FORM 6 **FULL AND PUBLIC DISCLOSURE OF FINANCIAL INTERESTS** **2021**

Please print or type your name, mailing address, agency name, and position below:

FOR OFFICE USE ONLY:

RECEIVED

2022 JUN -7 AM 11:16

DIVISION OF ELECTIONS
TALLAHASSEE.FL

PROCESSED

241176

LAST NAME — FIRST NAME — MIDDLE NAME:
Gruters, Joseph Ryan

MAILING ADDRESS:
6540 Wild Orchid Lane

CITY: Sarasota ZIP: COUNTY:

NAME OF AGENCY:
State Senate

NAME OF OFFICE OR POSITION HELD OR SOUGHT:
State Senate

CHECK IF THIS IS A FILING BY A CANDIDATE ☑

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2021 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]

My net worth as of December 31, 20 21 was $ 2,340,408.

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds $1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is $ 75,000

ASSETS INDIVIDUALLY VALUED AT OVER $1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)	VALUE OF ASSET
See Attached	3,109,381

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF $1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
See Attached	$843,973

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY

PART D -- INCOME

Identify each separate source and amount of income which exceeded $1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2021 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2021 federal income tax return and all W2's, schedules, and attachments.
[If you check this box and attach a copy of your 2020 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING $1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
See Attached		494,803

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
See Attached			

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY			
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

This section applies only to officers required to complete annual ethics training pursuant to section 112.3142, F.S. [See instructions p. 6]

☐ **I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**

OATH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

Joe Gruters

SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE

STATE OF FLORIDA
COUNTY OF Sarasota

Sworn to (or affirmed) and subscribed before me by means of ☒ physical presence or ☐ online notarization, this 6th day of June, 2022 by Joe Gruters

Kathryn L. Antini
(Signature of Notary Public - State of Florida)

Kathryn L. Antini
(Print, Type, or Stamp Commissioned Name of Notary Public)

Notary Public State of Florida
Kathryn L Antini
My Commission GG 263984
Expires 11/06/2022

Personally Known ✓ OR Produced Identification

Type of Identification Produced

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, ______________________, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, *Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true* and correct.

Signature ______________________ Date ______________________

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

Part B Assets

Continued

1. 6540 Wild Orchid Lane, Sarasota FL 34241 - $1,100,000
2. 6620 Horned Owl Place, Sarasota FL 34241 - $650,000
3. 2301 Cocoanut Ave, Sarasota FL 34236 - $300,000
4. Gruters CPA - $700,000
5. *Robinson Gruters Roberts CPA's* - $50,000
6. SJE Real Estate - $15,000
7. SunTrust Checking - $15,583
8. Florida Retirement System - $4,880
9. Florida Prepaid - $27,429
10. Florida Prepaid - $27,302
11. Cadence Bank - $8,500
12. VyStar Credit Union - $1,000
13. Dollar Bank - $1,000
14. Third Federal Bank - $500
15. First Fedreal Bank of Florida - $1,000
16. Direxion Shares ETF 1 Share- $27.04.
17. EPR Properties 1.026 Shares - $47.49
18. *Fiesta Restaurant Group 1 Share* - $11.01
19. Kirklands Inc 1 Share - $14.93
20. Nuance Communications 11 Shares $55.32
21. Odyssey Marine Exploration 125 Shares $650
22. Seafarer Exploration Corporation 230,761 Shares $807.66
23. Sonic Automotive Inc 1.004 Shares $49.45
24. TravelZoo 1 Share $9.42
25. Penn National Gaming Options Jan 21 22 55.0 Contracts - 10 $1,450
26. Sonic Automotive Inc Feb 18 22 Options 35.0 - 141 Contracts $204,066

Total - $3,109,381

Part C – Liabilities

1. VW Credit, 1401 Franklin Blvd, Libertyville, IL 60048 - $15,220
2. Wells Fargo Mortgage, 8480 Stagecoach Cir, Frederick, MD 21701 – $282,719
3. Wells Fargo Mortgage, 8480 Stagecoach Cir, Frederick, MD 21701 - $546,034

Total - $843,973

Part D Income

1. Robinson, Gruters & Roberts, 133 South Harbor Drive, Venice FL 34285 - $60,000
2. Gruters CPA, 133 South Harbor Drive, Venice FL 34285 - $110,000

3. Rental House – 2301 Cocoanut Ave, Sarasota Fl 34241 - $11,500
4. Rental House – 6620 Horned Owl Place, Sarasota FL 34241 - $8,500
5. State of Florida, 200 E Gaines Street, Tallahassee FL 32301 - $26,646
6. SJE Real Estate, 133 S Harbor Drive, Venice FL 34285 - $14,260
7. *Republican Party of Florida, 420 E Jefferson St, Tallahassee, FL 32302 - $115,000*
8. Sale of Stock – See attached Sales from TD Ameritrade. - $148,561
9. Dividends – See attached dividend schedule from TD Ameritrade - $336

Secondary Sources of Income

1. Robinson Gruters & Roberts CPA's, 133 South Harbor Drive, Venice FL 34285

Total - $494,803

TD Ameritrade Member FINRA/SIPC	Tax Information Account [redacted]	Statement Date: 02/03/2022 Document ID: [redacted]	2021
PO BOX 2209 OMAHA, NE 68103-2209 **Client Services: 800-669-3900** PAYER'S TIN: 47-0533629	JOSEPH GRUTERS TOD 6540 WILD ORCHID LN SARASOTA, FL 34241-9641 RECIPIENT'S TIN: [redacted]		

[ ] **FATCA filing requirement (see instructions)**

Summary Information

DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110

1a- Total ordinary dividends (includes lines 1b, 5, 2e)	337.13
1b- Qualified dividends	335.87
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00
2b- Unrecaptured Section 1250 gain	0.00
2c- Section 1202 gain	0.00
2d- Collectibles (28%) gain	0.00
2e- Section 897 ordinary dividends	0.00
2f- Section 897 capital gain	0.00
3- Nondividend distributions	0.00
4- Federal income tax withheld	**0.00**
5- Section 199A dividends	1.26
6- Investment expenses	0.00
8- Foreign country or US possession: 7- Foreign tax paid:	0.00
9- Cash liquidation distributions	0.00
10- Noncash liquidation distributions	0.00
11- Exempt-interest dividends (includes line 12)	0.00
12- Specified private activity bond interest dividends (AMT)	0.00

MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115

2- Royalties	0.00
3- Other income	0.00
4- Federal income tax withheld	**0.00**
8- Substitute payments in lieu of dividends or interest	0.00

SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715

8- Profit or (loss) realized in 2021 on closed contracts	0.00
9- Unrealized profit or (loss) on open contracts-12/31/2020	0.00
10- Unrealized profit or (loss) on open contracts-12/31/2021	0.00
11- Aggregate profit or (loss) on contracts	0.00

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,508,102.15	5,444,129.85	0.00	84,565.99	148,538.29
Short	B (basis not reported to the IRS)	49.55	26.53	0.00	0.00	23.02
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	**5,508,151.70**	**5,444,156.38**	**0.00**	**84,565.99**	**148,561.31**
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
	Grand total	**5,508,151.70**	**5,444,156.38**	**0.00**	**84,565.99**	**148,561.31**

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc. Account [redacted]

2021

Summary Information
(continued)

02/03/2022

INTEREST INCOME 2021 1099-INT

OMB No 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	2.07
2- *Early withdrawal penalty*	*0.00*
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	**0.00**
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding *page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID *page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	*0.00*
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.26
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	2,702.35
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- *Interest paid on short position*	*0.00*
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.01
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 02/03/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as $0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
02/04/21	1,000.000	7,993.10	02/02/21	7,720.00	...	273.10	Sale
ABERCROMBIE & FITCH CO COM CL A / CUSIP: 002896207 / Symbol: ANF							
01/06/21	500.000	11,014.70	12/28/20	10,370.00	...	644.70	Sale
AUTOWEB INC COM / CUSIP: 05335B100 / Symbol: AUTO							
01/20/21	5,000.000	17,299.52	01/20/21	18,186.86	...	-887.34	Sale
BOOKING HOLDINGS INC COM / CUSIP: 09857L108 / Symbol: BKNG							
03/08/21	5.000	11,874.94	03/04/21	11,000.00	...	874.94	Short sale closed
CARROLS RESTAURANT GROUP INC COM / CUSIP: 14574X104 / Symbol: TAST							
01/05/21	5,000.000	30,998.71	11/24/20	31,900.00	90.13 W	-811.16	Sale
01/06/21	7,500.000	47,398.15	Various	47,849.66	...	-451.51	Total of 2 transactions
01/15/21	500.000	3,457.01	01/11/21	3,215.13	...	241.88	Sale
08/12/21	5,000.000	22,053.66	Various	28,683.77	...	-6,630.11	Total of 5 transactions
08/12/21	10,000.000	44,998.80	Various	51,000.18	3,001.19 W	-3,000.19	Total of 3 transactions
	Security total:	**148,906.33**		**162,648.74**	**3,091.32 W**	**-10,651.09**	
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
03/02/21	250.000	68,937.12	02/26/21	65,000.00	...	3,937.12	Short sale closed
03/04/21	600.000	174,064.04	03/02/21	192,252.00	18,187.96 W	0.00	Short sale closed
03/08/21	25.000	7,737.96	03/04/21	7,860.54	122.58 W	0.00	Short sale closed

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

TD Ameritrade Clearing, Inc.

Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EMBRAER SA ADR SPONSORED / CUSIP: 29082A107 / Symbol: ERJ							
01/06/21	6,500.000	44,462.44	Various	32,339.55	...	12,122.89	Total of 2 transactions
04/13/21	20,000.000	221,800.49	Various	182,727.05	...	39,073.44	Total of 2 transactions
11/17/21	1,701.000	25,684.94	11/12/21	26,723.66	...	-1,038.72	Sale
11/17/21	300.000	4,531.44	Various	4,683.34	154.04 W	2.14	Sale
	Security total:	**296,479.31**		**246,473.60**	**154.04 W**	**50,159.75**	
FLAMERET INC COM / CUSIP: 33849W204 / Symbol: FLRE							
08/17/21	100,000.000	687.10	08/16/21	706.95	...	-19.85	Sale
GOPRO INC COM CL A / CUSIP: 38268T103 / Symbol: GPRO							
03/04/21	9,998.000	79,482.50	Various	82,400.09	...	-2,917.59	Sale
03/04/21	2.000	15.91	03/03/21	16.69	0.78 W	0.00	Sale
08/13/21	1,200.000	12,191.92	08/06/21	13,334.09	...	-1,142.17	Sale
08/13/21	1,300.000	13,507.69	08/06/21	14,066.00	371.06 W	-187.25	Sale
	Security total:	**105,198.02**		**109,816.87**	**371.84 W**	**-4,247.01**	
KIRKLAND'S INC COM / CUSIP: 497498105 / Symbol: KIRK							
03/12/21	5,000.000	120,200.86	Various	115,671.02	...	4,529.84	Total of 10 transactions
NUANCE COMMUNICATIONS INC COM / CUSIP: 67020Y100 / Symbol: NUAN							
03/19/21	499.000	21,861.02	03/17/21	22,205.50	6.90 W	-337.58	Sale
SPDR S&P500 ETF TRUST TR UNIT ETF / CUSIP: 78462F103 / Symbol: SPY							
01/25/21	100.000	38,384.50	Various	38,453.88	...	-69.38	Total of 2 transactions
SONIC AUTOMOTIVE INC COM CL A / CUSIP: 83545G102 / Symbol: SAH							
01/05/21	1,500.000	58,948.52	Various	65,452.55	6,504.03 W	0.00	Sale
01/06/21	2,850.000	117,132.07	Various	125,810.58	8,678.51 W	0.00	Sale
01/20/21	500.000	24,154.41	01/13/21	23,225.49	...	928.92	Sale
01/28/21	500.000	19,969.55	01/13/21	23,445.80	3,476.25 W	0.00	Sale
01/29/21	1,000.000	41,499.00	Various	45,589.46	...	-4,090.46	Total of 2 transactions
01/29/21	3,000.000	125,246.97	Various	140,092.17	12,600.90 W	-2,244.30	Total of 3 transactions
03/01/21	1,507.117	70,187.40	Various	77,823.13	...	-7,635.73	Total of 3 transactions
04/29/21	1,000.000	50,499.62	04/29/21	53,480.13	3.00 W	-2,977.51	Sale
10/04/21	3,000.000	159,598.83	Various	157,652.91	2.26 W	1,948.18	Sale
	Security total:	**667,236.37**		**712,572.22**	**31,264.95 W**	**-14,070.90**	

TD Ameritrade Clearing, Inc.

Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRAVELZOO COM / CUSIP: 89421Q205 / Symbol: TZOO							
01/05/21	2,500.000	22,874.19	12/18/20	17,559.04	...	5,315.15	Sale
01/20/21	2,000.000	20,219.51	12/18/20	14,193.28	...	6,026.23	Sale
01/26/21	1,300.000	14,741.65	Various	10,361.46	...	4,380.19	Sale
01/28/21	1,000.000	12,249.71	11/10/20	8,450.00	...	3,799.71	Sale
02/04/21	50.000	694.97	11/10/20	422.50	...	272.47	Sale
02/22/21	2,000.000	29,999.10	Various	17,144.50	...	12,854.60	Sale
03/10/21	1,000.000	13,579.81	11/11/20	8,700.00	...	4,879.81	Sale
03/16/21	2,000.000	35,709.58	Various	17,140.04	...	18,569.54	Total of 2 transactions
03/17/21	2,312.000	40,343.91	Various	24,889.36	...	15,454.55	Sale
	Security total:	**190,412.43**		**118,860.18**	...	**71,552.25**	
UNITED AIRLINES HOLDINGS COM / CUSIP: 910047109 / Symbol: UAL							
01/21/21	800.000	33,868.19	01/21/21	33,953.84	...	-85.65	Sale
VERIZON COMMUNICATIONS COM / CUSIP: 92343V104 / Symbol: VZ							
01/11/21	0.011	0.63	01/08/21	0.66	...	-0.03	Sale
01/11/21	3,501.000	200,952.54	Various	201,639.53	0.03 W	-686.96	Sale
	Security total:	**200,953.17**		**201,640.19**	**0.03 W**	**-686.99**	
AMERICAN AIRLINES GROUP INC AAL May 21 2021 15.0 Call / CUSIP: / Symbol: AAL 05/21/21 C 15.000							
01/14/21	30.000	7,309.76	01/13/21	0.00	...	7,309.76	Short sale closed- option
01/19/21	30.000	6,529.76	01/15/21	0.00	...	6,529.76	Short sale closed- option
	Security total:	**13,839.52**		**0.00**	...	**13,839.52**	
AMERICAN AIRLINES GROUP INC AAL May 21 2021 17.0 Call / CUSIP: / Symbol: AAL 05/21/21 C 17.000							
02/23/21	20.000	-1,026.78	02/22/21	0.00	...	-1,026.78	Short sale closed- option
02/25/21	70.000	-15,493.72	02/24/21	0.00	...	-15,493.72	Short sale closed- option
	Security total:	**-16,520.50**		**0.00**	...	**-16,520.50**	
AMERICAN AIRLINES GROUP INC AAL May 21 2021 10.0 Call / CUSIP: / Symbol: AAL 05/21/21 C 10.000							
01/15/21	10.000	6,343.20	11/20/20	3,756.64	...	2,586.56	Option sale
01/28/21	10.000	9,493.13	11/20/20	3,756.64	...	5,736.49	Option sale
02/24/21	100.000	119,932.79	Various	54,691.40	...	65,241.39	Option sale
	Security total:	**135,769.12**		**62,204.68**	...	**73,564.44**	

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TD Ameritrade Clearing, Inc. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715 (continued) 02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC AAL Feb 18 2022 22.0 Call / CUSIP: / Symbol: AAL 02/18/22 C 22.000							
12/29/21	200.000	6,267.69	Various	35,282.03	...	-29,014.34	Option sale
CERENCE INC CRNC Apr 16 2021 95.0 Call / CUSIP: / Symbol: CRNC 04/16/21 C 95.000							
03/29/21	10.000	7,986.65	03/26/21	0.00	...	7,986.65	Short sale closed- option
CERENCE INC CRNC Apr 16 2021 100.0 Call / CUSIP: / Symbol: CRNC 04/16/21 C 100.000							
03/29/21	10.000	6,386.66	03/26/21	0.00	...	6,386.66	Short sale closed- option
EPR PROPERTIES EPR Jul 16 2021 45.0 Call / CUSIP: / Symbol: EPR 07/16/21 C 45.000							
04/29/21	10.000	5,193.31	04/07/21	7,706.64	2,513.33 W	0.00	Option sale
EPR PROPERTIES EPR Sep 17 2021 50.0 Call / CUSIP: / Symbol: EPR 09/17/21 C 50.000							
08/17/21	60.000	10,060.25	08/16/21	0.00	...	10,060.25	Short sale closed- option
EPR PROPERTIES EPR Oct 15 2021 45.0 Call / CUSIP: / Symbol: EPR 10/15/21 C 45.000							
07/27/21	90.000	73,739.69	Various	76,184.76	...	-2,445.07	Option sale
EMBRAER SA ERJ Jul 16 2021 7.5 Call / CUSIP: / Symbol: ERJ 07/16/21 C 7.500							
04/29/21	20.000	7,786.64	04/13/21	7,673.28	...	113.36	Option sale
05/05/21	80.000	33,786.56	04/13/21	30,693.11	...	3,093.45	Option sale
05/11/21	100.000	51,433.16	Various	45,066.39	...	6,366.77	Total of 2 transactions
	Security total:	**93,006.36**		**83,432.78**	...	**9,573.58**	
FIESTA RESTAURANT GROUP FRGI May 21 2021 10.0 Call / CUSIP: / Symbol: FRGI 05/21/21 C 10.000							
04/29/21	5.000	2,311.66	04/06/21	1,708.32	...	603.34	Option sale
05/17/21	130.000	45,413.21	Various	46,781.32	...	-1,368.11	Option sale
	Security total:	**47,724.87**		**48,489.64**	...	**-764.77**	
FIESTA RESTAURANT GROUP FRGI May 21 2021 12.5 Call / CUSIP: / Symbol: FRGI 05/21/21 C 12.500							
04/29/21	10.000	2,393.33	04/06/21	1,556.64	...	836.69	Option sale
05/14/21	165.000	21,340.02	Various	25,309.56	...	-3,969.54	Option sale
	Security total:	**23,733.35**		**26,866.20**	...	**-3,132.85**	

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TD Ameritrade Clearing, Inc. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715 (continued) 02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*
Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIESTA RESTAURANT GROUP FRGI Jun 18 2021 12.5 Call / CUSIP: / Symbol: FRGI 06/18/21 C 12.500							
04/28/21	25.000	-533.28	04/27/21	0.00	533.28 W	0.00	Short sale closed- option
06/01/21	25.000	4,233.33	05/14/21	4,299.88	...	-66.55	Option sale
	Security total:	**3,700.05**		**4,299.88**	**533.28 W**	**-66.55**	
ARCOS DORADOS HOLDINGS INC COM / CUSIP: G0457F107 / Symbol: ARCO							
03/17/21	2,500.000	13,424.88	03/17/21	13,250.00	...	174.88	Sale
KIRKLAND'S INC KIRK Apr 16 2021 22.5 Call / CUSIP: / Symbol: KIRK 04/16/21 C 22.500							
03/24/21	125.000	44,916.55	Various	23,533.20	...	21,383.35	Total of 3 transactions
KIRKLAND'S INC KIRK Apr 16 2021 25.0 Call / CUSIP: / Symbol: KIRK 04/16/21 C 25.000							
03/22/21	30.000	4,510.00	03/19/21	0.00	...	4,510.00	Short sale closed- option
KIRKLAND'S INC KIRK Apr 16 2021 30.0 Call / CUSIP: / Symbol: KIRK 04/16/21 C 30.000							
03/24/21	25.000	5,466.71	03/23/21	0.00	...	5,466.71	Short sale closed- option
KIRKLAND'S INC KIRK Apr 16 2021 30.0 Put / CUSIP: / Symbol: KIRK 04/16/21 P 30.000							
03/04/21	80.000	62,446.40	Various	54,528.12	...	7,918.28	Total of 2 transactions
NUANCE COMMUNICATIONS INC NUAN Apr 16 2021 40.0 Call / CUSIP: / Symbol: NUAN 04/16/21 C 40.000							
03/17/21	75.000	40,449.84	Various	35,049.80	...	5,400.04	Option sale
04/01/21	100.000	49,933.14	Various	48,916.40	1,066.63 W	2,083.37	Option sale
04/07/21	100.000	39,933.20	Various	38,233.03	...	1,700.17	Option sale
	Security total:	**130,316.18**		**122,199.23**	**1,066.63 W**	**9,183.58**	
NUANCE COMMUNICATIONS INC NUAN Apr 16 2021 42.0 Call / CUSIP: / Symbol: NUAN 04/16/21 C 42.000							
03/19/21	20.000	7,486.64	03/10/21	7,213.28	...	273.36	Option sale
NUANCE COMMUNICATIONS INC NUAN Jan 20 2023 45.0 Call / CUSIP: / Symbol: NUAN 01/20/23 C 45.000							
04/14/21	75.000	74,949.68	Various	74,549.80	...	399.88	Option sale
SONIC AUTOMOTIVE INC SAH Jun 18 2021 50.0 Call / CUSIP: / Symbol: SAH 06/18/21 C 50.000							
06/18/21	235.000	0.00	Various	64,151.02	...	-64,151.02	Option expiration

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TD Ameritrade Clearing, Inc.

Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SONIC AUTOMOTIVE INC SAH Aug 20 2021 40.0 Call / CUSIP: / Symbol: SAH 08/20/21 C 40.000							
04/30/21	20.000	-1,026.72	04/29/21	0.00	1,026.72 W	0.00	Short sale closed- option
07/23/21	32.000	30,378.54	Various	40,132.97	...	-9,754.43	Option sale
07/27/21	48.000	49,407.78	Various	55,016.87	...	-5,609.09	Option sale
	Security total:	**78,759.60**		**95,149.84**	**1,026.72 W**	**-15,363.52**	
SONIC AUTOMOTIVE INC SAH Aug 20 2021 55.0 Call / CUSIP: / Symbol: SAH 08/20/21 C 55.000							
08/02/21	10.000	-963.31	07/30/21	0.00	...	-963.31	Short sale closed- option
SONIC AUTOMOTIVE INC SAH Nov 19 2021 40.0 Call / CUSIP: / Symbol: SAH 11/19/21 C 40.000							
08/02/21	10.000	17,993.28	07/30/21	15,506.64	...	2,486.64	Option sale
08/27/21	5.000	5,746.65	08/12/21	6,428.59	681.94 W	0.00	Option sale
11/17/21	85.000	61,343.47	Various	112,917.86	...	-51,574.39	Total of 2 transactions
	Security total:	**85,083.40**		**134,853.09**	**681.94 W**	**-49,087.75**	
SPDR S&P500 ETF TRUST SPY Jan 08 2021 375.0 Put / CUSIP: / Symbol: SPY 01/08/21 P 375.000							
01/08/21	40.000	0.00	01/05/21	25,466.56	...	-25,466.56	Option expiration
TESLA INC TSLA Apr 16 2021 700.0 Call / CUSIP: / Symbol: TSLA 04/16/21 C 700.000							
02/03/21	1.000	-3,545.71	02/02/21	0.00	3,545.71 W	0.00	Short sale closed- option
03/05/21	3.000	38,183.21	03/04/21	0.00	...	38,183.21	Short sale closed- option
	Security total:	**34,637.50**		**0.00**	**3,545.71 W**	**38,183.21**	
DIREXION SHARES ETF TRUST TZA Jul 30 2021 31.0 Call / CUSIP: / Symbol: TZA 07/30/21 C 31.000							
07/30/21	75.000	2,575.05	Various	7,824.80	...	-5,249.75	Option sale
DIREXION SHARES ETF TRUST TZA Aug 27 2021 31.0 Call / CUSIP: / Symbol: TZA 08/27/21 C 31.000							
08/26/21	50.000	3,716.82	08/24/21	3,533.06	...	183.76	Option sale
DIREXION SHARES ETF TRUST TZA Sep 17 2021 30.0 Call / CUSIP: / Symbol: TZA 09/17/21 C 30.000							
08/17/21	25.000	9,983.37	08/16/21	9,266.53	...	716.84	Option sale
09/14/21	75.000	9,325.21	08/27/21	10,449.59	...	-1,124.38	Option sale
	Security total:	**19,308.58**		**19,716.12**	**...**	**-407.54**	
DIREXION SHARES ETF TRUST TZA Oct 08 2021 27.0 Call / CUSIP: / Symbol: TZA 10/08/21 C 27.000							
09/28/21	60.000	16,760.11	09/27/21	9,519.68	...	7,240.43	Option sale

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TD Ameritrade Clearing, Inc. Account [redacted]

Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715 (continued) 02/03/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Lines 2 & 5)*

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. *(Line 12)*
"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRAVELZOO TZOO Mar 19 2021 10.0 Call / CUSIP: / Symbol: TZOO 03/19/21 C 10.000							
01/28/21	30.000	-8,649.98	01/27/21	0.00	...	-8,649.98	Short sale closed- option
01/29/21	45.000	-9,084.97	Various	0.00	...	-9,084.97	Total of 2 transactions
	Security total:	**-17,734.95**		**0.00**	...	**-17,734.95**	
TRAVELZOO TZOO Jun 18 2021 7.5 Call / CUSIP: / Symbol: TZOO 06/18/21 C 7.500							
03/17/21	45.000	44,799.80	Various	15,604.88	...	29,194.92	Total of 3 transactions
03/18/21	45.000	45,419.80	Various	19,479.88	...	25,939.92	Option sale
03/19/21	34.000	31,257.19	Various	29,182.58	...	2,074.61	Option sale
	Security total:	**121,476.79**		**64,267.34**	...	**57,209.45**	
VERIZON COMMUNICATIONS VZ Feb 19 2021 60.0 Call / CUSIP: / Symbol: VZ 02/19/21 C 60.000							
01/05/21	50.000	3,766.62	12/28/20	4,433.20	...	-666.58	Option sale
VERIZON COMMUNICATIONS VZ Jun 18 2021 55.0 Call / CUSIP: / Symbol: VZ 06/18/21 C 55.000							
02/25/21	60.000	16,459.96	Various	14,317.84	...	2,142.12	Option sale
Totals :		**5,508,102.15**		**5,444,129.85**	**84,565.99 W**	**148,538.29**	

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] *(Line 5)*

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. *(Line 12)*
"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CEDAR FAIR LP DEPOSITRY UTS(REP LTD PART) / CUSIP: 150185106 / Symbol: FUN							
03/04/21	1.000	49.55	07/28/20	26.53	...	23.02	Sale
Totals :		**49.55**		**26.53**		**23.02**	

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TD Ameritrade Clearing, Inc.

Account [redacted]

Detail for Dividends and Distributions

2021

02/03/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and it's tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
EPR PROPERTIES SBI USD0.01	[redacted] EPR		08/16/21	0.25	Section 199A dividend	03
			09/15/21	0.25	Section 199A dividend	03
			10/15/21	0.25	Section 199A dividend	03
			11/15/21	0.25	Section 199A dividend	03
			12/15/21	0.26	Section 199A dividend	03
				1.26	**Total Dividends & distributions**	
SONIC AUTOMOTIVE INC COM CL A	[redacted] SAH		01/15/21	335.00	Qualified dividend	
			07/15/21	0.12	Qualified dividend	
			10/15/21	0.12	Qualified dividend	
				335.24	**Total Dividends & distributions**	
VERIZON COMMUNICATIONS COM	[redacted] VZ		02/01/21	0.63	Qualified dividend	
				337.13	**Total Dividends & distributions**	

TD Ameritrade Clearing, Inc.

Account [redacted]

Detail for Interest Income

2021

02/03/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT *and reported to the IRS. For noncovered tax lots, they are totaled in the* Adjustments to Interest and Original Issue Discount *and are not reported to the IRS.*

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		01/29/21	0.14	Credit interest	
		02/26/21	0.73	Credit interest	
		03/31/21	0.23	Credit interest	
		04/30/21	0.17	Credit interest	
		05/28/21	0.02	Credit interest	
		06/30/21	0.01	Credit interest	
		07/30/21	0.16	Credit interest	
		08/31/21	0.40	Credit interest	
		09/30/21	0.10	Credit interest	
		10/29/21	0.10	Credit interest	
		11/30/21	0.01	Credit interest	
			2.07	Total Interest	
			2.07	**Total Interest**	

TD Ameritrade Clearing, Inc.

Account [redacted]

Other Receipts and Reconciliations

2021

02/03/2022

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedules K-1 (Forms 1065) *directly from the partnership(s). For deferred income, the* Form 1099-DIV *issued in the following year will characterize the amounts received.*

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures *found in the Summary Information at the beginning of the statement.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
EPR PROPERTIES SBI USD0.01	[redacted]	01/18/22	0.26	Deferred income payment	03,24
			0.26	**Total Deferred income payment**	

TD Ameritrade Clearing, Inc.

Account [redacted]

Fees and Expenses

2021

02/03/2022

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures *found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		01/29/21	-876.71	Margin interest paid	
		02/26/21	-7.72	Margin interest paid	
		03/31/21	-613.91	Margin interest paid	
		04/30/21	-717.40	Margin interest paid	
		05/28/21	-0.78	Margin interest paid	
		07/30/21	-292.67	Margin interest paid	
		08/31/21	-124.44	Margin interest paid	
		10/29/21	-42.39	Margin interest paid	
		11/30/21	-20.18	Margin interest paid	
		12/31/21	-6.15	Margin interest paid	
			-2,702.35	Total Margin interest paid	
EMBRAER SA ADR SPONSORED	29082A107 ERJ	11/09/21	-0.01	Depository receipt fee	
			-0.01	**Total Depository receipt fee**	
			-2,702.35	**Total Margin interest paid**	

TD Ameritrade Clearing, Inc.

2021 End Notes for Account [redacted]

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

24 Although distributions by mutual funds or REITs are ordinarily reported in the year of record date (2021), the payer will not determine the tax character of this distribution until the conclusion of 2022. It will be reported on your tax statement for that year

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040)

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI

Note. Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within *the United States. See the instructions for your tax return.*

Line 3 Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7 Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the *Instructions for Form 8949. For a taxable* covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5 Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note. This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8 Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11 For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that *you did not want to amortize bond premium under section 171. If an amount is reported* in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in *line 3. If the* amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s)

Lines 15-17. State tax withheld reporting lines

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)