2023 Form 6 - Full and Public Disclosure of Financial Interests

Filed with COE: 05/14/2024

General Information

Name: Hon David Allen Smith

PID 277974

AGENCY INFORMATION

Organization	Suborganization	Title
House Of Representatives	Elected Constitutional Officer	State Representative

CANDIDATE FOR

Position	Agency Name	Position sought or held
State Representative	Florida House	District 38

Net Worth

My Net Worth as of December 31, 2023 was $ 4,946,522.00.

Filed with COE: 05/14/2024

Assets

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds $1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effect is $ 25,000.00.

ASSETS INDIVIDUALLY VALUED AT OVER $1,000:

Description of Asset	Value of Asset
House	$ 637,936.00
UBS Account	$ 2,898,775.00
Vanguard Account	$ 570,002.00
TSIP Account	$ 723,237.00
FRS	$ 17,450.00
NFCU Check & Save Accounts	$ 74,122.00
See Attached	

Liabilities

LIABILITIES IN EXCESS OF $1,000:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

Name of Creditor	Address of Creditor	Amount of Liability
N/A		

Filed with COE: 05/14/2024

Income

Identify each separate source and amount of income which exceeded $1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2023 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2023 federal income tax return and all W2s, schedules, and attachments.

PRIMARY SOURCES OF INCOME:

Name of Source of Income Exceeding $1,000	Address of Source of Income	Amount
Defense Finance & Accouting Service	8899 E. 56th St. Indianapolis, IN 462491	$ 124,507.00
State of Florida	200 E. Gaines St. Tallahassee, FL 32399	$ 28,806.12

SECONDARY SOURCES OF INCOME (Major customers, clients, etc. of businesses owned by reporting person):

Name of Business Entity	Name of Major Sources of Business' Income	Address of Source	Principal Business Activity of Source
N/A			

Interests in Specified Businesses

Business Entity # 1
N/A

Filed with COE: 05/14/2024

Training

This section applies only to a Constitutional or elected municipal officer, each of whom are required to complete annual ethics training pursuant to Section 112.3142, F.S.

☐ I certify that I have completed the required training under Section 112.3142, F.S.

☑ Required training under Section 112.3142, F.S., not applicable to filer for this form year.

Signature of Reporting Official or Candidate

Under the penalties of perjury, I declare that I have read the foregoing Form 6 and that the facts stated in it are true.

D. A. Smith

Digitally signed: **05/14/2024**

Filed with COE: 05/14/2024

DAVID JOHNSON, CFA (https://www.scpafl.org/)
SEMINOLE COUNTY PROPERTY APPRAISER

Property Record Card

Printer Friendly | Email Property Card | Tax Estimator | 2023 Tax Bill | Comparable Sales

2023 TRIM Notice | TRIM Help | Show GIS Map

Parcel Information

Parcel #

08-21-31-503-0000-0620

Owners

SMITH, DAVID A

Property Address

708 GLEN EAGLE DR WINTER SPRINGS FL 32708

Mailing

708 GLEN EAGLE DR
WINTER SPGS, FL 32708-5913

Request Mailing Address Change

Subdivision

GLEN EAGLE UNIT 1

Tax District

W1-WINTER SPRINGS

DOR Use Code

01-SINGLE FAMILY

Exemptions

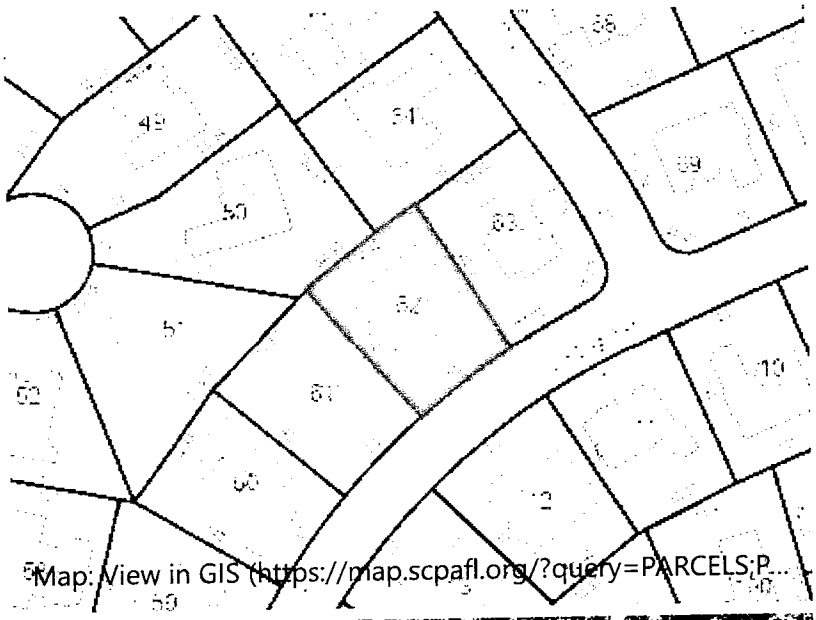
00-HOMESTEAD(2017)

AG Classification

No - Additional Information (https://www.scpafl.org/Agricultural/)

Worried about property fraud?

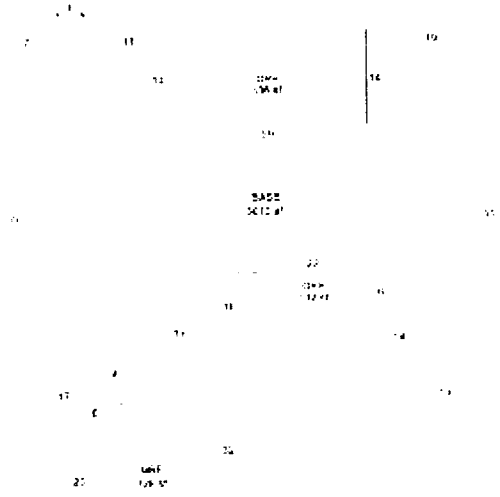
Sign-up for Fraud Detection and Notification (https://recording.seminoleclerk.org/PropertyFraudAlert)



Map: View in GIS (https://map.scpafl.org/?query=PARCELS;P...



Property Image - View in New Window (/SitePicture.aspx?PI...



Footprint: Building 1 Page 1 - View in New Window (/Footp...

Property Values

	2024 Working Values	2023 Certified Values
Valuation Method	Cost/Market	Cost/Market
Number of Buildings	1	1
Depreciated Bldg Value	$454,275	$444,586
Depreciated EXFT Value	$33,661	$33,442
Land Value (Market)	$150,000	$150,000
Land Value Ag		
Just/Market Value **	$637,936	$628,028
Portability Adj		
Save Our Homes Adj	$175,679	$179,235
Non-Hx 10% Cap (AMD 1)	$0	$0
P&G Adj	$0	$0
Assessed Value	$462,257	$448,793

2023 Tax Amount without Exemptions: $9,801.82
2023 Tax Bill Amount (Actual): $6,280.50
2023 Tax Savings with Exemptions: $3,521.32

2024 Tax Estimator
TRIM Notice (OnlineTrims.ashx?pkid=4806636&objid=100)
TRIM Help

* Does NOT INCLUDE Non Ad Valorem Assessments

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NAVY FEDERAL Credit Union
O Box 3000 • Merrifield, VA • 22119-3000
avyfederal.org

Statement of Account

Statement Period
11/21/23 - 12/20/23

[redacted]

Routing Number [redacted]

[redacted]
DAVID A SMITH
708 GLEN EAGLE DR
WINTER SPGS FL 32708-5913

Questions about this Statement?
Toll-free in the U.S. 1-888-842-6328
For toll-free numbers when overseas,
visit **navyfederal.org/overseas/**
Collect internationally 1-703-255-8837

Say "Yes" to Paperless! View your digital statements via Mobile or Navy Federal Online Banking.

Online Banking Is Getting an Exciting Upgrade!

Coming soon: an easier and more seamless online banking experience! Visit **navyfederal.org/digitalbanking** for a look at the changes to come.

Summary of your deposit accounts

	Previous Balance	Deposits/ Credits	Withdrawals/ Debits	Ending Balance	YTD Dividends
veryDay Checking [redacted]	$8,651.20	$14,513.32	$4,802.26	$18,362.26	$2.11
lembership Savings [redacted]	$65,470.92	$13.45	$0.00	$65,484.37	$152.61
otals	**$74,122.12**	**$14,526.77**	**$4,802.26**	**$83,846.63**	**$154.72**

REMITTANCE RECEIVED AFTER STATEMENT PERIOD WILL APPEAR ON YOUR NEXT STATEMENT

DAVID A SMITH
[redacted]

MARK "X" TO CHANGE ADDRESS/ORDER ITEMS ON REVERSE ➧ ☐

NFCU
PO BOX 3100
MERRIFIELD VA 22119-3100

DEPOSIT VOUCHER

(FOR MAIL USE ONLY. DO NOT SEND CASH THROUGH THE MAIL
DEPOSITS MAY NOT BE AVAILABLE FOR IMMEDIATE WITHDRAWAL)

ACCOUNT NUMBER	ACCOUNT TYPE	AMOUNT ENCLOSED	
[redacted]	Checking		
[redacted]	Savings		
	TOTAL		

[redacted]

MyFRS Florida Retirement System

Your 4th Quarter FRS Investment Plan Account Statement

DAVID A. SMITH

Review your account online at **MyFRS.com**

Your Account Summary

Activity from October 1, 2023 through December 31, 2023

Opening Balance	$15,203.33
Deposits	
Investment Plan Employee	$222.72
Investment Plan Employer	$844.89
Gains/Losses	$1,179.09
Closing Balance	$17,450.03
Your Rate of Return for the Period	7.5%

Contributions to the FRS Investment Plan
You receive regular FRS contributions – both your own and your employer's contributions.

Your Employee Contributions	3.00% of salary*
Investment Plan Employer Contributions	11.38% of salary
Total Investment Plan Contributions	14.38% of salary

*Salary is defined in Section 121.021(22), Florida Statutes.

Your Investment Plan Contributions

	Quarter	YTD
Employee	$222.72	$816.64
Employer	$844.89	$2,800.93

Will you be ready for retirement?

Your Investment Plan account balance would provide you with a lifetime monthly benefit of

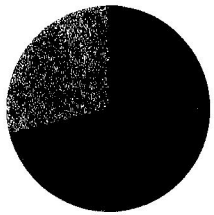
$108*

Visit MyFRS.com or call the MyFRS Financial Guidance Line to learn more about available annuity options or to build a retirement plan.

* This estimate assumes you are age 65 (or your current age if you are older) and that you selected a single life annuity with level payments and no additional features. It is not a guarantee.

Your Account Balance by Source

Here's more detail about your account balance by source:



	Amount	Vested
Investment Plan Employer	$12,409.73	100%
Investment Plan Employee	$5,040.30	100%
Total	**$17,450.03**	

You become vested based on your years of service:

Your Total Vested Balance	$17,450.03
Your Non-Vested Balance	$0
Total FRS Years of Service	5.09

Your Beneficiaries

Beneficiary Name	Benefit Percentage	Relationship
Primary		
JOSHUA TRUITT	100%	Other

1-866-446-9377, Option 2 (TRS 711) for free financial and retirement planning

Questions about the Quarterly Statement?
Call 1-866-446-9377, Option 4 (TRS 711) between 8:00 a.m. and 6:00 p.m. ET, Monday through Friday, except holidays

[redacted]

[redacted]

Your Investment Choices

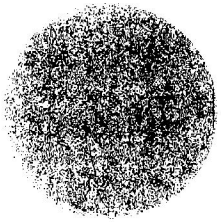
This chart shows how your account is invested and how you have chosen to invest future contributions by fund. You may change your future investment fund choices at any time.

Fund	Asset Class	Balance	Investment Election
FRS Retirement Fund (2000)	Retirement Date	$0	0%
FRS 2020 Retirement Date Fund (2020)	Retirement Date	$0	0%
FRS 2025 Retirement Date Fund (2025)	Retirement Date	$17,450.03	100%
FRS 2030 Retirement Date Fund (2030)	Retirement Date	$0	0%
FRS 2035 Retirement Date Fund (2035)	Retirement Date	$0	0%
FRS 2040 Retirement Date Fund (2040)	Retirement Date	$0	0%
FRS 2045 Retirement Date Fund (2045)	Retirement Date	$0	0%
FRS 2050 Retirement Date Fund (2050)	Retirement Date	$0	0%
FRS 2055 Retirement Date Fund (2055)	Retirement Date	$0	0%
FRS 2060 Retirement Date Fund (2060)	Retirement Date	$0	0%
FRS 2065 Retirement Date Fund (2065)	Retirement Date	$0	0%
FRS Inflation Sensitive Fund (300)	Inflation Protection	$0	0%
FRS U.S. Bond Enhanced Index Fund (80)	Bond	$0	0%
FRS Stable Value Fund (350)	Stable Value	$0	0%
FRS Core Plus Bond Fund (310)	Bond	$0	0%
FRS U.S. Stock Market Index Fund (120)	U.S. Stock	$0	0%
FRS U.S. Stock Fund (340)	U.S. Stock	$0	0%
FRS Foreign Stock Index Fund (200)	Foreign/Global Stock	$0	0%
FRS Foreign Stock Fund (220)	Foreign/Global Stock	$0	0%
FRS Global Stock Fund (210)	Foreign/Global Stock	$0	0%
Self-Directed Brokerage Account	Self-Directed Brokerage	$0	N/A
Total		**$17,450.03**	**100%**

You should review your investments and asset allocation periodically to make sure they are appropriate for your situation. For more information about your account, to change how your account is invested, or to learn more about the Plan, visit *MyFRS.com* or call the MyFRS Financial Guidance Line at 1-866-446-9377, Option 2.

Your Account By Asset Class

The FRS offers a wide range of investment choices across major asset classes. Your current asset class mix is shown below:



- Retirement Date - 100%
- Inflation Protection - 0%
- Bond - 0%
- U.S. Stock - 0%
- Stable Value - 0%
- Foreign/Global Stock - 0%
- Self-Directed Brokerage - 0%

Account Activity by Fund

The chart below shows your activity by fund for the period starting October 1, 2023 through December 31, 2023.

Fund	FRS 2025 Retirement Date Fund (2025)	Total
Opening Balance	$15,203.33	$15,203.33
Contributions	$1,067.61	$1,067.61
Earnings	$1,179.09	$1,179.09
Closing Balance	**$17,450.03**	**$17,450.03**
Closing Units	1,069.716	0.000
Price (NAV) per Unit	16.312777	0.000000

The FRS Investment Plan offers 20 investment funds that you can choose to diversify your retirement assets – 9 funds that are spread across five asset classes and 11 Retirement Date Funds that offer diversified investment allocation based on age and years to retirement. Financial planners recommend diversifying your total investment portfolio among different types of investment options. Taking into account your investments outside the Investment Plan, you may want to review your current investment allocations to be sure you have the right mix of investments for your retirement savings. To help you decide the mix of funds that are right for you which meet your unique needs and circumstances, call the MyFRS Financial Guidance Line at 1-866-446-9377, Option 2 (TRS 711), between 8:00 a.m. and 6:00 p.m. Eastern time, Monday through Friday, except holidays.

Thrift Savings Plan

[redacted]

Statement Date: January 23, 2024

[redacted]

DAVID A. SMITH
708 GLEN EAGLE DR
WINTER SPRINGS FL 32708

My Account
tsp.gov

[redacted]

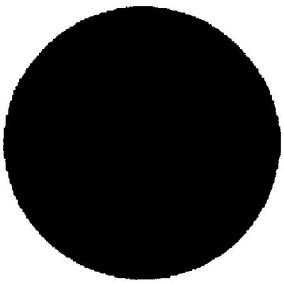
Annual Account Statement
Thrift Savings Plan (Uniformed Services)

Thrift Savings Plan (Uniformed Services)

Account Summary	01-01-2023 to 12-31-2023
Opening Balance	**$578,145.07**
Deposits/Adjustments[1]	$0.00
Withdrawals	$0.00
Transaction Fees/Expenses	$0.00
Gains/Losses	$145,091.85
Closing Balance	**$723,236.92**
Vested Balance	$723,236.92
Your Personal Rate of Return	**25.10%**

[1] Includes contributions, loan repayments, redesignations and earnings adjustments related to payroll corrections.

Contributions and Earnings



You	$723,236.92	100%
Employer	$0.00	0%
Total	**$723,236.92**	**100%**

[redacted]

Your Lifetime Contributions

As of 12-31-2023

	Lifetime-to-date
Traditional (Tax-deferred)	$148,773.59
Tax-exempt	$3,231.35

Your Balances

As of 12-31-2023

Your Nontaxable Balance:
$3,231.35

	Contributions & Earnings	Percent of Balance	Vested Percent
Traditional (Tax-deferred)	$723,236.92	100%	100%
Total	**$723,236.92**	**100%**	

Your Investment Summary

Fund Allocation as of 12-31-2023

Fund Name	Current Allocation
C Fund	65%
S Fund	24%
I Fund	11%

Activity Detail by Fund

01-01-2023 to 12-31-2023

		Asset Class		
		Stocks	Stocks	Stocks
Fund Name	**All Funds Total**	**C Fund**	**S Fund**	**I Fund**
Opening Balance	$578,145.07	$374,440.90	$135,520.08	$68,184.09
Gains/Losses	$145,091.85	$98,276.26	$34,281.70	$12,533.89
Closing Balance	**$723,236.92**	**$472,717.16**	**$169,801.78**	**$80,717.98**
Closing Units		6,356.767	2,202.486	2,008.830
Unit Price (NAV)		74.364400	77.095500	40.181600

[redacted]

Vanguard®

Do Not Use For Account Transactions
PO BOX 3009
MONROE, WI 53566-8309

DAVID A SMITH
708 GLEN EAGLE DR
WINTER SPRINGS FL 32708-5913

December 31, 2023, year-to-date statement

View your statements online at vanguard.com.

Vanguard Voyager Select Services®

Voyager Select Services: 800-284-7245

[redacted]

Assets listed in this statement are held by Vanguard Brokerage Services® (VBS), a division of Vanguard Marketing Corporation (VMC), member FINRA and SIPC. Summary data are provided solely as a service and are for informational purposes only.

Vanguard Voyager Select Services®

Voyager Select Services: 800-284-7245

Statement overview

$570,001.62

Total value of all accounts as of December 31, 2023

Accounts	Value on 12/31/2022	Value on 12/31/2023
David A. Smith		
Individual brokerage account	**$1,336.81**	**$1,405.04**
Roth IRA brokerage account	**$456,773.85**	**$568,596.58**
Total	**$458,110.66**	**$570,001.62**

Asset mix



		Value on 12/31/2023
87.1%	Stocks	$496,554.69
11.5%	Fixed Income	65,689.46
1.4%	Short-term reserves	7,650.11
0.0%	Other	107.36
		$570,001.62

Your percentages are based on your holdings as of the prior month-end. Recalculated values are included. See Disclosures for more information.

Individual brokerage account– [redacted]

David A. Smith

Vanguard Voyager Select Services®

Voyager Select Services: 800-284-7245

Account overview

$1,405.04

Total account value as of December 31, 2023

Activity summary for statement period

Value on December 31, 2022	**$1,336.81**
Deposits and withdrawals*	0.00
Change in value**	0.00
Dividends, interest, and capital gains	68.23
Value on December 31, 2023	**$1,405.04**

* Reflects total deposits inclusive of funds received, checks, ACH transfers, transfers in/out, cash transfers in/out, certain other unsettled activity and withdrawals.

** Change in value reflects your holdings due to price changes, free credits and transactions for other activity in/out, plus any margin interest charges and loan repayments for the Statement Period.

Year-to-date income

Taxable income	$68.23
Nontaxable income	0.00
Total	**$68.23**

Cost basis summary (all investments)

Realized gain/loss	
2023 short-term	$0.00
2023 long-term	0.00
Unrealized gain/loss	0.00

Balances and holdings for Vanguard Brokerage Account– [redacted]

Your securities are held in your cash account, unless otherwise noted. This section only shows securities that were held in the account at the end of the time period indicated.

Balances and holdings for Vanguard Brokerage Account–[redacted] ntinued

Sweep program

	Name	Quantity	Price on 12/31/2023	Balance on 12/31/2022	Balance on 12/31/2023
	VANGUARD FEDERAL MONEY MARKET FUND 7-day SEC Yield: 5.31%	25.3300	$1.00	$24.11	$25.33
	Total Sweep Balance			**$24.11**	**$25.33**

Mutual funds

Symbol	Name	Average price per share	Total cost	Quantity	Price on 12/31/2023	Balance on 12/31/2022	Balance on 12/31/2023
VMRXX	VANGUARD CASH RESERVES FEDL MONEY MARKET ADMIRAL CL Est. annual income: $72.29; Est. yield: 5.24%	-	-	1,379.7100	$1.00	$1,312.70	$1,379.71
	Total Est. annual income: $72.29; Est. yield: 5.24%					**$1,312.70**	**$1,379.71**

Account activity for Vanguard Brokerage Account–[redacted]

This section shows transactions that have settled by December 29, 2023.

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
December	$6.30	$0.00	$0.00	$0.01	$0.00	$0.00
Year-to-date	68.22	0.00	0.00	0.01	0.00	0.00

Account activity for Vanguard Brokerage Account–[redacted] continued

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
12/28	12/28	VMRXX	VANGUARD CASH RESERVES FEDL MONEY MARKET ADMIRAL CL	S-T Capital gain	-	-	-	-	$0.01
12/28	12/28	VMRXX	VANGUARD CASH RESERVES FEDL MONEY MARKET ADMIRAL CL	Reinvestment	Cash	0.0100	$1.0000	-	-0.01
12/29	12/29	VMRXX	VANGUARD CASH RESERVES FEDL MONEY MARKET ADMIRAL CL	Dividend	-	-	-	-	6.19
12/29	12/29	VMRXX	VANGUARD CASH RESERVES FEDL MONEY MARKET ADMIRAL CL	Reinvestment	Cash	6.1900	1.0000	-	-6.19
12/29	12/29	-	VANGUARD FEDERAL MONEY MARKET FUND	Dividend	-	-	-	-	0.11
12/29	12/29	-	VANGUARD FEDERAL MONEY MARKET FUND	Reinvestment	-	-	-	-	-0.11

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

Roth IRA brokerage account-[redacted]

David A. Smith

Vanguard Voyager Select Services®

Voyager Select Services: 800-284-7245

Account overview

$568,596.58

Total account value as of December 31, 2023

Activity summary for statement period

Value on December 31, 2022	**$456,773.85**
Deposits and withdrawals*	0.00
Change in value**	76,403.02
Dividends, interest, and capital gains	35,419.71
Value on December 31, 2023	**$568,596.58**

* Reflects total deposits inclusive of funds received, checks, ACH transfers, transfers in/out, cash transfers in/out, certain other unsettled activity and withdrawals.

** Change in value reflects your holdings due to price changes, free credits and transactions for other activity in/out, plus any margin interest charges and loan repayments for the Statement Period.

Year-to-date income

Taxable income	$0.00
Nontaxable income	35,419.71
Total	**$35,419.71**

Retirement summary

Your retirement information is reported for the 2023 tax period.

2023 contributions	$0.00
2023 distributions	0.00

The fair market value (FMV) of your IRA, determined as of December 31, is being provided to the Internal Revenue Service.

Balances and holdings for Vanguard Brokerage Account-[redacted]

Your securities are held in your cash account, unless otherwise noted. This section only shows securities that were held in the account at the end of the time period indicated.

Balances and holdings for Vanguard Brokerage Account—[redacted] continued

Sweep program

	Name	Quantity	Price on 12/31/2023	Balance on 12/31/2022	Balance on 12/31/2023
	VANGUARD FEDERAL MONEY MARKET FUND	0.0000	$1.00	-	$0.00
	Total Sweep Balance			**$0.00**	**$0.00**

Mutual funds

Symbol	Name	Average price per share	Total cost	Quantity	Price on 12/31/2023	Balance on 12/31/2022	Balance on 12/31/2023
VGSTX	VANGUARD STAR INVESTOR CL Est. annual income: $3,676.13; Est. yield: 2.05%	$24.96	$166,367.84	6,664.4910	$26.85	$152,793.08	$178,941.58
VPMAX	VANGUARD PRIMECAP ADMIRAL CL Est. annual income: $4,281.59; Est. yield: 1.10%	117.64	293,183.93	2,492.1970	156.35	303,980.77	389,655.00
	Total Est. annual income: $7,957.72; Est. yield: 1.40%					**$456,773.85**	**$568,596.58**

Account activity for Vanguard Brokerage Account—[redacted]

This section shows transactions that have settled by December 29, 2023.

Income summary

	Dividends	Interest	Tax-exempt interest	Short-term capital gains	Long-term capital gains	Other income
December	$6,712.22	$0.00	$0.00	$921.73	$26,477.91	$0.00
Year-to-date	8,020.07	0.00	0.00	921.73	26,477.91	0.00

Account activity for Vanguard Brokerage Account-[redacted] continued

Completed transactions

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	S-T Capital gain	-	-	-	-	$131.80
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	L-T Capital gain	-	-	-	-	21,898.22
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	Dividend	-	-	-	-	4,250.62
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	Reinvestment	Cash	140.8790	$155.4400	-	-21,898.22
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	Reinvestment	Cash	27.3460	155.4400	-	-4,250.62
12/19	12/19	VPMAX	VANGUARD PRIMECAP ADMIRAL CL	Reinvestment	Cash	0.8480	155.4400	-	-131.80
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	S-T Capital gain	-	-	-	-	789.93
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	L-T Capital gain	-	-	-	-	4,579.69
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	Dividend	-	-	-	-	2,461.60
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	Reinvestment	Cash	169.9330	26.9500	-	-4,579.69
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	Reinvestment	Cash	91.3400	26.9500	-	-2,461.60

Account activity for Vanguard Brokerage Account–[redacted] continued

Completed transactions continued

Settlement date	Trade date	Symbol	Name	Transaction type	Account type	Quantity	Price	Commissions & fees	Amount
12/27	12/27	VGSTX	VANGUARD STAR INVESTOR CL	Reinvestment	Cash	29.3110	26.9500	-	-789.93

If you had an adjustment to a dividend or interest payment from a previous month, the monthly amount shown under the Income Summary section of your brokerage statement may be overstated.

[redacted] [redacted]

UBS Financial Services Inc.
1285 Avenue Of The Americas
New York NY 10019-6031

[redacted]

Summary of your UBS Portfolio

December 2023

DAVID A SMITH TOD
708 GLEN EAGLE DRIVE
WINTER SPRINGS FL 32708-5913

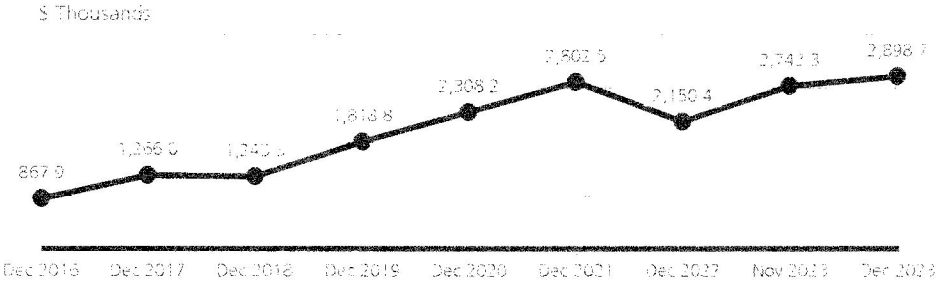
Your Financial Advisor:
WILLIAMS 866-488-8883

Visit our website:
www.ubs.com/financialservices

Value of your portfolio

	on November 30 ($)	on December 29 ($)
Your assets	2,743,266.57	2,898,744.88
Your liabilities	0.00	0.00
Value of your portfolio	**$2,743,266.57**	**$2,898,744.88**

Tracking the value of your portfolio



Sources of your portfolio growth during 2023

Value of your portfolio at year-end 2022	$2,150,993.28
Net deposits and withdrawals	[redacted]
Your investment return:	
Dividend and interest income	$43,108.41
Change in market value	$657,630.18
Value of your portfolio on Dec 29, 2023	**$2,898,744.88**

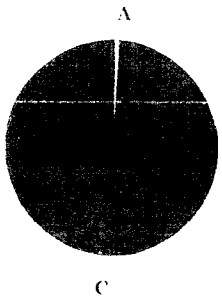
Your portfolio balance sheet

Summary of your assets

		Value on December 29 ($)	Percentage of your portfolio
A	Cash and money balances	27,709.09	0.96%
B	Cash alternatives	0.00	0.00%
C	Equities	2,871,035.79	99.04%
D	Fixed income	0.00	0.00%
E	Non-traditional	0.00	0.00%
F	Commodities	0.00	0.00%
G	Other	0.00	0.00%
Total assets		**$2,898,744.88**	**100.00%**

Value of your portfolio **$2,898,744.88**

Your current asset allocation



Eye on the markets

Index	Percentage change December 2023	Year to date
S&P 500	4.54%	26.29%
Russell 3000	5.30%	25.96%
MSCI - Europe, Australia & Far East	5.33%	18.85%
Barclays Capital U.S. Aggregate Bond Index	3.83%	5.53%

Interest rates on December 29, 2023

3-month Treasury bills: 5.40%

One-month SOFR: 5.34%

[redacted]

Accounts in your portfolio

Details on page	Account number	Account name and type	Value on November 30 ($)	Value on December 29 ($)	Percentage of portfolio
7	[redacted]	DAVID A SMITH TOD - RMA	2,619,826.29	2,768,930.62	95.52%
27	[redacted]	DAVID A SMITH - Roth IRA	123,440.28	129,814.26	4.48%
*		DAVID A SMITH - IRA	0.00	0.00	0.00%
	Total		**$2,743,266.57**	**$2,898,744.88**	**100 %**

* indicates the accounts' prior period value is included in the Tracking the value of your portfolio on the first page

Change in the value of your accounts

Account	Opening value on December 1 ($)	Deposits, including securities transferred in ($)	Withdrawals and fees, including securities transferred out ($)	Dividend and interest income ($)	Change in value of outside assets and accruals ($)	Change in market value ($)	Closing value on December 29 ($)
[redacted] DAVID A SMITH TOD - RMA	2,619,826.29	0.00	-216.91	5,743.46	0.00	143,577.79	2,768,930.62
[redacted] DAVID A SMITH - Roth IRA	123,440.28	0.00	0.00	653.18	0.00	5,720.80	129,814.26
Total	**$2,743,266.57**	**$0.00**	**-$216.91**	**$6,396.63**	**$0.00**	**$149,298.59**	**$2,898,744.88**

Dividend and interest income earned by account

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes The client monthly statement is not intended to be used and cannot be relied upon for tax purposes Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income Please consult your individual tax preparer

Year to date

Taxable accounts	Taxable dividends/ Capital gains ($)	Tax-exempt dividends ($)	Taxable interest ($)	Tax-exempt interest ($)	Miscellaneous ($)	Total ($)	Estimated Annual Income($)
[redacted]							
DAVID A SMITH TOD - RMA	41,053.67	0.00	0.00	0.00	1 12	41,054 79	35,864

Year to date

Tax-deferred accounts	Dividends ($)	Interest ($)	Miscellaneous ($)	Total ($)	Estimated Annual Income($)
[redacted]					
DAVID A SMITH - Roth IRA	1,918.08	0.00	0.00	1,918 08	1,818

Summary of gains and losses by account

Values reported below exclude products for which gains and losses are not classified and products with a trade date of the prior year Interest income for short-term obligations that were held to maturity or redeemed is displayed as realized gain, however, it will be reported as interest income on your annual 1099 and PR480 6 tax forms

Realized gains and losses

Unrealized gains and losses

	December 2023		Year to date			
Taxable accounts	Short term ($)	Long term ($)	Short term ($)	Long term ($)	Short term ($)	Long term ($)
[redacted]						
DAVID A SMITH TOD - RMA	0.00	0.00	-22,463.81	21,692.05	66,399.28	1,181,961.24
Tax-deferred accounts						
[redacted]						
DAVID A SMITH - Roth IRA	0.00	0.00	154 24	3,470.99	732.60	46,476 88

[redacted]

[redacted]

UBS Financial Services Inc
1285 Avenue Of The Americas
New York, NY 10019-6031

UBS Financial Services Inc.

December 2023

[redacted]

Your Financial Advisor:
WILLIAMS 866-488-8883

Questions about your statement?
Call your Financial Advisor or the RMA ResourceLine at 800-RMA-1000, account 129060593.

Visit our website:
www.ubs.com/financialservices

Account name: DAVID A SMITH TOD
Account number: [redacted]

Value of your account

	on November 30 ($)	on December 29 ($)
Your assets	2,619,826.29	2,768,930.62
Your liabilities	0.00	0.00
Value of your account	**$2,619,826.29**	**$2,768,930.62**

Your account instructions

- Account managed by Investment Advisor at your direction: FISHER INV @ 800-550-1071.
- Your account cost basis default closing method is FIFO: First In, First Out.
- Statement copies are sent to 1 interested party.

Change in the value of your account

	December 2023 ($)	Year to date ($)
Opening account value	**$2,619,826.29**	**$2,052,662.30**
Deposits, including investments transferred in	0.00	50,106.16
Withdrawals and fees, including investments transferred out	-216.91	-2,493.15
Dividend and interest income	5,743.45	41,128.13
Change in market value	143,577.79	627,527.18
Closing account value	**$2,768,930.62**	**$2,768,930.62**

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program. It does not apply to deposits at UBS AG, Stamford Branch. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	December 2023 ($)	Year to date ($)
Opening balances	**$30,558.84**	**$27,090.96**
Additions		
Deposits and other funds credited	0.00	50,106.16
Dividend and interest income	5,743.45	41,128.13
Proceeds from investment transactions	0.00	396,107.77
Total additions	**$5,743.45**	**$487,342.06**
Subtractions		
Fees	-90.39	-460.77
Other funds debited	-126.52	-2,032.38
Funds withdrawn for investments bought	-10,312.54	-486,167.03
Total subtractions	**-$10,529.45**	**-$488,660.18**
Net cash flow	**-$4,786.00**	**-$1,318.12**
Closing balances	**$25,772.84**	**$25,772.84**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2023 ($)	Year to date ($)
Taxable dividends	5,745.45	41,053.67
Miscellaneous	0.00	1.12
Total current year	**$5,743.45**	**$41,054.79**
Prior year adjustment	0.00	73.34
Total dividend & interest	**$5,743.45**	**$41,128.13**

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified. Interest income for short-term obligations that were held to maturity or redeemed is displayed as realized gain, however, it will be reported as interest income on your annual 1099 and PR480.6 tax forms.

	Realized gains and losses		Unrealized
	December 2023 ($)	Year to date ($)	gains and losses ($)
Short term	0.00	-22,463.81	66,399.28
Long term	0.00	21,692.05	1,181,961.24
Total	**$0.00**	**-$771.76**	**$1,248,360.52**

Withholdings and tax summary

	December 2023 ($)	Year to date ($)
Foreign taxes paid	-126.52	-1,926.22

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 29 ($)	Price per share on Dec 29 ($)	Average rate	Dividend/interest period	Days in period
RMA GOVT MONEY MARKET FD	38,668.84	26,772.84	1.00	4.78%	Nov 1 to Nov 30	30

Equities

Common stock

Holding	Date acquired	Number of shares	Purchase price/ average cost per share	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain/(loss) ($)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
DAI: $3.48 Current yield: 2.73%	Feb 24, 23	82.000	85.203	6,986.63	110.070	9,025.74	2,039.11	S
	Various	18.000	93.222	1,678.00	110.070	1,981.26	303.26	L
Security total		100.000	86.837	8,683.73		11,007.00	2,323.27	
ADOBE INC. (DELAWARE)								
Symbol: ADBE Exchange: OTC	Oct 2, 18	38.000	275.403	10,465.34	596.600	22,670.80	12,205.46	LT
	Jan 10, 19	25.000	280.765	7,019.20	596.600	14,915.00	7,895.80	LT
	Jul 26, 19	30.000	311.643	9,349.30	596.600	17,898.00	8,548.70	LT
	Feb 24, 20	25.000	360.468	9,011.71	596.600	14,915.00	5,903.29	LT
Security total		118.000	294.453	34,745.51		70,398.80	35,653.29	
ADVANCED MICRO DEVICES INC								
Symbol: AMD Exchange: OTC	Nov 7, 22	515.000	63.062	32,477.08	147.410	75,916.15	43,439.07	ST
AIRBUS SE UNSPONSORED ADR								
Symbol: EADSY Exchange: OTC								
EAI: $1.78 Current yield: 0.96%	Oct 19, 23	480.000	32.745	15,717.98	38.570	18,513.60	2,795.62	ST
ALCON INC CHF								
Symbol: ALC Exchange: NYSE								
EAI: $7 Current yield: 0.31%								
CHF Exchange rate: 0.8416%	Jul 18, 16	29.000	50.180	1,455.23	78.120	2,265.48	810.25	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
ALPHABET INC CL A								
Symbol: GOOGL Exchange: OTC	Jul 13, 16	520.000	36.638	19,052.11	139.690	72,638.80	53,586.69	LT
	Jan 24, 18	140.000	58.923	8,249.22	139.690	19,556.60	11,307.38	LT
	Jun 27, 22	60.000	116.482	6,988.96	139.690	8,381.40	1,392.44	LT
Security total		720.000	47.625	34,290.29		100,576.80	66,286.51	
AMAZON.COM INC								
Symbol: AMZN Exchange: OTC	Jul 15, 16	374.000	36.856	13,784.34	151.940	56,825.56	43,041.22	LT
	Jan 24, 18	120.000	68.212	8,185.48	151.940	18,232.80	10,047.32	LT
	Jan 4, 22	120.000	167.653	20,118.42	151.940	18,232.80	-1,885.62	LT
Security total		614.000	68.548	42,088.24		93,291.16	51,202.92	
AMER EXPRESS CO								
Symbol: AXP Exchange: NYSE								
EAI: $833 Current yield: 1.28%	Jul 15, 16	231.000	63.662	14,705.95	187.340	43,275.54	28,569.59	LT
	Jun 19, 17	73.000	81.849	5,974.99	187.340	13,675.82	7,700.83	LT
	May 27, 22	43.000	168.597	7,249.68	187.340	8,055.62	805.94	LT
Security total		347.000	80.492	27,930.62		65,006.98	37,076.36	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAI: $432 Current yield: 2.99%	Aug 21, 23	67.000	174.764	11,709.21	215.880	14,463.96	2,754.75	ST
APPLE INC								
Symbol: AAPL Exchange: OTC								
EAI: $790 Current yield: 0.50%	Jul 14, 16	467.000	24.613	11,494.33	192.530	89,911.51	78,417.18	LT
	Jan 24, 18	132.000	43.851	5,788.44	192.530	25,413.96	19,625.52	LT
	Jan 9, 19	112.000	38.447	4,306.09	192.530	21,563.36	17,257.27	LT
	Jun 3, 19	112.000	43.834	4,909.46	192.530	21,563.36	16,653.90	LT
Security total		823.000	32.197	26,498.32		158,452.19	131,953.87	
APPLIED MATERIALS INC								
Symbol: AMAT Exchange: OTC								
EAI: $422 Current yield: 0.79%	Mar 11, 20	136.000	52.720	7,169.97	162.070	22,041.52	14,871.55	LT
	Jul 21, 21	83.000	137.279	11,394.23	162.070	13,451.81	2,057.58	LT
	Aug 31, 22	111.000	94.202	10,456.46	162.070	17,989.77	7,533.31	LT
Security total		330.000	87.941	29,020.66		53,483.10	24,462.44	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
ASML HLDG NV SPON ADR								
Symbol: ASML Exchange: OTC								
EAI $402 Current yield: 0.49%	Apr 23, 21	55.000	130.4961	4,567.03	756.910	26,490.20	21,923.17	LT
	Feb 4, 20	42.000	317.1313	13,319.49	756.220	31,760.64	18,441.15	LT
Security total		97.000	231.1015	17,886.52		58,250.84	40,364.32	
BANK OF AMER CORP								
Symbol: BAC Exchange: NYSE								
EAI $703 Current yield: 2.35%	Oct 28, 15	700.000	29.1447	20,401.27	38.670	40,469.00	6,067.73	LT
BNP PARIBAS SPON ADR								
Symbol: BNPQY Exchange: NYSE								
EAI $1,[illegible] Current yield: 3.04%	Jun 23, [illegible]	264.000	59.[illegible]	[illegible],266.28	65.810	[illegible],476.50	[illegible],210.22	[illegible]
BOA [illegible] Inc								
Symbol: BUD Exchange: NYSE								
EAI $1,[illegible] Current yield: 1.76%	Aug 2[illegible], 2[illegible]	13.000	5[illegible].[illegible]	[illegible],[illegible]74.30	51.880	674.60	[illegible],[illegible]00.30	LT
	Aug 19, 22	17.000	75[illegible].[illegible]	[illegible],[illegible]87.70	51.880	[illegible],[illegible]70.60	[illegible],[illegible]82.90	ST
Security total		29.000	721.9841	20,936.08		33,542.20	2,403.52	
BNP PARIBAS SA ADR								
Symbol: BNPQY Exchange: OTC								
EAI $3[illegible] Current yield: 3.05%	Aug 10, 1[illegible]	3[illegible].[illegible]00	42.[illegible]0	[illegible],[illegible]63.[illegible]	64.740	[illegible],[illegible]16.50	[illegible],[illegible]52.[illegible]	LT
BOOKING HLDGS INC								
Symbol: BKNG Exchange: OTC	Mar 3, 22	7.000	2,66[illegible].[illegible]4	17,963.80	3,507.230	24,550.61	6,807.13	ST
BP P.L.C SPONS ADR								
Symbol: BP Exchange: NYSE								
EAI $402 Current yield: 4.97%	Oct 8, 20	765.000	37.417	4,058.93	35.460	8,348.20	4,120.68	LT
BROADCOM INC								
Symbol: AVGO Exchange: OTC								
EAI $567 Current yield: 1.65%	Apr 10, 14	2[illegible].000	6[illegible].[illegible]0	[illegible],[illegible]16.52	1,116.450	30,138.15	[illegible],[illegible]21.63	LT
CARR [illegible] PLC/[illegible]								
Symbol: CARR Exchange: [illegible]								
EAI $705 Current yield: [illegible].62%	Jul [illegible], [illegible]	2[illegible].000	19.[illegible]	[illegible],[illegible]82.[illegible]	57.450	[illegible],[illegible]71.50	[illegible],[illegible]88.68	LT
	Aug 31, 2[illegible]	57.000	30.[illegible]39	[illegible],[illegible]53.[illegible]	57.450	3,274.65	[illegible],[illegible]20.96	ST
	Mar 2, [illegible]	404.000	45.[illegible]%	18,[illegible]83.36	57.450	23,209.80	4,[illegible]26.44	LT
Security total		663.302	44.[illegible]	24,[illegible]54.[illegible]		38,[illegible]58.25	[illegible],[illegible]68.81	

(continued on next page)

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
CATERPILLAR INC Symbol: CAT Exchange: NYSE EAI: $671 Current yield: 1.76%	Sep 12, 19	129.000	131.533	16,967.77	295.670	38,141.43	21,173.66	LT
COCA COLA CO COM Symbol: KO Exchange: NYSE EAI: $765 Current yield: 3.12%	Oct 19, 23	416.000	54.422	22,639.83	58.930	24,514.88	1,875.05	ST
CONOCOPHILLIPS Symbol: COP Exchange: NYSE EAI: $200 Current yield: 2.00%	Sep 23, 20	86.000	33.208	2,855.93	116.070	9,982.02	7,126.09	LT
COSTCO WHOLESALE CORP Symbol: COST Exchange: OTC EAI: $155 Current yield: 0.62%	Oct 12, 17	19.000	157.360	2,989.84	660.080	12,541.52	9,551.68	LT
	Mar 24, 20	19.000	287.658	5,465.51	660.080	12,541.52	7,076.01	LT
Security total		38.000	222.509	8,455.35		25,083.04	16,627.69	
DANAHER CORP Symbol: DHR Exchange: NYSE EAI: $89 Current yield: 0.41%	Feb 18, 20	45.000	145.314	6,539.17	231.340	10,410.30	3,871.13	LT
	May 7, 20	48.000	140.620	6,749.78	231.340	11,104.32	4,354.54	LT
Security total		93.000	142.892	13,288.95		21,514.62	8,225.67	
DEUTSCHE POST AG SPON ADR Symbol: DHLGY Exchange: OTC EAI: $297 Current yield: 2.92%	Aug 4, 22	205.000	40.962	8,397.40	49.548	10,157.34	1,759.94	LT
EXXON MOBIL CORP Symbol: XOM Exchange: NYSE EAI: $813 Current yield: 3.80%	Mar 24, 20	214.000	34.460	7,374.54	99.980	21,395.72	14,021.18	LT
FREEPORT-MCMORAN INC Symbol: FCX Exchange: NYSE EAI: $484 Current yield: 1.41%	Aug 14, 19	807.000	9.439	7,618.00	42.570	34,353.99	26,735.99	LT
GENERAL MOTORS CO Symbol: GM Exchange: NYSE EAI: $109 Current yield: 1.00%	Aug 21, 23	303.000	33.227	10,068.02	35.920	10,883.76	815.74	ST
GOLDMAN SACHS GROUP INC Symbol: GS Exchange: NYSE EAI: $704 Current yield: 2.85%	Aug 31, 22	64.000	333.442	21,340.30	385.770	24,689.28	3,348.98	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Total cost ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
DIAGEO PLC SPON ADR NEW								
Symbol: DEO Exchange: NYSE								
EAI $339 Current yield: 1.20%	Aug 10, 10	2,000	47.83	22.1%	8.780	24.60	2.54	LT
	Mar 24, 20	180.000	0.08	1,364.68	8.780	1,481.40	346.72	LT
	Apr 15, 21	187.000	8.141	1,521.53	2.780	1,539.31	16.48	ST
Security total		370.000	7.183	2,639.36		3,045.12	405.74	
ENEL SPA SPON ADR								
Symbol: ENLAY Exchange: OTC								
EAI $364 Current yield: 3.63%	Jun 21, 17	412.000	47.322	30,184.12	60.930	21,003.46	9,179.34	LT
HOME DEPOT INC								
Symbol: HD Exchange: NYSE								
EAI $1,595 Current yield: 2.41%	Jul 18, 16	74.000	135.358	9,982.52	340.560	25,204.44	15,761.76	LT
	Sep 11, 19	31.000	217.850	6,753.34	340.560	10,557.36	3,804.02	
Security total		105.000	159.870	16,735.86		35,761.80	19,565.78	
HONDA MOTOR CO LTD ADR JAPAN ADR								
Symbol: HMC Exchange: NYSE								
EAI $508 Current yield: 2.81%	Mar 17, 20	68.000	39.194	2,665.22	34.010	2,312.68	353.46	LT
IBERDROLA SA SPON ADR								
Symbol: IBE Exchange: NYSE								
EAI $480 Current yield: 0.10%	Aug 30, 22	263.000	47.711	12,548.76	77.340	20,340.42	7,792.26	LT
	Feb 22, 23	233.000	57.471	15,379.13	77.340	18,020.22	4,641.09	ST
Security total		496.000	52.373	25,927.29		38,360.64	12,433.35	
INTUIT								
Symbol: INTU Exchange: OTC								
EAI $194 Current yield: 0.57%	Nov 8, 22	54.000	382.658	20,663.58	625.030	33,751.62	13,088.04	LT
INTUITIVE SURGICAL INC								
Symbol: ISRG Exchange: OTC	Feb 14, 20	36.000	201.536	7,255.32	337.360	12,144.96	4,889.64	LT
	Mar 26, 20	60.000	162.289	9,737.37	337.360	20,241.60	10,504.23	LT
	May 7, 20	72.000	177.922	12,810.40	337.360	24,289.92	11,479.52	LT
Security total		168.000	177.398	29,803.09		56,676.48	26,873.39	
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI $916 Current yield: 2.47%	Jul 14, 16	103.000	64.563	6,650.66	170.100	17,520.30	10,869.64	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
	Aug 21, 23	115.000	149.371	17,177.75	170.100	19,561.50	2,383.75	ST
Security total		218.000	109.305	23,828.41		37,081.80	13,253.39	
LILLY ELI & CO Symbol: LLY Exchange: NYSE EAI: $764 Current yield: 0.89%	Jun 19, 17	38.000	82.694	3,142.39	582.920	22,150.96	19,008.57	LT
	Jan 24, 18	109.000	84.769	9,239.87	582.920	63,538.28	54,298.41	LT
Security total		147.000	84.233	12,382.26		85,689.24	73,306.98	
LOREAL CO ADR FRANCE ADR Symbol: LRLCY Exchange: OTC EAI: $379 Current yield: 1.07%	Jul 12, 16	259.000	38.529	9,979.20	99.410	25,747.19	15,767.99	LT
	May 30, 19	96.000	54.088	5,192.52	99.410	9,543.36	4,350.84	LT
Security total		355.000	42.737	15,171.72		35,290.55	20,118.83	
LVMH MOET HENNESSY LOUIS ADR Symbol: LVMUY Exchange: OTC EAI: $786 Current yield: 1.36%	Jul 18, 16	221.000	31.112	6,875.76	162.450	35,901.45	29,025.69	LT
	Jan 9, 19	136.000	60.741	8,260.90	162.450	22,093.20	13,832.30	LT
Security total		357.000	42.400	15,136.66		57,994.65	42,857.99	
MASTERCARD INC CL A Symbol: MA Exchange: NYSE EAI: $206 Current yield: 0.62%	Mar 11, 20	19.000	270.416	5,137.92	426.510	8,103.69	2,965.77	LT
	Apr 25, 22	27.000	351.258	9,483.98	426.510	11,515.77	2,031.79	LT
	Aug 21, 23	32.000	393.472	12,591.12	426.510	13,648.32	1,057.20	ST
Security total		78.000	348.885	27,213.02		33,267.78	6,054.76	
MEDTRONIC PLC Symbol: MDT Exchange: NYSE EAI: $248 Current yield: 3.34%	Oct 19, 23	90.000	72.482	6,523.44	82.380	7,414.20	890.76	ST
MERCEDES-BENZ GROUP AG UNSPONSORED ADR Symbol: MBGYY Exchange: OTC EAI: $398 Current yield: 5.84%	Aug 21, 23	395.000	18.362	7,253.26	17.240	6,809.80	-443.46	ST
MERCK & CO INC COM Symbol: MRK Exchange: NYSE EAI: $779 Current yield: 2.82%	Jul 12, 16	137.000	56.808	7,782.81	109.020	14,935.74	7,152.93	LT
	May 30, 19	116.000	75.847	8,798.28	109.020	12,646.32	3,848.04	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
Security total		253,000	65.131	16,581.03		37,582.36	11,000.97	
META PLATFORMS INC CL A								
Symbol: META Exchange: OTC	Dec 26, 23	29.000	355.691	10,312.94	358.060	10,384.84	47.70	ST
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
DA: $1.77% Current yield: 0.80%	Jul 14, 16	225.000	33.804	12,170.93	376.040	84,984.04	72,814.06	LT
	Jan 24, 18	49.000	80.901	3,961.61	376.040	18,425.96	12,679.77	LT
	Jun 9, 19	41.000	164.621	4,039.38	376.040	15,417.64	11,378.26	LT
	May 30, 19	76.000	126.410	9,541.69	376.040	28,579.04	19,047.05	LT
Security total		392.000	77.903	30,546.19		147,407.68	116,861.49	
MORGAN STANLEY								
Symbol: Mo Exchange: NYSE								
DA: $1.36 Current yield: 3.55%	May 26, 20	401.000	43.330	17,376.43	93.260	37,393.26	20,016.83	LT
NESTLE S A SPONSORED ADR								
REPSTG Reg SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
DA: $2.12 Current yield: 2.41%	Aug 31, 18	76.000	88.071	6,693.41	115.630	8,787.88	2,094.47	LT
NETEASE INC								
Symbol: NTE Exchange: OTC	Jul 24, 19	3.000	332.854	998.56	486.890	1,460.67	462.11	LT
	Feb 14, 20	25.000	350.256	8,756.40	486.890	12,172.25	3,415.85	LT
	Jan 4, 22	34.000	190.773	20,065.29	486.890	16,552.92	-3,512.37	LT
Security total		62.000	493.834	30,624.33		30,180.56	-443.77	
UBS AG LONDON								
Symbol: UBS Exchange: NYSE								
DA: $0.6 Current yield: 1.87%	Feb 18, 20	72.000	103.194	7,429.98	108.240	7,793.28	363.30	LT
	Mar 1, 20	100.000	84.199	8,419.90	108.240	10,824.00	2,404.10	LT
Security total		172.000	92.100	15,849.88		18,617.28	2,767.40	
UNION CORP SPON ADR								
Symbol: UNPSY Exchange: OTC								
DA: $57.0 Current yield: 1.14%	Oct 16, 17	102.000	64.452	6,574.10	46.030	6,695.06	120.96	LT
VALE S A ADR								
Symbol: VALE Exchange: NYSE								
DA: $0.53 Current yield: 5.52%	Jul 12, 16	74.000	41.426	3,065.54	10.530	7,801.02	4,735.48	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
	May 30, 19	87.000	51.840	4,510.12	105.430	9,172.41	4,662.29	LT
Security total		161.000	47.063	7,577.16		16,974.23	9,397.07	
OTIS WORLDWIDE CORP Symbol: OTIS Exchange: NYSE EAI: $139 Current yield: 1.52%	Jul 12, 16	102.000	54.676	5,577.04	89.470	9,125.94	3,548.90	LT
PACCAR INC Symbol: PCAR Exchange: OTC EAI: $366 Current yield: 1.11%	Mar 2, 23	244.000	74.570	18,195.25	97.650	23,826.60	5,631.35	ST
	Mar 23, 23	95.000	70.724	6,718.87	97.650	9,276.75	2,557.88	ST
Security total		339.000	73.493	24,914.12		33,103.35	8,189.23	
PANASONIC CORP SPON ADR Symbol: PCRFY Exchange: OTC EAI: $165 Current yield: 1.70%	Mar 24, 20	985.000	7.097	6,991.43	9.840	9,692.40	2,700.97	LT
PROCTER & GAMBLE CO Symbol: PG Exchange: NYSE EAI: $655 Current yield: 2.57%	Jul 6, 23	174.000	152.156	26,475.17	146.540	25,497.96	-977.21	ST
QUALCOMM INC Symbol: QCOM Exchange: OTC EAI: $323 Current yield: 2.21%	Oct 21, 22	101.000	114.340	11,548.36	144.630	14,607.63	3,059.27	LT
RIO TINTO PLC SPON ADR Symbol: RIO Exchange: NYSE EAI: $934 Current yield: 5.38%	Jun 27, 18	118.000	55.144	6,507.09	74.460	8,786.28	2,279.19	LT
	Jul 10, 18	115.000	56.024	6,442.78	74.460	8,562.90	2,120.12	LT
Security total		233.000	55.579	12,949.87		17,349.18	4,399.31	
RTX CORP Symbol: RTX Exchange: NYSE EAI: $481 Current yield: 2.80%	Jul 12, 16	204.000	57.692	11,769.30	84.140	17,164.56	5,395.26	LT
SALESFORCE, INC. Symbol: CRM Exchange: NYSE	Mar 11, 20	54.000	154.847	8,361.78	263.140	14,209.56	5,847.78	LT
	Nov 8, 22	175.000	147.938	25,889.18	263.140	46,049.50	20,160.32	LT
Security total		229.000	149.568	34,250.96		60,259.06	26,008.10	
SANOFI SPON ADR Symbol: SNY Exchange: OTC EAI: $395 Current yield: 2.77%	Aug 21, 23	287.000	53.950	15,483.75	49.730	14,272.51	-1,211.24	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
SAP SE SPON ADR								
Symbol: SAP Exchange: NYSE								
EAI: $342 Current yield: 1.04%	Jul 13, 16	140.000	78.384	10,973.56	154.590	21,642.60	10,669.04	ST
	Feb 15, 18	72.000	105.107	7,567.76	154.590	11,130.48	3,562.72	LT
Security total		212.000	87.863	18,541.32		32,773.08	14,231.76	
SCHNEIDER ELEC SE UNSPONSORED ADR								
Symbol: SBGSY Exchange: OTC								
EAI: $414 Current yield: 1.20%	Apr 24, 17	801.000	75.617	[redacted]	40.750	32,640.75	[redacted]	[redacted]
	Feb 15, 18	405.000	77.516	31,393.98	40.750	16,503.75	-14,890.23	LT
Security total		1,206.000	76.191	91,909.78		49,144.50	-42,765.28	
SCHWAB CHARLES CORP NEW								
Symbol: SCHW Exchange: NYSE								
EAI: $179 Current yield: 1.45%	Aug 8, 22	113.000	67.405	[redacted]	68.890	[redacted]	[redacted]	ST
	Aug 15, 22	183.000	76.040	13,915.32	68.890	12,606.87	-1,308.45	ST
Security total		296.000	72.754	[redacted]		20,384.22	[redacted]	
SEA LTD SPON ADS								
Symbol: SE Exchange: NYSE	Nov 8, 22	114.000	170.183	19,400.91	76.840	8,759.76	-10,641.15	ST
SHELL PLC SPON ADR								
Symbol: Shel Exchange: NYSE								
EAI: $363 Current yield: 3.76%	Oct 8, 20	349.000	26.327	9,188.43	65.800	22,964.20	13,816.77	LT
SIEMENS A G SPON ADR								
Symbol: SIEGY Exchange: OTC								
EAI: $582 Current yield: 1.89%	Jul 13, 16	147.000	51.845	$7,621.91	93.340	16,088.88	7,169.69	ST
	Apr 24, 17	67.000	71.747	4,807.06	93.340	6,367.78	1,460.72	LT
	Jun 19, 17	76.000	70.823	5,382.48	93.340	7,109.04	1,726.56	LT
Security total		310.000	59.663	19,108.73		29,465.10	10,356.37	
SMC CORP SPON ADR								
Symbol: SMCAY Exchange: OTC								
EAI: $204 Current yield: 0.86%	Apr 21, 17	330.000	14.171	4,761.62	20.700	8,971.20	4,209.58	LT
	May 30, 19	350.000	10.703	9,236.38	26.700	14,685.00	5,448.62	LT
Security total		386.000	15.759	13,998.00		23,656.20	9,658.20	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
SONY GROUP CORP SPONSORED ADR Symbol: SONY Exchange: NYSE EAI: $47 Current yield: 0.44%	Jul 14, 21	45.000	105.119	4,730.39	94.690	4,261.05	-469.34	LT
	Aug 2, 22	69.000	86.411	5,962.37	94.690	6,533.61	571.24	LT
Security total		114.000	93.796	10,692.76		10,794.66	101.90	
STARBUCKS CORP Symbol: SBUX Exchange: OTC EAI: $404 Current yield: 2.38%	Sep 2, 22	177.000	83.813	14,835.05	96.010	16,993.77	2,158.72	LT
TAIWAN SEMICONDUCTOR MFG CO LTD ADR Symbol: TSM Exchange: NYSE EAI: $576 Current yield: 1.46%	Apr 24, 17	140.000	32.720	4,580.92	104.000	14,560.00	9,979.08	LT
	Mar 24, 20	140.000	48.456	6,783.89	104.000	14,560.00	7,776.11	LT
	Apr 19, 23	99.000	86.835	8,596.74	104.000	10,296.00	1,699.26	ST
Security total		379.000	52.669	19,961.55		39,416.00	19,454.45	
THERMO FISHER SCIENTIFIC INC Symbol: TMO Exchange: NYSE EAI: $31 Current yield: 0.27%	Feb 14, 20	22.000	339.309	7,464.80	530.790	11,677.38	4,212.58	LT
TOTALENERGIES SE SPON ADR Symbol: TTE Exchange: NYSE EAI: $1,171 Current yield: 3.85%	Oct 8, 20	451.000	35.020	15,794.14	67.380	30,388.38	14,594.24	LT
UNION PACIFIC CORP Symbol: UNP Exchange: NYSE EAI: $681 Current yield: 2.12%	Sep 11, 19	48.000	166.722	8,002.68	245.620	11,789.76	3,787.08	LT
	Mar 26, 20	83.000	139.543	11,582.07	245.620	20,386.46	8,804.39	LT
Security total		131.000	149.502	19,584.75		32,176.22	12,591.47	
UNITEDHEALTH GROUP INC Symbol: UNH Exchange: NYSE EAI: $308 Current yield: 1.43%	Jul 6, 23	41.000	469.622	19,254.52	526.470	21,585.27	2,330.75	ST
VERALTO CORP Symbol: VLTO Exchange: NYSE EAI: $11 Current yield: 0.43%	Feb 18, 20	15.000	57.542	863.14	82.260	1,233.90	370.76	LT
	May 7, 20	16.000	55.683	890.94	82.260	1,316.16	425.22	LT
Security total		31.000	56.583	1,754.08		2,550.06	795.98	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Holding period
VISA INC CL A								
Symbol: V | Exchange: NYSE								
PAY $266 Current yield: 0.80%	Jun 19, 17	34,000	94.904	3,227.81	260.350	8,851.90	5,623.09	LT
	Feb 15, 19	35,000	121.434	4,250.69	260.350	9,112.25	4,861.56	LT
	May 30, 19	69,000	140.845	9,718.25	260.350	17,964.15	8,245.90	LT
Security total		138,000	133.496	17,196.75		35,928.30	18,731.55	
3 M COMPANY								
Symbol: MMM | Exchange: NYSE								
PAY $6.12 Current yield: 3.45%	Aug 17, 22	140,000	182.304	25,522.56	177.450	24,843.00	-679.56	LT
WALT DISNEY CO (THE) HOLDING CO								
DLNDY.COM								
Symbol: DIS | Exchange: NYSE								
PAY $82 Current yield: 0.67%	Sep 21, 22	103,000	106.266	10,945.47	90.290	9,299.87	-1,645.60	LT
WOODSIDE ENERGY GROUP LTD ADR								
Symbol: WDS | Exchange: NYSE								
PAY $1.13 Current yield: 10.41%	Jun 2, 22	97,000	21.513	2,086.44	21.090	2,046.73	-41.71	LT
WYNN RESORTS LTD								
Symbol: WYNN | Exchange: OTC								
PAY $165 Current yield: 1.10%	Mar 2, 23	165,000	110.865	18,292.86	91.110	15,033.15	-3,579.71	ST
Total				$1,353,419.97		$2,548,063.68	$1,194,643.71	

Total estimated annual income: $32,480

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot but the summation of determining holding period on cost basis. The shares you received each time you reinvested a dividend become a taxable lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized loss/gain or loss is the difference between the current value and the cost basis and would generally be the total taxable gain or loss if the security was sold on that date. The unrealized gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Current investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
SPDR TRUST DOW JONES INDUSTRIAL									
AVERAGE									

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Symbol: FDN									
Trade date: Oct 21, 22	113.000	129.669	14,652.67	14,652.67	186.550	21,080.15	6,427.48	6,427.48	LT
ISHARES GLOBAL HEALTHCARE ETF									
Symbol: IXJ									
Trade date: Sep 22, 22	117.000	76.793	8,984.89	8,984.89	86.720	10,146.24	1,161.35	1,161.35	LT
EAI: $140 Current yield: 1.38%									
ISHARES US PHARMACEUTICALS ETF									
Symbol: IHE									
Trade date: Oct 26, 23	136.000	171.242	23,288.98	23,288.98	185.401	25,214.53	1,925.55	1,925.55	ST
EAI: $349 Current yield: 1.38%									
ISHARES MSCI EUROPE FINANCIALS ETF									
Symbol: EUFN									
Trade date: Jul 22, 20	1,180.000	15.390	18,160.75	18,160.75	20.960	24,732.80	6,572.05		LT
Trade date: Oct 23, 20	517.000	14.306	7,396.25	7,396.25	20.960	10,836.32	3,440.07		LT
Trade date: Aug 26, 22	500.000	15.458	7,729.05	7,729.05	20.960	10,480.00	2,750.95		LT
Trade date: Feb 22, 23	864.000	19.609	16,942.26	16,942.26	20.960	18,109.44	1,167.18		ST
EAI: $2,451 Current yield: 3.82%									
Security total	3,061.000	16.409	50,228.31	50,228.31		64,158.56	13,930.25	13,930.25	
VANECK SEMICONDUCTOR ETF									
Symbol: SMH									
Trade date: Oct 21, 22	250.000	92.042	23,010.58	23,010.58	174.870	43,717.50	20,706.92		LT
Trade date: Feb 22, 23	82.000	117.655	9,647.72	9,647.72	174.870	14,339.34	4,691.62		ST
Trade date: Apr 28, 23	94.000	123.022	11,564.14	11,564.14	174.870	16,437.78	4,873.64		ST
EAI: $444 Current yield: 0.60%									
Security total	426.000	103.809	44,222.44	44,222.44		74,494.62	30,272.18	30,272.18	
Total			**$141,377.29**	**$141,377.29**		**$195,094.10**	**$53,716.81**	**$53,716.81**	

Total estimated annual income: $3,384

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment ($)	Loss ($)	Gain ($)
APPLE INC	FIFO	136.000	Jul 14, 16	Mar 01, 23	19,734.87	3,347.38			16,447.49
BANK OF AMER CORP	FIFO	320.000	Aug 10, 22	Aug 21, 23	9,463.41	11,488.80		-2,025.39	
DEVON ENERGY CORP NEW	FIFO	186.000	Sep 23, 20	Jul 06, 23	11,080.80	2,076.77			9,004.03
DOCUSIGN INC	FIFO	105.000	Dec 16, 21	Apr 18, 23	5,571.74	15,715.19		-10,143.45	
EDWARDS LIFESCIENCES CORP	FIFO	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]		[illegible]	
GLOBAL PAYMENTS INC	FIFO	55.000	[illegible]	Aug 21, 23	[illegible]	[illegible]		[illegible]	
HALLIBURTON CO HOLDING COMPANY	FIFO	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]			[illegible]
JOHNSON & JOHNSON COM	FIFO	116.000	Jun 23, 21	Oct 26, 23	17,446.37	20,776.47		-3,330.10	
	FIFO	40.000	Jun 30, 22	Oct 26, 23	6,015.99	7,091.27		-1,075.28	
MICROSOFT CORP	FIFO	81.000	Jul 14, 16	Mar 01, 23	19,969.79	4,363.17			15,606.62
	FIFO	23.000	Jul 14, 16	Mar 23, 23	6,661.29	1,292.49			5,368.80
NETFLIX INC	FIFO	19.000	Jan 04, 19	Mar 03, 23	6,917.01	6,117.78		190.77	
	FIFO	36.000	Jul 26, 19	Mar 03, 23	11,954.03	12,647.41		-709.38	
NEXTERA ENERGY INC COM	FIFO	146.000	May 27, 20	Oct 20, 23	7,586.52	8,719.46		-1,132.94	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	124.000	Sep 03, 20	Jul 05, 23	6,099.72	2,111.73			3,987.99
VOLKSWAGEN AG UNSPONSORED ADR	FIFO	580.000	Aug 02, 22	Aug 21, 23	7,167.53	8,317.66		-1,150.13	
ZOOM VIDEO COMMUNICATIONS INC CL A	FIFO	85.000	Jan 04, 22	Apr 17, 23	5,807.35	15,273.39		-9,466.04	
3M CO	FIFO	72.000	Mar 23, 20	Jul 06, 23	6,920.55	11,299.59		-4,379.04	
	FIFO	44.000	Oct 28, 20	Jul 06, 23	4,229.23	7,500.64		-3,271.41	
Total					**$193,961.22**	**$172,269.17**		**-$42,957.05**	**$64,649.10**
Net long-term capital gains or losses									**$21,592.05**
Net capital gains/losses:								**-$771.76**	

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Taxable dividends (continued)	Dec 12	Foreign Dividend	SONY GROUP CORP SPONSORED ADR PAID ON 114 CUSIP: 835699307 / SYMBOL: SONY	30.95
	Dec 12	Dividend	UNITEDHEALTH GROUP INC PAID ON 41 SYMBOL: UNH	77.08
	Dec 14	Dividend	APPLIED MATERIALS INC PAID ON 330 SYMBOL: AMAT	105.60
	Dec 14	Dividend	GENERAL MOTORS CO PAID ON 303 SYMBOL: GM	27.27
	Dec 14	Dividend	HOME DEPOT INC PAID ON 125 SYMBOL: HD	261.25
	Dec 14	Dividend	INGERSOLL RAND INC PAID ON 496 SYMBOL: IR	9.92
	Dec 14	Dividend	MICROSOFT CORP PAID ON 392 SYMBOL: MSFT	294.00
	Dec 14	Dividend	QUALCOMM INC PAID ON 101 SYMBOL: QCOM	80.80
	Dec 14	Dividend	RTX CORP PAID ON 204 SYMBOL: RTX	120.36
	Dec 15	Dividend	COCA COLA CO COM PAID ON 416 SYMBOL: KO	191.36
	Dec 19	Foreign Dividend	BP PLC SPON ADR PAID ON 233 CUSIP: 055622104 / SYMBOL: BP	101.63
	Dec 20	Foreign Dividend	SHELL PLC SPON ADR PAID ON 349 CUSIP: 780259305 / SYMBOL: SHEL	231.04
	Dec 21	Foreign Dividend	LVMH MOET HENNESSY LOUIS ADR PAID ON 357 CUSIP: 502441306 / SYMBOL: LVMUY	422.94
	Dec 22	Dividend	BLACKROCK INC PAID ON 29 SYMBOL: BLK	145.00
	Dec 22	Dividend	VANECK SEMICONDUCTOR ETF PAID ON 426 SYMBOL: SMH	444.19
	Dec 27	Dividend	ISHARES GLOBAL HEALTHCARE ETF PAID ON 117 SYMBOL: IXJ	65.08
	Dec 27	Dividend	ISHARES US PHARMACEUTICALS ETF PAID ON 136 SYMBOL: IHE	54.97

continued next page

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Taxable dividends (continued)	Dec 27	Dividend	SHARES MSCI EUROPE FINANCIALS ETF PAID ON 3051 SYMBOL: EUFN	979.84
	Dec 28	Dividend	BANK OF AMER CORP PAID ON 795 SYMBOL: BAC	190.80
	Dec 28	Dividend	GOLDMAN SACHS GROUP INC PAID ON 51 SYMBOL: GS	178.68
	Dec 28	Dividend	UNION PAC CORP PAID ON 131 SYMBOL: UNP	170.30
	Dec 28	Dividend	PROCTER GAMBLE CO PAID ON 97 SYMBOL: PG	141.75
			Total taxable dividends	**$5,743.45**
			Total dividend and interest income	**$5,743.45**

	Date	Activity	Description	Amount ($)
Fees	Dec 1	Fee	PANASONIC CORP SPON ADR CUSIP: 69832A205 / SYMBOL: PCRFY	-14.71
	Dec 11	Fee	ONEOK CORP SHS ADR CUSIP: 682131303 / SYMBOL: OMRNY	-2.86
	Dec 11	Fee	3M CO CORP SPON ADR CUSIP: 88579W109 / SYMBOL: MCIAY	-30.67
	Dec 12	Fee	HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-18.07
	Dec 12	Fee	NOMURA HOLDINGS CORP SPONSORED ADR CUSIP: 65535H208 / SYMBOL: NMR	-3.77
	Dec 19	Fee	BP PLC SPON ADS CUSIP: 055622104 / SYMBOL: BP	-1.17
	Dec 21	Fee	LVMH MOET HENNESSY LOUIS ADR CUSIP: 502441207 / SYMBOL: LVMUY	-20.55
	Dec 21	Fee	NEXON CO LTD ADR CUSIP: 65345N103 / SYMBOL: NEXOY	-3.01
			Total fees	**-$90.39**

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Other funds debited	Dec 7	Foreign Tax Withheld	PANASONIC CORP SPON ADR CUSIP: 69832A205 / SYMBOL: PCRFY	-11.66
	Dec 11	Foreign Tax Withheld	OMRON CORP SPON ADR CUSIP: 682151303 / SYMBOL: OMRNY	-3.82
	Dec 11	Foreign Tax Withheld	SMC CORP SPON ADR CUSIP: 78445W306 / SYMBOL: SMCAY	-13.53
	Dec 12	Foreign Tax Withheld	HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-40.27
	Dec 12	Foreign Tax Withheld	SONY GROUP CORP SPONSORED ADR CUSIP: 835699307 / SYMBOL: SONY	-3.10
	Dec 21	Foreign Tax Withheld	LVMH MOET HENNESSY LOUIS ADR CUSIP: 502441306 / SYMBOL: LVMUY	-54.14
	Total other funds debited			**-$126.52**

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value ($)	Price ($)	Proceeds from investment transactions ($)	Funds withdrawn for investments bought ($)	Accrued interest ($)
Dec 28	Bought	META PLATFORMS INC CL A UNSOLICITED SYMBOL: META	29.000		355.087700		-10,312.54	
Total							**-$10,312.54**	

	Date	Activity	Description	Amount ($)
Money balance activities	**Nov 30**	**Balance forward**		**$30,558.84**
	Dec 1	Bought	RMA GOVERNMENT MONEY MARKET FD AS OF 11/30/23	116.49
	Dec 4	Bought	RMA GOVERNMENT MONEY MARKET FD	116.44
	Dec 7	Bought	RMA GOVERNMENT MONEY MARKET FD	91.53
	Dec 8	Bought	RMA GOVERNMENT MONEY MARKET FD	90.18
	Dec 11	Bought	RMA GOVERNMENT MONEY MARKET FD	200.79
	Dec 12	Bought	RMA GOVERNMENT MONEY MARKET FD	335.92
	Dec 13	Bought	RMA GOVERNMENT MONEY MARKET FD	445.54
	Dec 15	Bought	RMA GOVERNMENT MONEY MARKET FD	899.20
	Dec 18	Bought	RMA GOVERNMENT MONEY MARKET FD	191.36

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Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Dec 20	Bought	RMA GOVERNMENT MONEY MARKET FD	100.46
	Dec 21	Bought	RMA GOVERNMENT MONEY MARKET FD	251.04
	Dec 22	Bought	RMA GOVERNMENT MONEY MARKET FD	339.66
	Dec 26	Bought	RMA GOVERNMENT MONEY MARKET FD	169.19
	Dec 28	Sold	RMA GOVERNMENT MONEY MARKET FD	-9,271.65
	Dec 29	Bought	RMA GOVERNMENT MONEY MARKET FD	618.85
	Dec 29	**Closing RMA Government Money Market Fd**		**$25,772.84**

[redacted]

UBS

Your notes

[redacted]

End of statement for account number [redacted]

[redacted]

UBS Financial Services Inc.
[illegible]

UBS Financial Services Inc.

December 2023

Your Financial Advisor:
[illegible]

Visit our website:
[illegible]

Account name: DAVID A SMITH
[illegible]
Account type: [illegible]
Account number: [redacted]

Value of your account

	on November 30 ($)	on December 29 ($)
Your assets	123,440.28	129,814.26
Your liabilities	0.00	0.00
Value of your account	**$123,440.28**	**$129,814.26**

Your account instructions

- Account managed by investment Advisor at your direction. Call [illegible] @ 800-650-1041.
- UBS Financial Services Inc. is your IRA custodian.
- Your account cost basis default client's method is FIFO, First In, First Out.
- Statement copies are sent to 1 interested party.

Change in the value of your account

	December 2023 ($)	Year to date ($)
Opening account value	**$123,440.28**	**$97,730.98**
Dividend and interest income	33.10	1,886.28
Change in Market Value	6,340.88	30,197.00
Closing account value	**$129,814.26**	**$129,814.26**

Cash activity summary

See *Account activity this month* for details Balances in your Sweep Options are included in the opening and closing balances value FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC-Insured Deposit Program and the UBS Insured Sweep Program It does not apply to deposits at UBS AG, Stamford Branch SIPC protection applies to money market sweep fund holdings but not bank deposits See *Important information about your statement* on the last two pages of this document for details

	December 2023 ($)	Year to date ($)
Opening balances	**$1,283.07**	**$1,151.83**
Additions		
Dividend and interest income	653.18	1,980.28
Proceeds from investment transactions	0.00	11,751.10
Total additions	**$653.18**	**$13,731.38**
Subtractions		
Funds withdrawn for investments bought	0.00	-12,946.96
Total subtractions	**$0.00**	**-$12,946.96**
Net cash flow	**$653.18**	**$784.42**
Closing balances	**$1,936.25**	**$1,936.25**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes The client monthly statement is not intended to be used and cannot be relied upon for tax purposes Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income Please consult your individual tax preparer

	December 2023 ($)	Year to date ($)
Dividends	653.18	1,918.08
Total current year	**$653.18**	**$1,918.08**
Prior year adjustment	0.00	62.20
Total dividend & interest	**$653.18**	**$1,980.28**

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified Interest income for short-term obligations that were held to maturity or redeemed is displayed as realized gain, however, it will be reported as interest income on your annual 1099 and PR480 6 tax forms

	Realized gains and losses		Unrealized
	December 2023 ($)	Year to date ($)	gains and losses ($)
Short term	0.00	154.24	732.60
Long term	0.00	3,470.99	46,476.88
Total	**$0.00**	**$3,625.23**	**$47,209.48**

Retirement services information

2023 Year-End valuation statement - Form 5498

[illegible] reflect the year-end valuation of your account, as of December 29, 2023. This statement is provided for your information and for tax reporting purposes. Please review the information on this statement and notify us if you have any questions. You will receive a separate statement showing activity in your account during 2023. If applicable, you will receive a Required Minimum Distribution (RMD) statement by January 31, 2024. This statement reflects the value of your IRA for the stated value, if applicable, will be furnished to you [illegible] Beneficiary section. Additionally, if you have or will have attained your required Minimum Distribution (RMD) age by the end of 2024, a separate Year-End Valuation Statement will be sent to you [illegible] RMD amount.

December 29 value	$129,814.26

Beneficiaries you've named for this account

[illegible] reflect the information in our records as of the end of the statement period. If this information is not correct or you wish to change your beneficiaries, please contact your Financial Advisor. Beneficiary changes may not be effective until [illegible] intent to name a trust as a beneficiary, please consult your financial advisor. If you live in a community property state, your ability to name someone other than your spouse as a beneficiary may be subject to spousal consent requirements under applicable law.

Percentage	Beneficiary
100.00%	[illegible]

[redacted]

UBS

Your notes

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 29 ($)	Price per share on Dec 29 ($)	Average rate	Dividends/interest period	Days in period
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]

Equities

Closed end funds & Exchange traded products

Total reinvested is the value of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purpose of determining holding periods or cost basis. The shares you receive each time you reinvest dividend income are separate tax lots.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for the sale of certain securities in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized gain or loss is the difference between the current value and the cost basis, and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the amount you earned on the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been resold as either a gain or a loss. It also does not include income dividends that were not reinvested.

Holding	Number of shares	Purchase price / Average price per share ($)	Cost investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (tax) gain or loss ($)	Investment return (%)	Holding period
CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND (XLY)									
Symbol: XLY									
Trade date: Sep 21, 20[redacted]	18.000	113.360	1,604.69	1,604.69	126.610	2,279.58	930.84		1
Trade date: Mar 1, 20[redacted]	16.000	110.109	1,857.74	1,857.74	173.819	2,780.90	1,003.32		2
SPDR S&P 500 ETF Trust (SPY)									
Security total	29.000	119.360	3,461.83	3,461.83		5,059.79	1,734.06	1,734.06	
CONSUMER STAPLES SELECT SECTOR SPDR FUND (XLP)									
Symbol: XLP									
Trade date: Jul 10, 20[redacted]	30.000	74.699	2,334.05	1,382.05	77.340	2,320.20	88.15	63.42	4
SPDR S&P 500 ETF Trust (SPY)									
Security total	30.000	74.699	2,334.05	1,382.05	77.340	2,320.20	88.15	63.42	

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FUND ETF									
Symbol: XLI									
Trade date: Sep 18, 19	19.000	79.448	1,509.53	1,509.53	113.990	2,165.81	656.28		LT
Trade date: May 26, 20	24.000	67.294	1,615.06	1,615.06	113.990	2,735.76	1,120.70		LT
EAI: $80 Current yield: 1.63%									
Security total	43.000	72.665	3,124.59	3,124.59		4,901.57	1,776.98	1,776.98	
INVESCO QQQ TRUST ETF									
Symbol: QQQ									
Trade date: Feb 15, 17	67.000	129.157	8,653.58	8,653.58	409.520	27,437.84	18,784.26		LT
Trade date: Jan 10, 19	8.000	162.312	1,298.50	1,298.50	409.520	3,276.16	1,977.66		LT
Trade date: Jul 26, 19	16.000	196.169	3,138.71	3,138.71	409.520	6,552.32	3,413.61		LT
EAI: $211 Current yield: 0.57%									
Security total	91.000	143.855	13,090.79	13,090.79		37,266.32	24,175.53	24,175.53	
INVESCO S&P 500 TOP 50 ETF									
Symbol: XLG									
Trade date: Feb 16, 17	90.000	16.560	1,490.45	1,490.45	37.720	3,394.80	1,904.35		LT
Trade date: May 26, 20	130.000	23.096	3,002.49	3,002.49	37.720	4,903.60	1,901.11		LT
EAI: $81 Current yield: 0.98%									
Security total	220.000	20.422	4,492.94	4,492.94		8,298.40	3,805.46	3,805.46	
INVESCO NASDAQ INTERNET ETF									
Symbol: PNQI									
Trade date: Oct 14, 20	85.000	43.763	3,719.86	3,719.86	36.070	3,065.95	-653.91		LT
Trade date: Dec 20, 21	25.000	42.199	1,054.99	1,054.99	36.070	901.75	-153.24		LT
Security total	110.000	43.408	4,774.85	4,774.85		3,967.70	-807.15	-807.15	
ISHARES MSCI JAPAN ETF									
Symbol: EWJ									
Trade date: Apr 25, 17	24.000	52.712	1,265.11	1,265.11	64.140	1,539.36	274.25		LT
Trade date: Jun 5, 17	24.000	55.335	1,328.05	1,328.05	64.140	1,539.36	211.31		LT
EAI: $63 Current yield: 2.05%									
Security total	48.000	54.024	2,593.16	2,593.16		3,078.72	485.56	485.56	
ISHARES MSCI GLOBAL SELECT									

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Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase or exercise: Average price per share ($)	Client investment ($)	Cost ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (loss) gain or loss ($)	Investment return ($)	Holding period
METALS & MINERS PROS [redacted]									
Symbol: PICK									
Trade date: Jun 6, 18	46.000	32.597	1,499.45	1,499.45	[illegible]	1,981.52	482.75	482.75	LT
EAI: $88 Current yield: 4.43%									
ISHARES U.S. FINANCIAL [redacted]									
Symbol: IYF									
Trade date: May 26, 20	25.000	84.965	2,124.09	1,949.61	85.410	2,135.25	1,096.07		ST
Trade date: Nov 14, 19	27.000	74.614	2,014.55	2,014.55	85.410	1,905.07	291.49		LT
EAI: $90 Current yield: 1.67%									
Security total	52.000	53.362	3,965.27	3,965.27		4,040.32	1,387.56		
ISHARES MSCI EUROPE [redacted]									
EU									
Symbol: EZU									
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		[redacted]
[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]	[redacted]		[redacted]
EAI: $149 Current yield: 3.55%									
Security total	123.000	[illegible]	4,219.21	4,219.21		4,533.12	1,228.89	1,228.89	
ISHARES GLOBAL ENERGY ETF									
Symbol: IXC									
Trade date: Jul 27, 18	26.000	38.203	988.10	988.10	39.110	1,016.86	28.76		LT
Trade date: Sep 26, 18	33.000	38.505	1,270.71	1,270.71	39.110	1,290.63	19.92		LT
Trade date: Jan 10, 19	43.000	32.028	1,377.22	1,377.22	39.110	1,681.73	304.51		LT
EAI: $138 Current yield: 3.46%									
Security total	102.000	35.647	3,636.03	3,636.03		3,989.22	353.19	353.19	
ISHARES GLOBAL HEALTHCARE ETF									
Symbol: IXJ									
Trade date: Mar 8, 13	52.000	80.462	4,184.07	4,184.07	86.730	4,509.44	325.37	325.37	ST
EAI: $62 Current yield: 1.37%									
ISHARES GLOBAL TECH ETF									
Symbol: IXN									
Trade date: Feb 15, 17	72.000	19.970	1,437.87	1,437.87	68.180	4,908.96	3,471.09		LT

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date: Mar 2, 18	48.000	27.104	1,301.01	1,301.01	68.180	3,272.64	1,971.63		LT
Trade date: Sep 26, 18	54.000	29.529	1,594.61	1,594.61	68.180	3,681.72	2,087.11		LT
Trade date: Apr 7, 20	48.000	32.256	1,548.31	1,548.31	68.180	3,272.64	1,724.33		LT
Trade date: Aug 5, 22	20.000	52.872	1,057.45	1,057.45	68.180	1,363.60	306.15		LT
EAI: $91 Current yield: 0.55%									
Security total	242.000	28.675	6,939.25	6,939.25		16,499.56	9,560.31	9,560.31	
ISHARES US TRANSN ETF									
Symbol: IYT									
Trade date: Mar 8, 23	8.000	234.113	1,872.91	1,872.91	262.430	2,099.44	226.53	226.53	ST
EAI: $26 Current yield: 1.24%									
ISHARES US MEDICAL DEVICES ETF									
Symbol: IHI									
Trade date: May 7, 20	42.000	44.094	1,851.97	1,851.97	53.970	2,266.74	414.77	414.77	LT
EAI: $12 Current yield: 0.53%									
ISHARES MSCI EUROPE FINANCIALS ETF									
Symbol: EUFN									
Trade date: Apr 25, 17	243.000	21.506	5,226.18	5,226.18	20.960	5,093.28	-132.90		LT
Trade date: Jun 5, 17	59.000	22.310	1,316.29	1,316.29	20.960	1,236.64	-79.65		LT
EAI: $242 Current yield: 3.82%									
Security total	302.000	21.664	6,542.47	6,542.47		6,329.92	-212.55	-212.55	
SPDR S&P RETAIL ETF									
Symbol: XRT									
Trade date: Mar 8, 23	40.000	65.715	2,628.61	2,628.61	72.320	2,892.80	264.19	264.19	ST
EAI: $40 Current yield: 1.38%									
VANGUARD FTSE EUROPE ETF									
Symbol: VGK									
Trade date: Feb 14, 17	120.000	49.697	5,963.72	5,963.72	64.480	7,737.60	1,773.88		LT
Trade date: Jun 5, 17	22.000	57.265	1,259.84	1,259.84	64.480	1,418.56	158.72		LT
Trade date: Apr 26, 22	30.000	59.129	1,773.89	1,773.89	64.480	1,934.40	160.51		LT
EAI: $349 Current yield: 3.15%									

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Your assets › **Equities** › **Closed end funds & Exchange traded products** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 29 ($)	Value on Dec 29 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security Total	177.000	52.311	8,997.45	8,997.45		11,087.56	2,090.11	2,090.11	
Total			$80,668.53	$80,668.53		$127,878.01	$47,209.48	$47,209.48	

Total estimated annual income: $1,818

Your total assets

		Value on Dec 29 ($)	Percentage of your account	Cost ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	Cash and money balances	1,936.25	1.49%	1,936.25		
Equities	Closed end funds & Exchange traded products	127,878.01	98.51%	80,668.53	1,818.00	47,209.48
Total		**$129,814.26**	**100.00%**	**$82,604.78**	**$1,818.00**	**$47,209.48**

Account activity this month

Date	Activity	Description	Amount ($)
Dividend and interest income			
Dividends			
Dec 1	Dividend	UBS RMA GOVERNMENT MONEY MARKET FUND AS OF 11/30/23	5.07
Dec 19	Dividend	SPDR S&P RETAIL ETF PAID ON 40 SYMBOL: XRT	18.04
Dec 21	Dividend	CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND ETF PAID ON 29 SYMBOL: XLY	1.79
Dec 21	Dividend	CONSUMER STAPLES SELECT SECTOR SPDR FUND ETF PAID ON 67 SYMBOL: XLP	17.17
Dec [illegible]	[illegible]	[illegible]	[illegible]
Dec 27	Dividend	VANGUARD FTSE EUROPE ETF PAID ON 17 SYMBOL: VGK	28.67
Dec 28	Dividend	INVESCO S&P 500 TOP 50 ETF PAID ON 200 SYMBOL: XLG	19.37
Dec 29	Dividend	ISHARES MSCI JAPAN ETF PAID ON 48 SYMBOL: EWJ	47.89

[redacted]

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Dividend and interest income (continued)				
Dividends (continued)	Dec 27	Dividend	ISHARES MSCI GLOBAL SELECT METALS & MINING PRODUCERS ETF PAID ON 46 SYMBOL: PICK	45.98
	Dec 27	Dividend	ISHARES U.S. FINANCIALS ETF PAID ON 63 SYMBOL: IYF	25.80
	Dec 27	Dividend	ISHARES MSCI EUROZONE ETF PAID ON 123 SYMBOL: EZU	43.21
	Dec 27	Dividend	ISHARES GLOBAL ENERGY ETF PAID ON 102 SYMBOL: IXC	64.89
	Dec 27	Dividend	ISHARES GLOBAL HEALTHCARE ETF PAID ON 52 SYMBOL: IXJ	28.92
	Dec 27	Dividend	ISHARES GLOBAL TECH ETF PAID ON 242 SYMBOL: IXN	51.33
	Dec 27	Dividend	ISHARES US TRANSN ETF PAID ON 8 SYMBOL: IYT	6.79
	Dec 27	Dividend	ISHARES US MEDICAL DEVICES ETF PAID ON 42 SYMBOL: IHI	4.07
	Dec 27	Dividend	ISHARES MSCI EUROPE FINANCIALS ETF PAID ON 302 SYMBOL: EUFN	96.67
	Dec 28	Dividend	INVESCO QQQ TRUST ETF PAID ON 91 SYMBOL: QQQ	73.55
	Total dividends			**$653.18**
	Total dividend and interest income			**$653.18**

	Date	Activity	Description	Amount ($)
Money balance activities	**Nov 30**	**Balance forward**		**$1,283.07**
	Dec 1	Bought	RMA GOVERNMENT MONEY MARKET FD AS OF 11/30/23	5.07
	Dec 22	Bought	RMA GOVERNMENT MONEY MARKET FD	144.55
	Dec 26	Bought	RMA GOVERNMENT MONEY MARKET FD	19.37
	Dec 28	Bought	RMA GOVERNMENT MONEY MARKET FD	410.64
	Dec 29	Bought	RMA GOVERNMENT MONEY MARKET FD	73.55
	Dec 29	**Closing RMA Government Money Market Fd**		**$1,936.25**

[redacted]

End of statement for account number [redacted]

[redacted]

UBS Financial Services Inc.
1285 Avenue of the Americas
New York, NY 10019-6091

[redacted]

2023 Year End Summary

Account name: DAVID A SMITH TOD
Account number: [redacted]

Your Financial Advisor:
[redacted]

DAVID A SMITH TOD
708 GLEN EAGLE DRIVE
WINTER SPRINGS FL 32708-4913

Summary of gains and losses

	Amount ($)
short term	22,462.31
long term	-23,234.07
Total	**$-771.76**

Realized gains and losses

Estimated 2023 gains and losses for transactions with trade dates through 12/29/23 have been incorporated into this statement and are not intended for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. Interest income for short-term obligations that were held to maturity or redeemed is displayed as realized gain, however, it will be reported as interest income on your annual 1099 and PR480.6 tax forms. To calculate gains and losses, we liquidate the oldest security lot first. This is known as first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF AMER CORP	FIFO	484.000	Apr 19, 23	Aug 21, 23	14,049.97	14,528.85		-478.88	
BLOCK INC CL A	FIFO	477.000	Oct 24, 22	Apr 18, 23	30,503.74	26,897.29			3,606.45
BOEING COMPANY	FIFO	87.000	Mar 01, 23	Oct 19, 23	16,034.61	17,731.31		-1,696.70	
CITIGROUP INC	FIFO	401.000	Mar 02, 23	Aug 21, 23	16,800.89	20,434.40		-3,633.51	
CROWN CASTLE INC REIT	FIFO	118.000	Nov 08, 22	Aug 21, 23	11,654.45	15,317.65		-3,663.20	
FIRST TRUST DOW JONES INTERNET INDEX FUND ETF	FIFO	92.000	Oct 21, 22	Mar 01, 23	12,489.56	11,929.61			559.95
FORD MOTOR CO COM	FIFO	841.000	Sep 02, 22	Aug 21, 23	10,017.49	12,884.46		-2,866.97	
HUBSPOT INC	FIFO	14.000	Sep 22, 22	Apr 18, 23	5,814.09	3,890.96			1,923.13
LYFT INC CL A	FIFO	827.000	Sep 22, 22	Jul 06, 23	7,919.28	12,452.47		-4,533.19	
PEPSICO INC	FIFO	140.000	Jul 06, 23	Oct 19, 23	22,570.56	26,098.31		-3,527.75	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	FIFO	413.000	Sep 22, 22	Aug 21, 23	14,879.96	16,453.94		-1,573.98	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	136.000	Feb 22, 23	Jul 05, 23	6,690.01	7,179.63		-489.62	
TARGET CORP	FIFO	93.000	Sep 01, 22	Jul 06, 23	12,182.53	15,148.82		-2,966.29	
US BANCORP DEL (NEW)	FIFO	645.000	Aug 21, 23	Oct 26, 23	20,539.41	23,662.66		-3,123.25	
Total					**$202,146.55**	**$224,610.36**		**-$28,553.34**	**$6,089.53**
Net short-term capital gains and losses								**-$22,463.81**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMAZON.COM INC	FIFO	186.000	Jul 15, 16	Mar 01, 23	17,089.59	6,855.31			10,234.28

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity	Purchase date	Sale date	Sale amount [1]	Cost basis [1]	Wash sale adjustment [2]	Gain/loss	Gain [2]
APPLE INC	FIFO	100.000	[redacted]	Mar 01, 23	13,794.97	8,647.82			$5,147.15
BANK OF AMER CORP	FIFO	400.000	Aug 10, 22	Aug 21, 23	9,468.41	11,488.80		-2,020.39	
ORION ENERGY CORP NEW	FIFO	700.000	[redacted]	Jul 06, 23	11,080.80	2,078.77			9,001.03
DOCUSIGN INC	FIFO	100.000	Dec 16, 21	Apr 18, 23	5,571.74	15,715.10		-10,143.36	
EDWARDS LIFESCIENCES CORP	FIFO	50.000	Feb 18, [redacted]	[redacted]	4,476.02	7,513.65		-3,037.63	
GLOBAL PAYMENTS INC	FIFO	50.000	[redacted]	Aug 31, 23	5,218.47	17,549.05		-5,830.58	
HALLIBURTON CO HOLDING COMPANY	FIFO	200.000	Sep 21, [redacted]	Jul 18, 23	6,805.86	2,606.95			3,978.91
JOHNSON & JOHNSON COM	FIFO	100.000	[redacted]	Oct 16, 23	17,446.37	10,775.47		3,279.10	
	FIFO	43.000	[redacted]	Oct 26, 23	6,305.99	7,891.27		-1,585.28	
MICROSOFT CORP	FIFO	50.000	[redacted]	Mar 31, 23	13,989.12	4,311.45			[redacted]
	FIFO	40.000	Jul 11, 16	Mar 23, 23	9,651.39	1,233.49			8,417.90
NETFLIX INC	FIFO	10.000	Jan 03, 19	Mar 02, 23	5,927.01	6,117.78		-190.77	
	FIFO	38.000	Jan 24, 19	May 30, 23	11,354.03	12,647.41		-703.98	
NEXTERA ENERGY INC COM	FIFO	100.000	May 17, 18	Oct 20, 23	7,586.12	8,716.46		-1,130.34	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	100.000	Sep 18, 20	Jul 05, 23	5,039.29	2,111.13			3,928.16
VOLKSWAGEN AG SPONSORED ADR	FIFO	500.000	Aug 02, 22	Aug 21, 23	7,157.53	8,517.66		-1,412.13	
ZOOM VIDEO COMMUNICATIONS INC CL A	FIFO	50.000	[redacted]	Apr 17, 23	5,304.35	15,275.99		-9,971.64	
ZOETIS	FIFO	20.000	[redacted]	[redacted]	3,920.15	11,291.32		-7,371.17	
	FIFO	40.000	Oct 12, [redacted]	Jul 06, 23	8,329.25	7,500.01		-1,170.76	
Total					$193,961.22	$172,269.17		-$42,957.05	$64,649.10
Net long-term capital gains or losses									$21,692.05
Net capital gains/losses:								-$771.76	

UBS

Your notes

[redacted]

End of statement for account number [redacted]