

General Information

Name: Marcus Thor Herman

PID 211016

AGENCY INFORMATION

Organization	Suborganization	Title
Brevard County	Merritt Island Redevelopment Agency	Board Member

CANDIDATE FOR

Position	Agency Name	Position sought or held
State Representative	Florida House of Representatives	State Representative

Net WorthMy Net Worth as of April 30, 2026 was \$ 4,985,692.25.**Assets**

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use.

The aggregate value of my household goods and personal effect is \$ 130,000.00.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

2025 Form 6 - Full and Public Disclosure of Financial Interests

Filed with COE: 06/29/2026

Description of Asset	Value of Asset
RESIDENTIAL PROPERTY 222 BROKEN ARROW WAY EPWORTH GA	\$ 368,000.00
PRIMARY RESIDENCE 3015 WATER OAK DR MERRITT ISLAND FL	\$ 522,000.00
BANK ACCOUNT CHASE BANK	\$ 35,508.06
BANK ACCOUNT ALLY BANK	\$ 167,055.90
NOTE RECEIVABLE TRIANGLE AUTO STOCK SALE	\$ 718,994.00
CHARLES SCHWAB TD BANK	\$ 8,857.19
CHARLES SCHWAB PRIME ADVANTAGE	\$ 8,974.69
CHARLES SCHWAB ISHARES 0-3 MONTH	\$ 244,124.75
SHORE TO SUMMIT IRA FIXED INCOME SECURITY MOODY NR CUSIP 06376END0	\$ 53,050.00
SHORE TO SUMMIT IRA BRIGHTHOUSE LIFE INS CO INS PROD L-SHARE VARIABLE ANNUITY	\$ 362,818.25
SHORE TO SUMMIT IRA WELLS FARGO BANK	\$ 2,138.07
SHORE TO SUMMIT IRA STOCKS, OPTIONS, & ETF' SEE ATTACHED FOR DETAIL	\$ 109,852.02
SHORE TO SUMMIT IRA MUTUAL FUNDS SEE ATTACHED FOR DETAIL	\$ 57,433.94
SHORE TO SUMMIT IRA WELLS FARGO BANK	\$ 2,686.38
SHORE TO SUMMIT IRA STOCKS, OPTIONS, & ETF'S SEE ATTACHED FOR DETAILS	\$ 218,366.09
SHORE TO SUMMIT IRA MUTUAL FUNDS SEE ATTACHED FOR DETAILS	\$ 113,883.32
TMT INC S CORPORATION STOCK 100%	\$ 930,080.00
WARTHOR INC S CORPORATION STOCK 100%	\$ 741,022.00
MTH PROPERTIES LLC STOCK 100%	\$ 732,760.00
See Attached	

Liabilities

LIABILITIES IN EXCESS OF \$1,000:

Name of Creditor	Address of Creditor	Amount of Liability
NEWREZ	P.O. BOX 619063 DALLAS TX 75261	\$ 339,348.45

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

Income

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2025 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2025 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding \$1,000	Address of Source of Income	Amount
TMT INC RENTAL REAL ESTATE	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 61,797.00
WARTHOR INC RENTAL REAL ESTATE	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 12,155.00
TRIANGLE AUTO STOCK SALE CAPITAL GAIN INCOME	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 23,892.00
ALLY BANK INTEREST INCOME	P.O. BOX 951 HORSHAM PA	\$ 3,729.00
TRIANGLE AUTO INTEREST INCOME	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 54,421.00
TMT INC INTEREST INCOME	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 40,832.00
WARTHOR INC INTEREST INCOME	56 E MERRITT ISLAND CSWY MERRITT ISLAND FL	\$ 18,560.00
CHARLES SCHWAB & CO INC DIVIDEND INCOME	3000 SCHWAB WAY WESTLAKE TX	\$ 7,166.00
CHARLES SCHWAB & CO INC SALE OF STOCK CAPITAL GAIN INCOME	3000 SCHWAB WAY WESTLAKE TX	\$ 30,058.00
See Attached		

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Name of Business Entity	Name of Major Sources of Business' Income	Address of Source	Principal Business Activity of Source
T M T INC	CMC AUTO REPAIRS LLC	56 E MERRITT ISLAND CSWY	AUTO REPAIR SERVICE
WARTHOR INC	ALL 4 CJ LLC	56 E MERRITT ISLAND CSWY	TIRE RETAIL & REPAIR

Interests in Specified Businesses**Business Entity # 1**

N/A

Training

Based on the office or position you hold, the certification of training required under Section 112.3142, F.S., is not applicable to you for this form year.

CPA/Attorney Signature Only

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, **Kristen Ann Underwood** prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Kristen Ann Underwood

Digitally signed: **06/02/2026**

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6 and that the facts stated in it are true.

Marcus Thor Herman

Digitally signed: 06/08/2026

Filed with COE: 06/29/2026



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE
& YEAR-END SUMMARY

Date Prepared: February 6, 2026

Recipient's Name and Address

02/06-00000-YEIK1829 *

MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD
3015 WATER OAK DR
MERRITT ISLAND FL 32953-8203

Items for Attention

- If you received income in 2025 from any mutual fund or ETF in the Schwab Funds Family®, you can find additional information for these distributions at schwab.com/schwabfundsinfo.
- Schwab provides your Form 1099 tax information as early and as accurately as possible. However, some issuers may provide new information about their securities after the IRS Form 1099 mailing deadline. If Schwab receives updated information from issuers of securities you hold, we are required by the IRS to send you a CORRECTED Form 1099 with changes clearly highlighted. Please plan your tax preparation accordingly.

Important Official IRS Form(s) 1099 Enclosed

The report in this package contains your income tax return documents and year-end summary. Please retain this package for tax preparation purposes.

For tax advice, please consult with a qualified tax advisor, CPA, or financial planner.

To contact Schwab:

If you have any questions or need additional information about your Form(s) 1099 or your year-end summary, please call 1-800-435-4000, 24 hours a day, 7 days a week. We're always here for you.

To contact the IRS:

Tax questions for individuals: 1-800-829-1040

Tax questions for businesses: 1-800-829-4933

To order tax forms or publications: 1-800-829-3676

To pay taxes by credit card: 1-888-272-9829

For additional information and to print forms and publications, visit www.irs.gov.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE
& YEAR-END SUMMARY

Date Prepared: February 6, 2026

Your Form 1099 Composite may include the following Internal Revenue Service (IRS) forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-B and 1099-OID. You'll only receive the form(s) that apply to your particular financial situation and please keep for your records. Please note that information in the Year-End Summary is not provided to the IRS. It is provided to you as additional tax reporting information you may need to complete your tax return.

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Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-DIV: Dividends and Distributions

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (SSN, ITIN, ATIN, or EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Box 1b. Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in boxes 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Form 1040.

Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Box 2d. Shows the portion of the amount in box 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Box 2e. Shows the portion of the amount in box 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Box 2f. Shows the portion of the amount in box 2a that is section 897 gain attributable to disposition of USRPI.

Note: Boxes 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a.

Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Form 1040.

Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7.

Boxes 9 and 10. Show cash and noncash liquidation distributions.

Box 11. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue Code. You may also have a filing requirement. See the Instructions for Form 8938.

Box 12. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding. See Box 4 above.

Box 13. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 12. See the Instructions for Form 6251.

Boxes 14-16. State income tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the current General Instructions for Certain Information Returns.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

Date Prepared: February 6, 2026

Recipient's Name and Address

MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD
3015 WATER OAK DR
MERRITT ISLAND FL 32953-8203

Taxpayer ID Number: ***-**-**** [REDACTED]

Account Number: [REDACTED]

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
3000 SCHWAB WAY
WESTLAKE, TX 76262

Telephone Number: (800) 435-4000

Federal ID Number: 94-1737782

Interest Income — 2025

Form 1099-INT

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0112)

Box	Description	Total
1	Interest Income	\$ 0.89
3	Interest on U.S. Savings Bonds and Treasury Obligations	\$ 0.00
4	Federal Income Tax Withheld	\$ 0.00
5	Investment Expenses	\$ 0.00
6	Foreign Tax Paid	\$ 0.00
7	Foreign Country or U.S. Territory	
8	Tax-Exempt Interest	\$ 0.00
9	Specified Private Activity Bond Interest	\$ 0.00
10	Market Discount	\$ 0.00
11	Bond Premium	\$ 0.00
12	Bond Premium on Treasury Obligations	\$ 0.00
13	Bond Premium on Tax-Exempt Bond	\$ 0.00
14	Tax-Exempt and Tax Credit Bond CUSIP No.	
15	State	
16	State Identification No.	
17	State Tax Withheld	\$ 0.00
FATCA Filing Requirement ☐		

Amount in Box 9 Specified Private Activity Bond Interest subject to Alternative Minimum Tax is already included in Box 8 Tax-Exempt Interest. Both market discount and bond premium reported for covered taxable and tax-exempt bonds. Market discount is only reported if you submitted a written election to include in income currently.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number



TAX YEAR 2025
FORM 1099 COMPOSITE

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

1099-INT: Interest Income

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during the calendar year on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium.

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Box 5. Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included in box 1. Note: This amount is not deductible.

Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Box 7. Shows the country or U.S. territory to which the foreign tax was paid.

Box 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the instructions for Form 1040. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Box 10. For a taxable or tax-exempt covered security, if you made an election under section 1276(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the instructions for Schedule B (Form 1040) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Boxes 15-17. State tax withheld reporting boxes.

Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File Program. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number

TAX YEAR 2025
FORM 1099 COMPOSITE

Date Prepared: February 6, 2026

Recipient's Name and Address

MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD
3015 WATER OAK DR
MERRITT ISLAND FL 32953-8203

Taxpayer ID Number: ***-**-****

Account Number: [REDACTED]

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
3000 SCHWAB WAY
WESTLAKE, TX 76262

Telephone Number: (800) 435-4000

Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2025

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

SHORT-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part I, with **Box A** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired 1c-Date sold or disposed	1d-Proceeds		1e-Cost or other basis	1f-Accrued Market Discount		Realized Gain or (Loss)
		6-Reported to IRS: Gross Proceeds (except where indicated)			1g-Wash Sale Loss Disallowed		
125 FIDELITY ETHEREUM FUND 31613E103 / FETH	S 11/25/24 05/06/25	\$ 2,216.80	\$	\$ 4,326.25	--	\$	(2,109.45)
Security Subtotal		\$ 2,216.80	\$	\$ 4,326.25	--	\$	(2,109.45)
Total Short-Term (Cost basis is reported to the IRS)		\$ 2,216.80	\$	\$ 4,326.25	--	\$	(2,109.45)
Total Short-Term Sales Price of Stocks, Bonds, etc.		\$ 2,216.80	\$	\$ 4,326.25	--	\$	(2,109.45)

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number

TAX YEAR 2025
FORM 1099 COMPOSITE

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099 1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its account reporting requirement under chapter 4 of the Internal Revenue code. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040), and which checkbox is applicable. See the Instructions for your Schedule D (Form 1040) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to

reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, Instructions for Schedule D (Form 1040), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method is generally treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund(QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means (a) stock purchased before 2011, (b) stock in most mutual funds purchased before 2012, (c) stock purchased in or transferred to a dividend reinvestment plan before 2012, (d) debt acquired before 2014, (e) options granted or acquired before 2014, and (f) securities futures contracts executed before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040) instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040) as you may be able to report your transaction directly on Schedule D (Form 1040). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Boxes 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File Program. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

Taxpayer ID Number: ***-**-****

Date Prepared: February 6, 2026

Proceeds from Broker Transactions — 2025 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol		1b-Date acquired 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)
200 ALPHABET INC CLASS CLASS A 02079K305 / GOOGL	S	02/25/22 05/06/25	\$ 32,767.06	\$ 26,480.50	-- \$	6,286.56
Security Subtotal			\$ 32,767.06	\$ 26,480.50	-- \$	6,286.56
150 APPLE INC 037833100 / AAPL	S	VARIOUS 05/06/25	\$ 29,876.81	\$ 20,118.40	-- \$	9,758.41
Security Subtotal			\$ 29,876.81	\$ 20,118.40	-- \$	9,758.41
200 BLOCK INC A CLASS CLASS A 852234103 / XYZ	S	VARIOUS 05/06/25	\$ 9,414.73	\$ 34,256.77	-- \$	(24,842.04)
Security Subtotal			\$ 9,414.73	\$ 34,256.77	-- \$	(24,842.04)
100 BOEING CO 097023105 / BA	S	VARIOUS 05/06/25	\$ 18,531.16	\$ 19,478.32	-- \$	(947.16)
Security Subtotal			\$ 18,531.16	\$ 19,478.32	-- \$	(947.16)
200 DEVON ENERGY CORP NEW 25179M103 / DVN	S	VARIOUS 05/06/25	\$ 6,170.72	\$ 6,740.99	-- \$	(570.27)
Security Subtotal			\$ 6,170.72	\$ 6,740.99	-- \$	(570.27)

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

Taxpayer ID Number: ***-**-****

Date Prepared: February 6, 2026

Proceeds from Broker Transactions — 2025 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol		1b-Date acquired 1c-Date sold or disposed	1d-Proceeds		1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed		Realized Gain or (Loss)
			6-Reported to IRS: Gross Proceeds (except where indicated)					
100 PALANTIR TECHNOLOGIES INCLASS 69608A108 / PLTR	CLASS	S 10/31/22 05/06/25	\$ 10,867.95	\$	859.00	--	\$	10,008.95
Security Subtotal			\$ 10,867.95	\$	859.00	--	\$	10,008.95
100 PAYPAL HLDGS INC 70450Y103 / PYPL	S	03/01/22 05/06/25	\$ 6,836.79	\$	10,957.99	--	\$	(4,121.20)
Security Subtotal			\$ 6,836.79	\$	10,957.99	--	\$	(4,121.20)
4,000 PLANET 13 HLDGS INC NEV 72707C108 / PLNH	S	VARIOUS 05/06/25	\$ 1,128.20	\$	16,513.00	--	\$	(15,384.80)
Security Subtotal			\$ 1,128.20	\$	16,513.00	--	\$	(15,384.80)
100 SPOTIFY TECHNOLOGY S A F L8681T102 / SPOT	S	VARIOUS 05/06/25	\$ 63,537.79	\$	26,121.34	--	\$	37,416.45
Security Subtotal			\$ 63,537.79	\$	26,121.34	--	\$	37,416.45

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

Taxpayer ID Number: ***-**-****

Date Prepared: February 6, 2026

Proceeds from Broker Transactions — 2025 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

LONG-TERM TRANSACTIONS FOR WHICH BASIS IS REPORTED TO THE IRS - Report on Form 8949, Part II, with **Box D** checked.

1a-Description of property (Example 100 sh. XYZ Co.) CUSIP Number / Symbol	1b-Date acquired 1c-Date sold or disposed	1d-Proceeds 6-Reported to IRS: Gross Proceeds (except where indicated)	1e-Cost or other basis	1f-Accrued Market Discount 1g-Wash Sale Loss Disallowed	Realized Gain or (Loss)
225 TESLA INC 88160R101 / TSLA	S VARIOUS 05/06/25	\$ 61,941.42	\$ 49,488.58	-- \$	12,452.84
Security Subtotal		\$ 61,941.42	\$ 49,488.58	-- \$	12,452.84
Total Long-Term (Cost basis is reported to the IRS)		\$ 241,072.63	\$ 211,014.89	-- \$	30,057.74
Total Long-Term Sales Price of Stocks, Bonds, etc.		\$ 241,072.63	\$ 211,014.89	-- \$	30,057.74
Total Sales Price of Stocks, Bonds, etc.		\$ 243,289.43			

FATCA Filing Requirement ☐

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE

Date Prepared: February 6, 2026

Notes for Your Form 1099-B

Box 1b-Date acquired: If there is more than one lot in a sell transaction, the acquisition date for the individual lot in the transaction can be found in the Realized Gain or (Loss) section of the Year-End Summary.

- (1) If **Box 1b-Date acquired** displays as VARIOUS, your sell transaction included multiple tax lots purchased on different acquisition dates within the same holding period and covered/non-covered status. The lots in the transaction are rolled up into a single sell transaction.
- (2) If the cost basis is missing or not provided, a short-term holding period may have been applied for a position that may have been held long term.

Box 1d-Proceeds/Box 6-Reported to IRS: Gross proceeds (except where indicated):

- (1) Gross proceeds from each of your security transactions are reported individually to the IRS.
- (2) Gross proceeds in aggregate are not reported to the IRS and should not be reported on your tax return.
- (3) Net proceeds will only be displayed if the proceeds on a trade have been adjusted for an option premium.

Box 1e-Cost or other basis: Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. When value for the report is unavailable, it is noted as follows:

- | | |
|---------------------|---|
| Not Provided | Schwab is not providing Cost Basis on this security type. |
| Missing | <ol style="list-style-type: none">(1) Cost Basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).(2) The security was purchased more than 10 years ago. |

Realized Gain or (Loss): Realized Gain or (Loss) is not reported to the IRS.

Box 2-Short-term gain or loss, Long-term gain or loss, or Ordinary: Only if you have both long-term and short-term transactions will you receive both long-term and short-term sections of the 1099-B:

- Long-term gain or loss** has a holding period greater than one year.
Short-term gain or loss has a holding period of one year or less.

Other Cost Basis Notes:

- (1) The cost basis and basis adjustments for covered securities are reported to the IRS.
- (2) If "BASIS IS REPORTED TO THE IRS" appears in the section heading, this indicates **Box 12-If checked, basis reported to IRS** is checked. If "BASIS IS AVAILABLE BUT NOT REPORTED" or "BASIS IS MISSING AND NOT REPORTED" appears, this indicates **Box 5-If checked, noncovered security** is checked. These boxes are not displayed on the 1099-B but the boxes are reported to the IRS and included in the download for TurboTax and H&R Block.
- (3) For non-covered securities appearing in sections of the 1099-B which include the headings "BASIS IS AVAILABLE BUT NOT REPORTED TO THE IRS" or "BASIS IS MISSING AND NOT REPORTED TO THE IRS", **Box 1b-Date acquired**, **1e-Cost or other basis**, **1f-Accrued Market Discount** and **1g-Wash Sale Loss Disallowed** are not reported to the IRS.

****Activity Codes (Not reported to the IRS)**

C = Cash in Lieu	E = Exchange	P = Principal	S = Sale	T = Tender	BC = Buy to Close	X = Expiration
CV = Conversion	M = Cash Merger	MT = Maturity	R = Redemption	SS = Short Sale	SC = Sell to Close	

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Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2026

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

INTEREST & DIVIDENDS

The information in the following sections may be helpful for, but not limited to, Schedule B. Please consult with your tax advisor or financial advisor regarding specific questions.

Detail Information of Dividends and Distributions

Description	Symbol	CUSIP Number	Paid in 2025	Paid/Adjusted in 2026 for 2025	Amount
Ordinary Dividends					
Non-Qualified Dividends					
ISHARES 0-3 MONTH	SGOV	46436E718	\$ 6,688.05	\$ 0.00	\$ 6,688.05
SCHWAB PRIME ADVANTAGE M	SWVXX	808515605	\$ 352.86	\$ 0.00	\$ 352.86
Total Non-Qualified Dividends (Included in Box 1a)			\$ 7,040.91	\$ 0.00	\$ 7,040.91
 Short-Term Capital Gains					
SCHWAB PRIME ADVANTAGE M	SWVXX	808515605	\$ 0.01	\$ 0.00	\$ 0.01
Total Short-Term Capital Gains (Included in Box 1a)			\$ 0.01	\$ 0.00	\$ 0.01
 Qualified Dividends					
ALPHABET INC	GOOGL	02079K305	\$ 40.00	\$ 0.00	\$ 40.00
APPLE INC	AAPL	037833100	\$ 37.50	\$ 0.00	\$ 37.50
DEVON ENERGY CORP NEW	DVN	25179M103	\$ 48.00	\$ 0.00	\$ 48.00
Total Qualified Dividends (Box 1b and included in Box 1a)			\$ 125.50	\$ 0.00	\$ 125.50
Total Ordinary Dividends (Box 1a)			\$ 7,166.42	\$ 0.00	\$ 7,166.42
(Total Non-Qualified Dividends, Short-Term Capital Gains and Qualified Dividends)					



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TAX YEAR 2025
YEAR-END SUMMARY

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Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
ALPHABET INC CLASS A	02079K305	200.00	02/25/22	05/06/25	\$ 32,767.06	\$ 26,480.50	-- \$	6,286.56
Security Subtotal					\$ 32,767.06	\$ 26,480.50	-- \$	6,286.56
APPLE INC	037833100	70.00	11/30/20	05/06/25	\$ 13,942.51	\$ 8,179.50	-- \$	5,763.01
APPLE INC	037833100	30.00	02/01/21	05/06/25	\$ 5,975.36	\$ 4,002.90	-- \$	1,972.46
APPLE INC	037833100	50.00	01/24/22	05/06/25	\$ 9,958.94	\$ 7,936.00	-- \$	2,022.94
Security Subtotal					\$ 29,876.81	\$ 20,118.40	-- \$	9,758.41
BLOCK INC A CLASS A	852234103	10.00	11/18/20	05/06/25	\$ 470.74	\$ 1,851.65	-- \$	(1,380.91)
BLOCK INC A CLASS A	852234103	10.00	11/18/20	05/06/25	\$ 470.74	\$ 1,852.30	-- \$	(1,381.56)
BLOCK INC A CLASS A	852234103	80.00	10/13/21	05/06/25	\$ 3,765.89	\$ 19,364.00	-- \$	(15,598.11)
BLOCK INC A CLASS A	852234103	100.00	01/24/22	05/06/25	\$ 4,707.36	\$ 11,188.82	-- \$	(6,481.46)
Security Subtotal					\$ 9,414.73	\$ 34,256.77	-- \$	(24,842.04)
BOEING CO	097023105	35.00	10/28/20	05/06/25	\$ 6,485.91	\$ 5,215.00	-- \$	1,270.91
BOEING CO	097023105	7.00	02/03/21	05/06/25	\$ 1,297.18	\$ 1,452.57	-- \$	(155.39)
BOEING CO	097023105	8.00	02/03/21	05/06/25	\$ 1,482.49	\$ 1,660.00	-- \$	(177.51)
BOEING CO	097023105	25.00	10/05/21	05/06/25	\$ 4,632.79	\$ 5,606.50	-- \$	(973.71)
BOEING CO	097023105	25.00	10/13/21	05/06/25	\$ 4,632.79	\$ 5,544.25	-- \$	(911.46)
Security Subtotal					\$ 18,531.16	\$ 19,478.32	-- \$	(947.16)



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number

TAX YEAR 2025
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2026

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
DEVON ENERGY CORP NEW	25179M103	100.00	07/07/21	05/06/25	\$ 3,085.36	\$ 2,810.00	-- \$	275.36
DEVON ENERGY CORP NEW	25179M103	100.00	10/13/21	05/06/25	\$ 3,085.36	\$ 3,930.99	-- \$	(845.63)
Security Subtotal					\$ 6,170.72	\$ 6,740.99	-- \$	(570.27)
PALANTIR TECHNOLOGIES INCLASS CLASS A	69608A108	100.00	10/31/22	05/06/25	\$ 10,867.95	\$ 859.00	-- \$	10,008.95
Security Subtotal					\$ 10,867.95	\$ 859.00	-- \$	10,008.95
PAYPAL HLDGS INC	70450Y103	100.00	03/01/22	05/06/25	\$ 6,836.79	\$ 10,957.99	-- \$	(4,121.20)
Security Subtotal					\$ 6,836.79	\$ 10,957.99	-- \$	(4,121.20)
PLANET 13 HLDGS INC NEV	72707C108	500.00	11/27/20	05/06/25	\$ 141.03	\$ 2,253.65	-- \$	(2,112.62)
PLANET 13 HLDGS INC NEV	72707C108	500.00	11/27/20	05/06/25	\$ 141.03	\$ 2,262.45	-- \$	(2,121.42)
PLANET 13 HLDGS INC NEV	72707C108	1,000.00	07/01/21	05/06/25	\$ 282.05	\$ 6,999.95	-- \$	(6,717.90)
PLANET 13 HLDGS INC NEV	72707C108	1,000.00	01/24/22	05/06/25	\$ 282.04	\$ 2,492.00	-- \$	(2,209.96)
PLANET 13 HLDGS INC NEV	72707C108	1,000.00	01/24/22	05/06/25	\$ 282.05	\$ 2,504.95	-- \$	(2,222.90)
Security Subtotal					\$ 1,128.20	\$ 16,513.00	-- \$	(15,384.80)
SPOTIFY TECHNOLOGY S A F	L8681T102	2.00	11/25/20	05/06/25	\$ 1,270.76	\$ 539.80	-- \$	730.96
SPOTIFY TECHNOLOGY S A F	L8681T102	2.00	11/25/20	05/06/25	\$ 1,270.76	\$ 541.10	-- \$	729.66
SPOTIFY TECHNOLOGY S A F	L8681T102	16.00	11/25/20	05/06/25	\$ 10,166.05	\$ 4,335.84	-- \$	5,830.21
SPOTIFY TECHNOLOGY S A F	L8681T102	20.00	11/27/20	05/06/25	\$ 12,707.56	\$ 5,391.60	-- \$	7,315.96
SPOTIFY TECHNOLOGY S A F	L8681T102	10.00	02/03/21	05/06/25	\$ 6,353.78	\$ 3,172.50	-- \$	3,181.28



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2026

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as "cost basis is reported to the IRS." Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
SPOTIFY TECHNOLOGY S A F	L8681T102	50.00	10/13/21	05/06/25	\$ 31,768.88	\$ 12,140.50	-- \$	19,628.38
Security Subtotal					\$ 63,537.79	\$ 26,121.34	-- \$	37,416.45
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 421.53	-- \$	404.36
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 421.93	-- \$	403.96
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 422.95	-- \$	402.94
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 428.67	-- \$	397.22
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 433.66	-- \$	392.23
TESLA INC	88160R101	3.00	09/17/20	05/06/25	\$ 825.89	\$ 435.43	-- \$	390.46
TESLA INC	88160R101	12.00	09/18/20	05/06/25	\$ 3,303.54	\$ 1,784.56	-- \$	1,518.98
TESLA INC	88160R101	15.00	09/24/20	05/06/25	\$ 4,129.43	\$ 1,843.50	-- \$	2,285.93
TESLA INC	88160R101	30.00	10/30/20	05/06/25	\$ 8,258.86	\$ 3,848.20	-- \$	4,410.66
TESLA INC	88160R101	15.00	11/18/20	05/06/25	\$ 4,129.43	\$ 2,411.05	-- \$	1,718.38
TESLA INC	88160R101	30.00	11/27/20	05/06/25	\$ 8,258.86	\$ 5,798.70	-- \$	2,460.16
TESLA INC	88160R101	30.00	02/03/21	05/06/25	\$ 8,258.86	\$ 8,565.40	-- \$	(306.54)
TESLA INC	88160R101	15.00	04/12/21	05/06/25	\$ 4,129.43	\$ 3,511.15	-- \$	618.28
TESLA INC	88160R101	15.00	04/12/21	05/06/25	\$ 4,129.43	\$ 3,511.30	-- \$	618.13
TESLA INC	88160R101	45.00	04/08/22	05/06/25	\$ 12,388.24	\$ 15,650.55	-- \$	(3,262.31)
Security Subtotal					\$ 61,941.42	\$ 49,488.58	-- \$	12,452.84
Total Long-Term (Cost basis is reported to the IRS)					\$ 241,072.63	\$ 211,014.89	-- \$	30,057.74
Total Long-Term					\$ 241,072.63	\$ 211,014.89	-- \$	30,057.74



Schwab One® Account of
MARCUS THOR HERMAN
DESIGNATED BENE PLAN/TOD

Account Number
[REDACTED]

TAX YEAR 2025
FORM 1099 COMPOSITE
& YEAR-END SUMMARY

Date Prepared: February 6, 2026

TERMS AND CONDITIONS

GENERAL INFORMATION

Form 1099-Composite

For U.S. taxpayers, the information reported to you on Form 1099 is given to the Internal Revenue Service (IRS). Form 1099-Composite is comprised of the following substitute forms: 1099-DIV, 1099-INT, 1099-MISC, 1099-OID, and 1099-B. The form(s) you receive in Form 1099 Composite depends on the income reportable to the IRS.

Year-End Summary

The information in the Year-End Summary is provided to you as a courtesy, should you need additional information when completing your tax return. When relevant, IRS box numbers are referenced to indicate individual items that make up the totals appearing on your Form(s) 1099. With the exception of the totals reported in the IRS box numbers referenced, Charles Schwab does not provide the details of the Year-End Summary to the IRS.

We recommend that all customers consult their investment and tax advisors prior to using this information.

Corrected Form 1099 and Year-End Summary

We are required to send you a corrected form with the revisions clearly marked when we receive updates or revisions to information contained in the form. This generally occurs if one or more of the issuers of the securities in your account reallocated certain income distribution (e.g., dividends or capital gains) after we mailed your original Form 1099. As a result, the supplemental information in Year-End Summary may be updated.

Upon receiving a corrected form, you may want to consider filing an amended return based on the changes in your taxable income as reported on your corrected Form 1099. We suggest that you consult with a qualified tax advisor, CPA, financial planner, or investment manager before you proceed.

Duplicate Form 1099 and Year-End Summary

If you request a duplicate Form 1099, please be aware that Schwab uses the most up-to-date information available at the time of the production. Thus, your duplicate Form 1099 and Year-End Summary may have more up-to-date information than the original Form 1099.

Realized Gain or (Loss) in Year-End Summary

The Realized Gain or (Loss) section provides information for all your realized gain or (loss) transactions during the tax year. It contains all transactions included in the Form 1099-B as well as transactions that are not reported on Form 1099-B (for example; sales and expirations of noncovered option activities, cash in lieu under \$20, bankruptcy, and worthless securities). This supplemental information is believed to be accurate as of the date the data was compiled but they may not be updated for any corrections after the data was initially compiled.

IN CASE OF QUESTIONS: If you have questions about this report or about specific Schwab accounts or Schwab One transactions (other than wire transfers or check transactions), contact Schwab at 1-800-435-4000. If you have a complaint regarding your Schwab statement or our products and services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105. Outside of the U.S., call +1-415-667-5009.



SNAPSHOT

Page 1 of 8

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

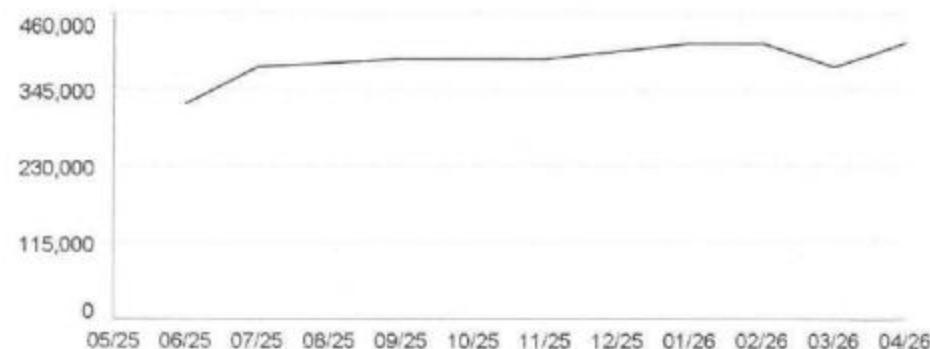
APRIL 1, 2026 - APRIL 30, 2026

ACCOUNT NUMBER: [REDACTED]

Progress summary

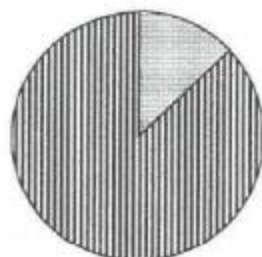
	THIS PERIOD	THIS YEAR
Opening value	\$379,181.59	\$403,851.58
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	0.00	0.00
Securities withdrawn	0.00	0.00
Change in value	36,688.58	12,018.59
Closing value	\$415,870.17	\$415,870.17

Value over time



Portfolio summary

CURRENT



ASSETS

ASSET TYPE	PREVIOUS VALUE ON MAR 31	%	CURRENT VALUE ON APR 30	%	ESTIMATED ANN. INCOME
Cash and sweep balances	1.92	0.00	1.92	0.00	0
Stocks, options & ETFs	0.00	0.00	0.00	0.00	0
Fixed income securities	49,705.00	13.11	53,050.00	12.76	0
Mutual funds	0.00	0.00	0.00	0.00	0
Annuities/insurance #	329,474.67	86.89	362,818.25	87.24	0
Asset value	\$379,181.59	100%	\$415,870.17	100%	\$0

Certain assets in this category may not be held in your account. None of the positions are protected by SIPC. If you no longer own any of these investments, please tell us so we can update this section. For important additional information please refer to the disclosures contained in this statement.

SNAPSHOT

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$1.92	
Net additions to cash	\$0.00	\$0.00
Net subtractions from cash	\$0.00	\$0.00
Closing value of cash and sweep balances	\$1.92	

Income summary *

	THIS PERIOD	THIS YEAR
Total income	\$0.00	\$0.00

* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.

Gain/loss summary **

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term/Net lots	3,050.00	0.00	0.00
Long term (L)	0.00	0.00	0.00
Total	\$3,050.00	\$0.00	\$0.00

** Net tax lots can combine short and long term holdings, along with reinvested and systematic dividend lots into the Short term/Net lots category.



SNAPSHOT

Page 3 of 8

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026

ACCOUNT NUMBER: [REDACTED]

Retirement summary

IRA Plan Current Value **\$415,870.17**

A portion of these assets may not be covered by SIPC.

IS LEAVING A LEGACY IMPORTANT TO YOU? CONFIRM YOUR BENEFICIARY DESIGNATIONS TO BE SURE YOUR ELECTIONS ARE IN ORDER AND SUITABLE FOR YOUR CURRENT SITUATION. CONTACT US TODAY TO DISCUSS BENEFICIARY PLANNING.

ACCOUNT INFORMATION

Account Holder Birthdate:

Attained Age as of 12/31/26:

[REDACTED]
62

RETIREMENT TRANSACTIONS

CONTRIBUTION SUMMARY

AMOUNT

Contributions

2026 FOR 2026

\$0.00

2026 DISTRIBUTION SUMMARY

AMOUNT

Gross Distributions

\$0.00

SNAPSHOT

Page 4 of 8

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor

FIACCO/BROWN/SPIKER
Phone: 321-452-0100

105 EAST OAK
UNIT 1A
BOZEMAN, MT 59715

Account profile

Full account name: MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN
IRA Brokerage Cash Services
[REDACTED]
Account type: Retirement
Brokerage account number: CONSERVATIVE GROWTH
Brokerage Cash Services number: LONG TERM (10+ YEARS)
Tax status: NONE
Investment objective/Risk tolerance:* First in, First out
Time horizon:* BANK DEPOSIT SWEEP
Liquidity needs:*
Cost Basis Election:
Sweep option:

*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

Available funds

Cash	0.00
Money market and sweep funds	1.92
Available for loan	0.00
Your total available funds	\$1.92

Client service information

Client service: 800-266-6263
Website: www.wellsfargoadvisors.com

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on at wellsfargoadvisors.com, go to **Portfolio** and select **Statements & Docs**, and then click on the **Delivery Preferences** link. Choose **Paperless - All Docs** or view your Delivery Settings details to select specific account documents for paperless delivery. If you do not have a Username and Password, visit wellsfargoadvisors.com/signup or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIANAPRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. The money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies generally first held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at a Wells Fargo affiliated bank as described in the Bank Deposit Sweep disclosure.

Brokered Liquid Deposit - Consists of monies held at Wells Fargo Bank N.A., as described in the Brokered Liquid Deposit Disclosure.

Assets in the Bank Deposit Sweep Program and Brokered Liquid Deposit are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. For additional information, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.00	0.000	1.92	0.00
Interest Period 04/01/26 - 04/30/26				
Total Cash and Sweep Balances	0.00		\$1.92	\$0.00

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Bank Deposit Allocation

Monies on deposit at each bank are eligible for FDIC insurance of up to \$250,000 per depositor, per bank in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. In those instances where deposit balances exceed the maximum FDIC insurance limits, those deposits will be uninsured. Deposits at each bank are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2pm ET on the last business day of the month. For additional information, please contact Your Financial Advisor.

DESCRIPTION	FDIC CERT NUMBER	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	3511	1.92	04/30
Total Bank Deposits		\$1.92	

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Market Linked Notes and Securities

For more information, see the Specific instructions and disclosures page.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
BMO 2YR MLS LNKD TO NDX PAYS @ MTY CPN 0.000% DUE 08/04/27 DTD 08/04/25 FC 08/04/27 Moody NR CUSIP 06376END0 Acquired 07/30/25 S	12.76	50,000	100.00	50,000.00	106.1000	53,050.00	3,050.00	N/A	N/A	N/A
Total Market Linked Notes and Securities	12.76			\$50,000.00		\$53,050.00	\$3,050.00			
Total Fixed Income Securities	12.76			\$50,000.00		\$53,050.00	\$3,050.00			



MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Annuities/Insurance

These positions are not held in your account and are not protected by SIPC. These positions are held directly by the issuing insurance company and are shown for informational purposes only. Annuity and insurance information is provided directly from the insurance companies. Accuracy is not guaranteed and surrender charges may apply. IRA holders will receive a separate IRS Form 5498/FMV Statement in compliance with IRS requirements. Total premium(s) represents the gross amount of premiums made since the contract issue date and is not reduced by any withdrawals. Elected benefit rider information may not be available from all insurance companies. Verify contract and rider information prior to taking action on your contract as values may have changed. Please contact Your Financial Advisor should you have any questions about the contracts you own.

Variable Annuities

DESCRIPTION	% OF ACCOUNT	AS OF VALUE DATE	ESTIMATED MARKET VALUE
BRIGHTHOUSE LIFE INS CO INS PROD L-SHARE VARIABLE ANNUITY CONTR# 1101520874 ISSUE DATE 6/26/07 TOTAL PREMIUM(S) \$149,899.17	87.24	04/29/26	362,818.25
Sub Funds	Units	Estimated Value	% Market Value
American Funds Aggre	11,602.03880	362,818.25	100.00
SURRENDER VALUE (As of 04/28/26)		363,500.60	
ELECTED BENEFIT(S) (As of 04/28/26)			
Guaranteed Min Income Benefit			
PROTECTED BENEFIT BASE		449,283.18	
DEATH BENEFIT		363,530.60	
Total Variable Annuities	87.24		\$362,818.25
Total Annuities/Insurance	87.24		\$362,818.25

SNAPSHOT

Page 2 of 13

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$2,293.09	
Income and distributions	142.04	757.73
Securities sold and redeemed	14,868.16	45,343.96
Net additions to cash	\$15,010.20	\$46,101.69
Securities purchased	-14,763.06	-46,050.43
Advisory, manager and platform fees	-401.37	-809.81
Other subtractions, transfers & charges	-0.79	-0.79
Net subtractions from cash	-\$15,165.22	-\$46,861.03
Closing value of cash and sweep balances	\$2,138.07	

Income summary *

	THIS PERIOD	THIS YEAR
Money market/sweep funds	1.12	5.62
Dividends and short term capital gains	140.92	649.78
Total income	\$142.04	\$655.40

* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.



SHORE TO SUMMIT
WEALTH MANAGEMENT

SNAPSHOT

Page 3 of 13

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026

ACCOUNT NUMBER: [REDACTED]

Retirement summary

IRA Plan Current Value **\$169,424.03**

A portion of these assets may not be covered by SIPC.

IS LEAVING A LEGACY IMPORTANT TO YOU? CONFIRM YOUR BENEFICIARY DESIGNATIONS TO BE SURE YOUR ELECTIONS ARE IN ORDER AND SUITABLE FOR YOUR CURRENT SITUATION. CONTACT US TODAY TO DISCUSS BENEFICIARY PLANNING.

ACCOUNT INFORMATION

Account Holder Birthdate: [REDACTED]

Attained Age as of 12/31/26:

62

RETIREMENT TRANSACTIONS

CONTRIBUTION SUMMARY

AMOUNT

Contributions

2026 FOR 2026

\$0.00

2026 DISTRIBUTION SUMMARY

AMOUNT

Gross Distributions

\$0.00

SNAPSHOT

Page 4 of 13

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor

FIACCO/BROWN/SPIKER
Phone: 321-452-0100

105 EAST OAK
UNIT 1A
BOZEMAN, MT 59715

Please visit us at: www.wellsfargoadvisors.com

Account profile

Full account name:	MARCUS T HERMAN (IRA)
Account type:	WFCS AS CUSTODIAN
Brokerage account number:	[REDACTED]
Tax status:	Individual Retirement Account
Investment objective/Risk tolerance:*	Retirement
Time horizon:*	CONSERVATIVE GROWTH
Liquidity needs:*	LONG TERM (10+ YEARS)
Cost Basis Election:	NONE
Sweep option:	First in, First out
Your advisory program:	BANK DEPOSIT SWEEP
Your Effective Fee Rate:**	PIM
	1.00%

*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

**For more information, please review the Specific instructions and disclosures section of this statement.

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on at wellsfargoadvisors.com, go to **Portfolio** and select **Statements & Docs**, and then click on the **Delivery Preferences** link. Choose **Paperless - All Docs** or view your Delivery Settings details to select specific account documents for paperless delivery. If you do not have a Username and Password, visit wellsfargoadvisors.com/signup or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIANAPRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]**Additional information**

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Gross proceeds	14,868.16	45,343.96	Foreign withholding	-0.79	-0.79

Portfolio detail**Cash and Sweep Balances**

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. The money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies generally first held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at a Wells Fargo affiliated bank as described in the Bank Deposit Sweep disclosure.

Brokered Liquid Deposit - Consists of monies held at Wells Fargo Bank N.A., as described in the Brokered Liquid Deposit Disclosure.

Assets in the Bank Deposit Sweep Program and Brokered Liquid Deposit are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. For additional information, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	1.26	0.643	2,138.07	14.00
Interest Period 04/01/26 - 04/30/26				
Total Cash and Sweep Balances	1.26		\$2,138.07	\$14.00

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Bank Deposit Allocation

Monies on deposit at each bank are eligible for FDIC insurance of up to \$250,000 per depositor, per bank in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. In those instances where deposit balances exceed the maximum FDIC insurance limits, those deposits will be uninsured. Deposits at each bank are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2pm ET on the last business day of the month. For additional information, please contact Your Financial Advisor.

DESCRIPTION	FDIC CERT NUMBER	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	3511	2,138.07	04/30



MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA TR I ETF CORE BD CRUX	2.38	134	30.0600	4,028.04	84	2.08
COSTCO WHSL CORP NEW COM COST	1.20	2	1,014.5300	2,029.06	12	0.57
ELI LILLY & CO LLY	1.65	3	934.6000	2,803.80	21	0.74
GE AEROSPACE NEW GE	1.03	6	289.9300	1,739.58	11	0.64
JANUS HENDERSON ETF SHORT DURATION INCOME VNLA	1.36	47	48.8800	2,297.36	111	4.82
JOHNSON & JOHNSON JNJ	1.22	9	229.8500	2,068.65	48	2.33
JPMORGAN CHASE & CO JPM	1.66	9	313.2300	2,819.07	54	1.91
LAM RESH CORP NEW LRCX	0.91	6	257.8600	1,547.16	6	0.40
MARTIN MARIETTA MATERIALS INC MLM	1.46	4	619.0700	2,476.28	13	0.53
MASTERCARD INC CL A MA	1.78	6	502.9200	3,017.52	21	0.69
META PLATFORMS INC CLASS A META	1.81	5	611.9100	3,059.55	11	0.34
MICROSOFT CORP MSFT	1.20	5	407.7800	2,038.90	18	0.89
MORGAN STANLEY & CO MS	0.79	7	190.5900	1,334.13	28	2.09
MOTOROLA SOLUTIONS INC COM NEW MSI	1.30	5	439.0300	2,195.15	24	1.10
NEXTERA ENERGY INC NEE	1.04	18	97.8800	1,761.84	45	2.54



MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
Total Stocks and ETFs	64.84			\$109,852.02	\$1,454	1.32
Total Stocks, options & ETFs	64.84			\$109,852.02	\$1,454	1.32

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
INVESCO VALUE OPPORTUNITIES FD CLASS Y VVOIX On Reinvestment	4.51	277.73400	27.5000	7,637.68	30	0.38
ALPS/SMITH TOTAL RETURN BOND FD CL I SMTHX On Reinvestment	2.37	416.69500	9.6200	4,008.60	179	4.46
AQR FDS LONG-SHORT EQUITY FD CLASS I QLEIX On Reinvestment	1.29	106.51800	20.5500	2,188.94	39	1.80
BLACKROCK FUNDS ADVANTAGE INTERNATIONAL FUND INSTL CL SH BROIX On Reinvestment	4.30	296.42000	24.5800	7,286.00	189	2.59
BLACKROCK EVENT DRIVEN EQUITY FUND INSTL BILPX On Reinvestment	1.29	206.42700	10.5800	2,183.99	75	3.42

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I JMUIX On Reinvestment	1.43	279.57700	8.6700	2,423.93	161	6.63
NATIXIS FDS TR II LOOMIS SAYLES ALPHA FD CL Y LASXX On Reinvestment	2.39	411.88300	9.8300	4,048.80	198	4.89
JP MORGAN DEVELOPED INT'L VALUE FUND CLASS I JIESX On Reinvestment	3.00	227.46500	22.3300	5,079.29	135	2.66
PGIM JENNISON SMALL COMPANY CL Z PSCZX On Reinvestment	4.50	277.11400	27.5300	7,628.94	24	0.31
PGIM TOTAL RETURN BOND CL Z PDBZX On Reinvestment	2.36	333.02700	12.0300	4,006.31	182	4.54
PGIM JEN INTL OPPORTUNITIES CL Z PWJZX On Reinvestment	3.00	144.01200	35.3300	5,087.94	9	0.18
TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y MXIIX On Reinvestment	2.38	390.55800	10.3100	4,026.65	210	5.20
ALLSPRING PRECIOUS METALS FUND CLASS INSTL EKVYX On Reinvestment	1.08	11.92400	153.2100	1,826.87	26	1.44
Total Open End Mutual Funds	33.90			\$57,433.94	\$1,458	2.54
Total Mutual Funds	33.90			\$57,433.94	\$1,458	2.54



MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			2,293.09
04/01	Cash	DIVIDEND		GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL 033126 167.63200 AS OF 3/31/26		16.64	
04/01	Cash	DIVIDEND		JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I 033126 278.02300 AS OF 3/31/26		13.41	
04/01	Cash	DIVIDEND		NVIDIA CORP 040126 9		0.09	
04/01	Cash	DIVIDEND		PGIM TOTAL RETURN BOND CL Z 033126 331.78400 AS OF 3/31/26		14.96	
04/01	Cash	REINVEST DIV	0.69900	GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL REINVEST AT 23.820		-16.64	
04/01	Cash	REINVEST DIV	1.55400	JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I REINVEST AT 8.630		-13.41	
04/01	Cash	REINVEST DIV	1.24300	PGIM TOTAL RETURN BOND CL Z REINVEST AT 12.040		-14.96	
04/07	Cash	DIVIDEND		JANUS HENDERSON ETF SHORT DURATION INCOME 040726 47		8.89	2,293.18 2,302.07
04/08	Cash	FEE/CREDIT		REBATE OF PROGRAM FEE*		1.32	2,303.39
04/09	Cash	DIVIDEND		TAIWAN SEMICONDUCTOR MFG CO LTD ADR 040926 4		3.76	
04/09	Cash	WITHHOLDING		FRGN-W/H @ SOURCE TAIWAN SEMICONDUCTOR MFG		-0.79	2,306.36
04/10	Cash	FEE/CREDIT		NET FEE ACCT CREDIT 15.98 PLATFORM FEE (19.94)*		-3.96	

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/10	Cash	FEE/CREDIT		PIM QUARTERLY FEE*		-398.73	1,903.67
04/14	Cash	DIVIDEND		AMPHENOL CORP NEW CL A 041426 17		4.25	1,907.92
04/15	Cash	DIVIDEND		MOTOROLA SOLUTIONS INC COM NEW 041526 5		6.05	
04/15	Cash	DIVIDEND		THERMO FISHER SCIENTIFIC INC 041526 3		1.41	1,915.38
04/17	Cash	DIVIDEND		INTUIT INC 041726 8		9.60	1,924.98
04/21	Cash	SALE	-168.33100	GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL	23.9500	4,031.53	
04/21	Cash	SALE	-6.00000	CONSTELLATION ENERGY CORP	280.4144	1,682.46	
04/21	Cash	SALE	-8.00000	INTUIT INC	408.9866	3,271.82	
04/21	Cash	STOCK DISTRIB	40.00000	VANGUARD GROWTH ETF			
04/21	Cash	PURCHASE	134.00000	COLUMBIA TR I ETF CORE BD	30.2000	-4,046.80	
04/21	Cash	PURCHASE	6.00000	LAM RESH CORP NEW	261.1750	-1,567.05	
04/21	Cash	PURCHASE	18.00000	NEXTERA ENERGY INC	91.3377	-1,644.08	
04/21	Cash	PURCHASE	9.00000	PALO ALTO NETWORKS	174.6900	-1,572.21	2,080.65
04/22	Cash	DIVIDEND		CISCO SYSTEMS INC 042226 22		9.24	2,089.89
04/24	Cash	DIVIDEND		ALPS/SMITH TOTAL RETURN BOND FD CL I 042326 415.16500 AS OF 4/23/26		14.78	
04/24	Cash	REINVEST DIV	1.53000	ALPS/SMITH TOTAL RETURN BOND FD CL I REINVEST AT 9.660		-14.78	2,089.89
04/27	Cash	DIVIDEND		GE AEROSPACE NEW 042726 6		2.82	
04/27	Cash	SALE	-13.00000	CHEVRON CORPORATION	183.7251	2,388.38	
04/27	Cash	SALE	-5.00000	STRYKER CORP	327.6730	1,638.34	

MARCUS T HERMAN (IRA)
WFCS AS CUSTODIANAPRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]**Activity detail continued**

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/27	Cash	SALE	-6.00000	VISA INC CLASS A	309.2784	1,855.63	
04/27	Cash	PURCHASE	6.00000	AMERICAN EXPRESS COMPANY	315.3036	-1,891.82	
04/27	Cash	PURCHASE	9.00000	JOHNSON & JOHNSON	225.6713	-2,031.04	
04/27	Cash	PURCHASE	27.00000	WILLIAMS COMPANIES INC	71.5982	-1,933.15	2,119.05
04/30	Cash	DIVIDEND		JPMORGAN CHASE & CO 043026 9		13.50	
04/30	Cash	DIVIDEND		STRYKER CORP 043026 5		4.40	
04/30	Cash	DIVIDEND		TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y 042926 388.89600 AS OF 4/29/26		17.12	
04/30	Cash	INTEREST		BANK DEPOSIT SWEEP 043026 2.136		1.12	
04/30	Cash	REINVEST DIV	1.66200	TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y REINVEST AT 10.300		-17.12	2,138.07

*For more information about fees and credits associated with the advisory program in which this account has been enrolled, please review the advisory program's Form ADV Part 2 disclosure document.

Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	2,293.09	04/17	TRANSFER TO	BANK DEPOSIT SWEEP	9.60
04/01	TRANSFER TO	BANK DEPOSIT SWEEP	0.09	04/22	TRANSFER TO	BANK DEPOSIT SWEEP	164.91
04/07	TRANSFER TO	BANK DEPOSIT SWEEP	8.89	04/27	TRANSFER TO	BANK DEPOSIT SWEEP	2.82
04/09	TRANSFER TO	BANK DEPOSIT SWEEP	1.32	04/28	TRANSFER TO	BANK DEPOSIT SWEEP	26.34
04/10	TRANSFER TO	BANK DEPOSIT SWEEP	2.97	04/30	REINVEST INT	BANK DEPOSIT SWEEP	1.12
04/13	TRANSFER FROM	BANK DEPOSIT SWEEP	-402.69	04/30	TRANSFER TO	BANK DEPOSIT SWEEP	17.90
04/14	TRANSFER TO	BANK DEPOSIT SWEEP	4.25	04/30		ENDING BALANCE	2,138.07
04/15	TRANSFER TO	BANK DEPOSIT SWEEP	7.46				

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SHORE TO SUMMIT
WEALTH MANAGEMENT

SNAPSHOT

Page 1 of 13

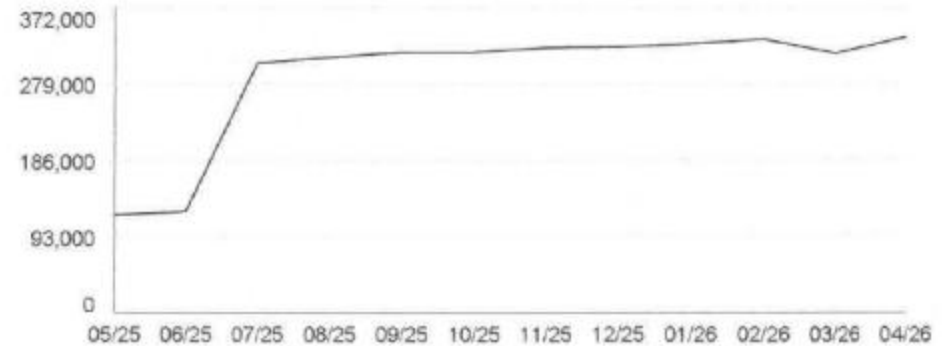
MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

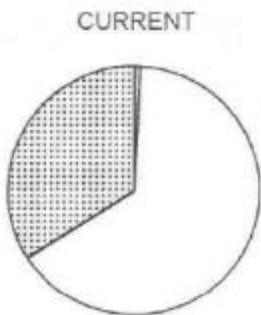
Progress summary

	THIS PERIOD	THIS YEAR
Opening value	\$315,071.88	\$323,542.32
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	-794.62	-1,602.02
Securities withdrawn	0.00	0.00
Change in value	20,658.53	12,995.49
Closing value	\$334,935.79	\$334,935.79

Value over time



Portfolio summary



ASSETS	ASSET TYPE	PREVIOUS		CURRENT		ESTIMATED ANN. INCOME
		VALUE ON MAR 31	%	VALUE ON APR 30	%	
	Cash and sweep balances	3,340.19	1.06	2,686.38	0.80	17
	Stocks, options & ETFs	194,892.44	61.86	218,366.09	65.20	2,886
	Fixed income securities	0.00	0.00	0.00	0.00	0
	Mutual funds	116,839.25	37.08	113,883.32	34.00	2,876
	Asset value	\$315,071.88	100%	\$334,935.79	100%	\$5,779

SNAPSHOT

Page 2 of 13

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$3,340.19	
Income and distributions	279.45	1,496.83
Securities sold and redeemed	28,957.37	86,977.97
Net additions to cash	\$29,236.82	\$88,474.80
Securities purchased	-29,096.01	-89,285.96
Advisory, manager and platform fees	-793.04	-1,600.44
Other subtractions, transfers & charges	-1.58	-1.58
Net subtractions from cash	-\$29,890.63	-\$90,887.98
Closing value of cash and sweep balances	\$2,686.38	

Income summary *

	THIS PERIOD	THIS YEAR
Money market/sweep funds	1.51	8.83
Dividends and short term capital gains	277.94	1,286.58
Total income	\$279.45	\$1,295.41

* Certain distributions made in the current year are reported as prior year income according to IRS regulations. This may cause a difference between Cash Flow and Income Summary totals.



SHORE TO SUMMIT
WEALTH MANAGEMENT

SNAPSHOT

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MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026

ACCOUNT NUMBER: [REDACTED]

Retirement summary

IRA Plan Current Value **\$334,935.79**

A portion of these assets may not be covered by SIPC.

IS LEAVING A LEGACY IMPORTANT TO YOU? CONFIRM YOUR BENEFICIARY DESIGNATIONS TO BE SURE YOUR ELECTIONS ARE IN ORDER AND SUITABLE FOR YOUR CURRENT SITUATION. CONTACT US TODAY TO DISCUSS BENEFICIARY PLANNING.

ACCOUNT INFORMATION

Account Holder Birthdate:	[REDACTED]
Attained Age as of 12/31/26:	62

RETIREMENT TRANSACTIONS

CONTRIBUTION SUMMARY	AMOUNT
Contributions	
2026 FOR 2026	\$0.00

2026 DISTRIBUTION SUMMARY	AMOUNT
Gross Distributions	\$0.00

SNAPSHOT

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MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Your Financial Advisor

FIACCO/BROWN/SPIKER
Phone: 321-452-0100

105 EAST OAK
UNIT 1A
BOZEMAN, MT 59715

Please visit us at: www.wellsfargoadvisors.com

Account profile

Full account name:

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN
ROTH IRA

Account type:

Retirement

Brokerage account number:

CONSERVATIVE GROWTH
LONG TERM (10+ YEARS)

Tax status:

NONE

Investment objective/Risk tolerance:*

Time horizon:*

Liquidity needs:*

Cost Basis Election:

First in, First out

Sweep option:

BANK DEPOSIT SWEEP

Your advisory program:

PIM

Your Effective Fee Rate:**

1.00%

*For more information, please visit us at: www.wellsfargoadvisors.com/disclosures

**For more information, please review the Specific instructions and disclosures section of this statement.

For your consideration

Go paperless. Accessing your account documents online is easy, secure, and costs nothing. Sign on at wellsfargoadvisors.com, go to **Portfolio** and select **Statements & Docs**, and then click on the **Delivery Preferences** link. Choose **Paperless - All Docs** or view your Delivery Settings details to select specific account documents for paperless delivery. If you do not have a Username and Password, visit wellsfargoadvisors.com/signup or call 1-877-879-2495 for enrollment assistance.

Document delivery status

	Paper	Electronic
Statements:	X	
Trade confirmations:	X	
Tax documents:	X	
Shareholder communications:	X	
Other documents:	X	

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIANAPRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]**Additional information**

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Gross proceeds	28,957.37	86,977.97	Foreign withholding	-1.58	-1.58

Portfolio detail**Cash and Sweep Balances**

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep Program or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. The money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies generally first held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at a Wells Fargo affiliated bank as described in the Bank Deposit Sweep disclosure.

Brokered Liquid Deposit - Consists of monies held at Wells Fargo Bank N.A., as described in the Brokered Liquid Deposit Disclosure.

Assets in the Bank Deposit Sweep Program and Brokered Liquid Deposit are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. For additional information, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.80	0.641	2,686.38	17.00
Interest Period 04/01/26 - 04/30/26				
Total Cash and Sweep Balances	0.80		\$2,686.38	\$17.00

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Bank Deposit Allocation

Monies on deposit at each bank are eligible for FDIC insurance of up to \$250,000 per depositor, per bank in accordance with FDIC rules. Insurance is subject to FDIC rules, including for pass-through coverage, which require certain conditions to be satisfied for deposit insurance coverage to apply. Wells Fargo Clearing Services, LLC is not an FDIC-insured depository institution; FDIC deposit insurance only protects against the failure of an insured depository institution. Banking products and services provided by affiliated banks and Wells Fargo Bank, N.A. Member FDIC. In those instances where deposit balances exceed the maximum FDIC insurance limits, those deposits will be uninsured. Deposits at each bank are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2pm ET on the last business day of the month. For additional information, please contact Your Financial Advisor.

DESCRIPTION	FDIC CERT NUMBER	CURRENT VALUE	AS OF VALUE DATE
WELLS FARGO BANK, N.A.	3511	2,686.38	04/30

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Total Bank Deposits

\$2,686.38

Stocks, options & ETFs

Stocks and ETFs

This section may include foreign equity securities that may be denominated in currencies other than US dollars. The amounts, annual income and annual yield on your statement for such securities will be estimated based on prevailing exchange rates and the amount does not necessarily reflect the rate you will receive if converted to US dollars. The "Quantity" field reflects total shares held, regardless of the currency in which your shares are denominated. Please contact Your Financial Advisor if you have additional questions regarding your foreign security holdings.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
ALPHABET INC VOTING CAP STK CL A GOOGL	1.38	12	384.8000	4,617.60	10	0.21
AMAZON COM INC AMZN	1.82	23	265.0600	6,096.38	N/A	N/A
AMERICAN CENTY ETF TR AVANTIS US SMALL CAP VALUE AVUV	4.63	129	120.1400	15,498.06	200	1.29
AMERICAN EXPRESS COMPANY AXP	1.16	12	323.0500	3,876.60	46	1.17
AMPHENOL CORP NEW CL A APH	1.49	34	147.2700	5,007.18	34	0.67
ANALOG DEVICES INC ADI	1.92	16	402.2600	6,436.16	70	1.09
APPLE INC AAPL	1.54	19	271.3500	5,155.65	20	0.38
BERKSHIRE HATHAWAY INC SERIES B NEW BRK/B	1.41	10	473.6000	4,736.00	N/A	N/A
BLACKROCK INC COM NEW BLK	1.27	4	1,065.6000	4,262.40	92	2.15
BROADCOM INC AVGO	1.87	15	417.4300	6,261.45	39	0.62
CINTAS CORP CTAS	1.10	21	174.7100	3,668.91	38	1.03
CISCO SYSTEMS INC CSCO	1.09	40	91.5000	3,660.00	67	1.83



MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA TR I ETF CORE BD CRUX	2.38	265	30.0600	7,965.90	166	2.08
COSTCO WHSL CORP NEW COM COST	0.91	3	1,014.5300	3,043.59	18	0.57
ELI LILLY & CO LLY	1.67	6	934.6000	5,607.60	42	0.74
GE AEROSPACE NEW GE	1.13	13	289.9300	3,769.09	24	0.64
JANUS HENDERSON ETF SHORT DURATION INCOME VNLA	1.36	93	48.8800	4,545.84	219	4.82
JOHNSON & JOHNSON JNJ	1.17	17	229.8500	3,907.45	91	2.33
JPMORGAN CHASE & CO JPM	1.78	19	313.2300	5,951.37	114	1.91
LAM RESH CORP NEW LRCX	0.92	12	257.8600	3,094.32	12	0.40
MARTIN MARIETTA MATERIALS INC MLM	1.66	9	619.0700	5,571.63	30	0.53
MASTERCARD INC CL A MA	1.65	11	502.9200	5,532.12	38	0.69
META PLATFORMS INC CLASS A META	1.83	10	611.9100	6,119.10	21	0.34
MICROSOFT CORP MSFT	1.34	11	407.7800	4,485.58	40	0.89
MORGAN STANLEY & CO MS	0.85	15	190.5900	2,858.85	60	2.09
MOTOROLA SOLUTIONS INC COM NEW MSI	1.31	10	439.0300	4,390.30	48	1.10
NEXTERA ENERGY INC NEE	0.99	34	97.8800	3,327.92	85	2.54

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
NVIDIA CORP NVDA	0.95	16	199.5700	3,193.12	1	0.02
PACER FDS TR ETF US CASH COWS 100 ETF COWZ	2.28	120	63.5100	7,621.20	155	2.03
PALO ALTO NETWORKS PANW	0.96	18	179.3200	3,227.76	N/A	N/A
PNC FINANCIAL SERVICES GROUP PNC	1.80	27	223.0000	6,021.00	162	2.69
PROLOGIS INC PLD	0.72	17	142.0200	2,414.34	73	3.01
T-MOBILE US INC TMUS	1.28	22	195.5000	4,301.00	87	2.01
TAIWAN SEMICONDUCTOR MFG CO LTD ADR TSM	0.95	8	396.0600	3,168.48	22	0.69
TEXAS INSTRUMENTS INC TXN	1.17	14	281.0800	3,935.12	80	2.02
THERMO FISHER SCIENTIFIC INC TMO	0.86	6	478.9600	2,873.76	11	0.39
TJX COS INC NEW TJX	1.50	32	156.7500	5,016.00	61	1.22
VANGUARD GROWTH ETF VUG	2.53	102	83.1700	8,483.34	34	0.39
VANGUARD TOTAL STOCK ETF MARKET ETF VTI	2.43	23	354.1800	8,146.14	87	1.06
VANGUARD VALUE ETF VTV	2.28	37	206.7800	7,650.86	147	1.92
WALT DISNEY COMPANY DIS	0.90	29	103.7500	3,008.75	44	1.44
WEC ENERGY GROUP INC WEC	1.69	48	117.9400	5,661.12	183	3.23
WILLIAMS COMPANIES INC WMB	1.25	55	76.3100	4,197.05	116	2.75



MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
Total Stocks and ETFs	65.20			\$218,366.09	\$2,886	1.32
Total Stocks, options & ETFs	65.20			\$218,366.09	\$2,886	1.32

Mutual Funds

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
INVESCO VALUE OPPORTUNITIES FD CLASS Y VVOIX On Reinvestment	4.51	549.25200	27.5000	15,104.43	59	0.38
ALPS/SMITH TOTAL RETURN BOND FD CL I SMTHX On Reinvestment	2.37	824.06800	9.6200	7,927.53	354	4.46
AQR FDS LONG-SHORT EQUITY FD CLASS I QLEIX On Reinvestment	1.36	221.60300	20.5500	4,553.94	82	1.80
BLACKROCK FUNDS ADVANTAGE INTERNATIONAL FUND INSTL CL SH BROIX On Reinvestment	4.30	586.15800	24.5800	14,407.76	374	2.59
BLACKROCK EVENT DRIVEN EQUITY FUND INSTL BILPX On Reinvestment	1.30	410.91200	10.5800	4,347.44	149	3.42

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED	
					ANNUAL INCOME	ANNUAL YIELD (%)
JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I JMUIX On Reinvestment	1.38	531.25300	8.6700	4,605.96	306	6.63
NATIXIS FDS TR II LOOMIS SAYLES ALPHA FD CL Y LASXX On Reinvestment	2.39	814.52800	9.8300	8,006.81	392	4.89
JP MORGAN DEVELOPED INT'L VALUE FUND CLASS I JIESX On Reinvestment	3.00	449.84100	22.3300	10,044.94	267	2.66
PGIM JENNISON SMALL COMPANY CL Z PSCZX On Reinvestment	4.50	548.02700	27.5300	15,087.18	47	0.31
PGIM TOTAL RETURN BOND CL Z PDBZX On Reinvestment	2.37	658.57100	12.0300	7,922.60	360	4.54
PGIM JEN INTL OPPORTUNITIES CL Z PWJZX On Reinvestment	3.07	291.50500	35.3300	10,298.87	19	0.18
TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y MXIIX On Reinvestment	2.38	772.34500	10.3100	7,962.87	415	5.20
ALLSPRING PRECIOUS METALS FUND CLASS INSTL EKWYX On Reinvestment	1.08	23.58200	153.2100	3,612.99	52	1.44
Total Open End Mutual Funds	34.00			\$113,883.32	\$2,876	2.53
Total Mutual Funds	34.00			\$113,883.32	\$2,876	2.53



MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/01				BEGINNING BALANCE			3,340.19
04/01	Cash	DIVIDEND		GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL 033126 331.54400 AS OF 3/31/26		32.88	
04/01	Cash	DIVIDEND		JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I 033126 528.29200 AS OF 3/31/26		25.55	
04/01	Cash	DIVIDEND		NVIDIA CORP 040126 16		0.16	
04/01	Cash	DIVIDEND		PGIM TOTAL RETURN BOND CLZ 033126 656.11700 AS OF 3/31/26		29.55	
04/01	Cash	REINVEST DIV	1.38000	GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL REINVEST AT 23.820		-32.88	
04/01	Cash	REINVEST DIV	2.96100	JANUS HENDERSON FUNDS JANUS MULTI SECTOR INCOME FUND CLASS I REINVEST AT 8.630		-25.55	
04/01	Cash	REINVEST DIV	2.45400	PGIM TOTAL RETURN BOND CLZ REINVEST AT 12.040		-29.55	3,340.35
04/07	Cash	DIVIDEND		JANUS HENDERSON ETF SHORT DURATION INCOME 040726 93		17.59	3,357.94
04/08	Cash	FEE/CREDIT		REBATE OF PROGRAM FEE*		2.61	3,360.55
04/09	Cash	DIVIDEND		TAIWAN SEMICONDUCTOR MFG CO LTD ADR 040926 8		7.51	
04/09	Cash	WITHHOLDING		FRGN-W/H @ SOURCE TAIWAN SEMICONDUCTOR MFG		-1.58	3,366.48
04/10	Cash	FEE/CREDIT		NET FEE ACCT CREDIT 31.57 PLATFORM FEE (39.39)*		-7.82	

MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/10	Cash	FEE/CREDIT		PIM QUARTERLY FEE*		-787.83	2,570.83
04/14	Cash	DIVIDEND		AMPHENOL CORP NEW CL A 041426 34		8.50	2,579.33
04/15	Cash	DIVIDEND		MOTOROLA SOLUTIONS INC COM NEW 041526 10		12.10	
04/15	Cash	DIVIDEND		THERMO FISHER SCIENTIFIC INC 041526 6		2.82	2,594.25
04/17	Cash	DIVIDEND		INTUIT INC 041726 15		18.00	2,612.25
04/21	Cash	SALE	-332.92400	GUGGENHEIM FDS TR TOTAL RETURN BD FD INSTL CL	23.9500	7,973.53	
04/21	Cash	SALE	-11.00000	CONSTELLATION ENERGY CORP	280.4144	3,084.50	
04/21	Cash	SALE	-15.00000	INTUIT INC	408.9866	6,134.67	
04/21	Cash	STOCK DISTRIB	85.00000	VANGUARD GROWTH ETF			
04/21	Cash	PURCHASE	265.00000	COLUMBIA TR I ETF CORE BD	30.2000	-8,003.00	
04/21	Cash	PURCHASE	12.00000	LAM RESH CORP NEW	261.1750	-3,134.10	
04/21	Cash	PURCHASE	34.00000	NEXTERA ENERGY INC	91.3377	-3,105.48	
04/21	Cash	PURCHASE	18.00000	PALO ALTO NETWORKS	174.6900	-3,144.42	2,417.95
04/22	Cash	DIVIDEND		CISCO SYSTEMS INC 042226 40		16.80	2,434.75
04/24	Cash	DIVIDEND		ALPS/SMITH TOTAL RETURN BOND FD CL I 042326 821.04300 AS OF 4/23/26		29.22	
04/24	Cash	REINVEST DIV	3.02500	ALPS/SMITH TOTAL RETURN BOND FD CL I REINVEST AT 9.660		-29.22	2,434.75
04/27	Cash	DIVIDEND		GE AEROSPACE NEW 042726 13		6.11	
04/27	Cash	SALE	-26.00000	CHEVRON CORPORATION	183.7251	4,776.75	
04/27	Cash	SALE	-10.00000	STRYKER CORP	327.6730	3,276.66	



MARCUS HERMAN ROTH IRA
WFCS AS CUSTODIAN

APRIL 1, 2026 - APRIL 30, 2026
ACCOUNT NUMBER: [REDACTED]

Activity detail continued

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
04/27	Cash	SALE	-12.00000	VISA INC CLASS A	309.2784	3,711.26	
04/27	Cash	PURCHASE	12.00000	AMERICAN EXPRESS COMPANY	315.3036	-3,783.64	
04/27	Cash	PURCHASE	17.00000	JOHNSON & JOHNSON	225.6713	-3,836.41	
04/27	Cash	PURCHASE	55.00000	WILLIAMS COMPANIES INC	71.5982	-3,937.91	2,647.57
04/30	Cash	DIVIDEND		JPMORGAN CHASE & CO 043026 19		28.50	
04/30	Cash	DIVIDEND		STRYKER CORP 043026 10		8.80	
04/30	Cash	DIVIDEND		TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y 042926 769.05900 AS OF 4/29/26		33.85	
04/30	Cash	INTEREST		BANK DEPOSIT SWEEP 043026 2,684		1.51	
04/30	Cash	REINVEST DIV	3.28600	TOUCHSTONE STRATEGIC TR FLEXIBLE INCOME FUND CLASS Y REINVEST AT 10.300		-33.85	2,686.38

*For more information about fees and credits associated with the advisory program in which this account has been enrolled, please review the advisory program's Form ADV Part 2 disclosure document.

Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
04/01		BEGINNING BALANCE	3,340.19	04/17	TRANSFER TO	BANK DEPOSIT SWEEP	18.00
04/01	TRANSFER TO	BANK DEPOSIT SWEEP	0.16	04/22	TRANSFER TO	BANK DEPOSIT SWEEP	16.80
04/07	TRANSFER TO	BANK DEPOSIT SWEEP	17.59	04/22	TRANSFER FROM	BANK DEPOSIT SWEEP	-194.30
04/09	TRANSFER TO	BANK DEPOSIT SWEEP	2.61	04/27	TRANSFER TO	BANK DEPOSIT SWEEP	6.11
04/10	TRANSFER TO	BANK DEPOSIT SWEEP	5.93	04/28	TRANSFER TO	BANK DEPOSIT SWEEP	206.71
04/13	TRANSFER FROM	BANK DEPOSIT SWEEP	-795.65	04/30	REINVEST INT	BANK DEPOSIT SWEEP	1.51
04/14	TRANSFER TO	BANK DEPOSIT SWEEP	8.50	04/30	TRANSFER TO	BANK DEPOSIT SWEEP	37.30
04/15	TRANSFER TO	BANK DEPOSIT SWEEP	14.92	04/30		ENDING BALANCE	2,686.38

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