11, the amount in box 2 is tax-exempt interest and is not included in interest income on your tax return. In general, see how to report tax-exempt interest in the Instructions for Form 1040.

Box 3. Shows interest or principal forfeited if you withdrew the money before the maturity date of the obligation, such as from a CD. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Form 1040 to see where to take the deduction.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. For a covered security acquired on or after January 1, 2015, market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). The payer may, but is not required to, report the market discount for a tax-exempt obligation that is a covered security acquired before January 1, 2017. Report the accrued market discount on your income tax return as directed in the Instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 6. For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040). If an amount is not reported in this box for a covered security acquired with acquisition premium, the payer has reported a net amount of OID that reflects the offset of OID that is included in income. If the payer has reported a net amount of OID in box 1 or box 8, you must report the net amount of OID on Schedule B (Form 1040) and you must not make an adjustment to this amount for acquisition premium on Schedule B (Form 1040).

If there is an amount in both boxes 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and is issued with OID, the amount in box 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year. The payer may, but is not required to, report the acquisition premium for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID. See the instructions above for a covered security acquired with acquisition premium.

Box 7. Shows the identification (CUSIP) number or description of the obligation (may include the stock exchange, issuer, coupon rate, and year of maturity).

Box 8. Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Pub. 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included in box 1. See the instructions above for a covered security acquired with acquisition premium. If the number in this line is negative, it represents a deflation adjustment. See Pub. 550 for further information on accounting for this adjustment.

Box 9. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 2. **Note:** This amount is not deductible.

Box 10. For a taxable covered security, including a Treasury inflation-protected security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Schedule B (Form 1040 or 1040-SR). If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 2. If the amount in this line is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

If there is an amount in both boxes 10 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount in box 10 shows the amount of premium amortization for the year that reduces the amount of your tax-exempt interest for the year. The payer may, but is not required to, report the premium amortization for a tax-exempt obligation that is a covered security acquired before January 1, 2017, and issued with OID.

Box 11. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. The payer may, but is not required to, report the OID for a tax-exempt obligation that is a covered security acquired before January 1, 2017. In general, report the amount of tax-exempt OID on Form 1040 or 1040-SR. See the instructions above for a covered security acquired with acquisition premium.

Box 12-14. State income tax withheld reporting.

Future developments. For the latest information about developments related to Form 1099-OID and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099OID.

Free File Program. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

This page intentionally left blank.