

PROCESSED

FORM 6

FULL AND PUBLIC DISCLOSURE

2020

Please print or type your name, mailing address, agency name, and position below:

OF FINANCIAL INTERESTS

FOR OFFICE USE ONLY:

LAST NAME — FIRST NAME — MIDDLE NAME:

Joseph Ryan Gruters

MAILING ADDRESS:

6540 Wild Orchid Lane

CITY :

Sarasota

ZIP :

34241

COUNTY :

Sarasota

NAME OF AGENCY :

State Senate

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

Senate #23

CHECK IF THIS IS A FILING BY A CANDIDATE ☐

FLORIDA
COMMISSION ON ETHICS

JUN 28 2021

RECEIVED

241176

HAND DELIVERED

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2020 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]

My net worth as of December 31, 20 20 was \$ 1,368,896.

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is \$ 75,000

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)	VALUE OF ASSET
See Attached	\$2,599,972

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF \$1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
See Attached	\$1,301,076

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY

PART D -- INCOME

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2020 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2020 federal income tax return and all W2's, schedules, and attachments.
[If you check this box and attach a copy of your 2020 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING \$1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
See Attached		\$498,731

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
See Attached			

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY			
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

This section applies only to officers required to complete annual ethics training pursuant to section 112.3142, F.S. [See instructions p. 6]

☒ I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

OATH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

STATE OF FLORIDA
COUNTY OF Sarasota

Sworn to (or affirmed) and subscribed before me by means of ☒ physical presence or ☐ online notarization, this 29th day of

June, 2021, by Joseph R. Gruters

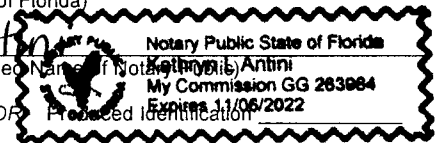
Kathryn L. Antini
(Signature of Notary Public--State of Florida)

Kathryn L. Antini
(Print, Type, or Stamp Commissioned Name of Notary Public)

Personally Known ☒ OR ☐ Produced Identification

Type of Identification Produced _____

Joe Gruters
SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE



If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, Joe Gruters, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Joe Gruters
Signature

6/23/21
Date

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☒

Part B Assets

Continued

1. 6540 Wild Orchid Lane, Sarasota FL 34241 - \$750,000
2. 6620 Horned Owl Place, Sarasota FL 34241 - \$500,000
3. 2301 Cocoanut Ave, Sarasota FL 34236 - \$200,000
4. Gruters CPA - \$605,000
5. Robinson Gruters Roberts CPA's - \$50,000
6. SJE Real Estate - \$6,000
7. SunTrust Checking - \$16,852
8. Florida Retirement System - \$4,063
9. ABERCROMBIE & FITCH 500 Shares- \$10,180.
10. CARROLS RESTAURANT GROUP INC COM TAST 12,500 Shares - \$78,500
11. EMBRAER SA ADR SPONSORED ERJ 6,500 Shares - \$44,265.
12. SONIC AUTOMOTIVE INC COM SAH 4,350 Shares - \$167,779.50
13. TRAVELZOO COM TZOO 12,962 Shares \$122,361.28
14. AMERICAN AIRLINES GROUP INC AAL Options May 21 21 10.0 Contracts - 75 \$47,532.75
15. TRAVELZOO TZOO Options Jun 18 21 Options 7.5 C - 70 Contracts \$21,482.30
16. VERIZON COMMUNICATIONS VZ Options Feb 19 21 60.0 C - 50 Contracts - \$3,975.00
17. AMERICAN AIRLINES GROUP INC AAL May 21 21 15.0 – 60 Contracts- (\$19,018.80)
18. TRAVELZOO TZOO Mar 19 21 10.0 C – 75 Contracts - (\$9,000.00)

Total - \$2,599,972

Part C – Liabilities

1. Cadence Bank, 25 S Links Ave, Sarasota FL 34236 – \$60,000
2. Cadence Bank, 25 S Links Ave, Sarasota FL 34236 – \$103,080
3. VW Credit, 1401 Franklin Blvd, Libertyville, IL 60048 - \$21,381
4. Capital City Home Loans, 50 Chastain Center Blvd, Kennesaw, GA 30144 - \$107,696
5. JPMCB Home, 700 Kansas Lane, Monroe, LA 71203 - \$473,835
6. Wells Fargo Mortgage, 8480 Stagecoach Cir, Frederick, MD 21701 – \$290,764
7. TD Ameritrade Margin Balance, PO Box 2577 Omaha, NE 68103 - \$244,320

Total - \$1,301,076

Part D Income

1. Robinson, Gruters & Roberts, 133 South Harbor Drive, Venice FL 34285 - \$21,667
2. Gruters CPA, 133 South Harbor Drive, Venice FL 34285 - \$20,444
3. Rental House – 2301 Cocoanut Ave, Sarasota FL 34241 - \$7,500
4. Rental House – 6620 Horned Owl Place, Sarasota FL 34241 - \$3,649
5. State of Florida, 200 E Gaines Street, Tallahassee FL 32301 - \$26,646
6. SJE Real Estate, 133 S Harbor Drive, Venice FL 34285 - \$9,669

7. Republican Party of Florida, 420 E Jefferson St, Tallahassee, FL 32302 - \$115,000
8. Sale of Stock – See attached Sales from TD Ameritrade. - \$294,156

Secondary Sources of Income - *PRIMARY GRUTERS, CPA*

1. Robinson Gruters & Roberts CPA's, 133 South Harbor Drive, Venice FL 34285

Total - \$498,731

TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account

2020 1099-B* OMB No. 1545-0715

02/04/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 3)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
AG MTG INVEST TRUST INC COM USD0.01 / CUSIP: 001228105 / Symbol: MITT							
03/30/20	150,000	608.97	03/26/20	839.25	...	-230.28	Short sale closed
04/01/20	100,000	405.98	03/30/20	276.50	...	129.48	Short sale closed
	Security total:	1,014.95		1,115.75	...	-100.80	
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
10/14/20	5,000,000	15,249.06	10/13/20	18,275.00	...	-3,025.94	Sale
ABERCROMBIE & FITCH CO COM CL A / CUSIP: 002896207 / Symbol: ANF							
09/15/20	2,000,000	30,399.29	Various	24,346.90	...	6,052.39	Sale
09/17/20	2,500,000	38,123.86	Various	31,487.50	...	6,636.36	Sale
	Security total:	68,523.15		55,834.40	...	12,688.75	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
10/28/20	25,000	79,988.98	10/26/20	79,680.00	...	308.98	Short sale closed
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
10/08/20	2,000,000	25,959.19	10/07/20	26,065.10	...	-105.91	Sale
AYTU BIOSCIENCE INC 1:10 R/S 12/9/20 054754874 / CUSIP: 054754700 / Symbol:							
06/05/20	1,250,000	1,806.06	Various	2,151.51	...	-345.45	Sale
BANCO MACRO S.A. ADR SPONSORED / CUSIP: 05961W105 / Symbol: BMA							
04/29/20	523,000	9,157.47	04/28/20	7,670.48	...	1,486.99	Sale

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TD Ameritrade Clearing, Inc.

Account 4

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
 Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
 *Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC							
04/20/20	500.000	11,139.69	04/20/20	11,440.00	...	-300.31	Sale
BECTON DICKINSON & CO COM / CUSIP: 075887109 / Symbol: BDx							
06/10/20	500.000	119,235.30	06/10/20	118,988.00	...	247.30	Sale
BLACKSTONE LG-SHRT CRED INC FD COM SHS BN INT / CUSIP: 09257D102 / Symbol: BGX							
06/24/20	4,500.000	53,323.73	06/10/20	54,718.99	...	-1,395.26	Sale
06/24/20	500.000	5,929.81	06/10/20	6,065.00	135.19 W	0.00	Sale
	Security total:	59,253.54		60,783.99	135.19 W	-1,395.26	
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
03/25/20	50.000	7,209.08	03/25/20	7,200.00	...	9.08	Sale
BRINKER INTERNATIONAL COM / CUSIP: 109641100 / Symbol: EAT							
05/05/20	300.000	6,068.82	Various	4,911.50	...	1,157.32	Sale
05/11/20	139.000	3,148.67	Various	2,186.47	...	962.20	Total of 2 transactions
05/20/20	350.000	8,309.84	Various	5,689.39	...	2,620.45	Total of 2 transactions
05/26/20	651.000	16,762.80	Various	12,219.92	...	4,542.88	Sale
06/12/20	500.000	12,749.66	06/11/20	12,482.00	...	267.66	Sale
06/15/20	500.000	13,471.64	06/15/20	12,099.03	...	1,372.61	Sale
07/29/20	50.000	1,395.47	06/26/20	1,061.75	...	333.72	Sale
08/21/20	700.000	19,244.81 N	06/26/20	14,864.50	...	4,380.31	Sale
	Security total:	81,151.71		65,514.56	...	15,637.15	Proceeds or Cost adjusted for option premium of \$1,745.28
CADENCE BANCORPORATION COM CL A / CUSIP: 12739A100 / Symbol: CADE							
04/02/20	500.000	2,944.89	04/01/20	3,127.80	...	-182.91	Sale
06/03/20	1,500.000	13,334.53	Various	8,835.25	...	4,499.28	Sale
06/05/20	4,000.000	39,759.04	Various	24,484.89	...	15,274.15	Sale
06/16/20	2,500.000	22,799.45	Various	21,349.08	...	1,450.37	Sale
07/15/20	6,000.000	50,998.16	Various	48,987.48	...	2,010.68	Sale
	Security total:	129,836.07		106,784.50	...	23,051.57	

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TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account 4

(continued)

2020 1099-B* OMB No. 1545-0715

02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (Gross or (Net)	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARROLS RESTAURANT GROUP INC COM / CUSIP: 14574X104 / Symbol: TAST							
03/30/20	500.000	981.17	03/24/20	815.00	...	166.17	Sale
04/07/20	500.000	1,019.62	03/24/20	815.00	...	204.62	Sale
04/23/20	3,000.000	9,104.44	Various	6,510.45	...	2,593.99	Sale
05/05/20	1,000.000	3,179.81	04/16/20	2,933.50	...	246.31	Sale
05/08/20	250.000	1,062.48	05/07/20	805.18	...	257.30	Sale
05/26/20	1,725.000	7,180.63	Various	5,952.15	...	1,228.48	Sale
11/24/20	5,000.000	31,912.27	Various	31,150.00	...	762.27	Total of 4 transactions
	Security total:	54,440.42		48,981.28	...	5,459.14	
CERENCE INC COM / CUSIP: 156727109 / Symbol: CRNC							
05/22/20	300.000	9,749.74	Various	8,262.38	...	1,487.36	Sale
06/01/20	300.000	9,599.75	05/29/20	8,193.00	...	1,406.75	Sale
09/30/20	500.000	24,912.59	09/17/20	27,840.00	...	-2,927.41	Sale
10/09/20	500.000	26,901.45	09/17/20	27,840.00	...	-938.55	Sale
	Security total:	71,163.53		72,135.38	...	-971.85	
CHEESECAKE FACTORY COM / CUSIP: 163072101 / Symbol: CAKE							
03/30/20	100.000	1,773.95	03/26/20	1,820.50	...	-46.55	Short sale closed
CHICO'S FAS INC COM / CUSIP: 168615102 / Symbol: CHS							
04/16/20	7,000.000	9,693.95	Various	9,997.07	395.59 W	92.47	Total of 2 transactions
04/29/20	5,000.000	7,399.74	Various	7,170.47	229.74 W	459.01	Sale
04/30/20	3,000.000	4,484.54	Various	4,160.56	...	323.98	Sale
05/05/20	12,822.000	16,025.61	Various	20,369.28	4,343.67 W	0.00	Sale
05/21/20	5,000.000	6,224.26	Various	6,969.47	272.46 W	-472.75	Sale
06/05/20	10,000.000	20,122.05	Various	18,277.24	...	1,844.81	Total of 5 transactions
08/26/20	213.000	270.47	08/25/20	302.47	...	-32.00	Sale
08/26/20	9,787.000	12,721.66	08/25/20	13,350.57	12.79 W	-616.12	Sale
	Security total:	76,942.28		80,597.13	5,254.25 W	1,599.40	
COSTCO WHOLESALE CORP COM / CUSIP: 22160K105 / Symbol: COST							
03/20/20	3.000	923.74	12/12/19	888.91	...	34.83	Sale

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TD Ameritrade Clearing, Inc.

2020 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account 4

(continued)

02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss ("L") is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
DAVE & BUSTER'S ENTERTAINMENT COM / CUSIP: 238337109 / Symbol: PLAY							
04/29/20	1,000.000	16,012.10	Various	12,485.57	...	3,526.53	Total of 2 transactions
05/12/20	500.000	5,356.57	Various	6,230.06	873.49 W	0.00	Sale
05/20/20	800.000	8,917.70	Various	9,126.43	...	-208.73	Sale
09/18/20	1,500.000	24,374.28	09/17/20	21,750.00	...	2,624.28	Sale
	Security total:	54,660.65		49,592.06	873.49 W	5,942.08	
DENNY'S CORPORATION COM / CUSIP: 24869P104 / Symbol: DENN							
04/29/20	250.000	2,784.94	04/16/20	2,537.50	...	247.44	Sale
DIGITAL LOCATIONS INC COM / CUSIP: 25401M202 / Symbol: DLOC							
12/10/20	100,000.000	1,487.07	Various	3,857.30	...	-2,370.23	Sale
DINEEQUITY INC COM / CUSIP: 254423106 / Symbol: DIN							
04/29/20	50.000	2,319.94	04/29/20	1,950.00	...	369.94	Sale
07/10/20	1,400.000	52,512.67	Various	57,571.32	3.99 W	-5,054.66	Sale
08/21/20	1,700.000	80,797.20	Various	65,776.34	...	15,020.86	Total of 3 transactions
	Security total:	135,629.81		125,297.66	3.99 W	10,336.14	
WALT DISNEY COMPANY (THE) COM / CUSIP: 254687106 / Symbol: DIS							
04/17/20	100.000	10,596.44	04/16/20	10,816.00	...	-219.56	Sale
DOUBLELINE INCOME SOLUTIONS FD COM / CUSIP: 258622109 / Symbol: DSL							
06/26/20	5,000.000	76,723.26	Various	80,449.50	...	-3,726.24	Total of 2 transactions
EQT CORPORATION COM / CUSIP: 26884L109 / Symbol: EQT							
05/08/20	300.000	4,118.90	05/05/20	4,590.00	...	-471.10	Sale
EPR PROPERTIES SBI USD0.01 / CUSIP: 26884U109 / Symbol: EPR							
09/18/20	202.000	6,282.01	Various	7,207.72	...	-925.71	Total of 4 transactions
09/18/20	1,098.000	33,993.31	09/08/20	36,574.25	465.84 W	-2,115.10	Sale
	Security total:	40,275.32		43,781.97	465.84 W	-3,040.81	
EMBRAER SA ADR SPONSORED / CUSIP: 29082A107 / Symbol: ERJ							
03/25/20	1,000.000	7,219.72	03/24/20	6,407.50	...	812.22	Sale
04/01/20	250.000	1,763.06	04/01/20	1,749.43	...	13.63	Sale
04/16/20	500.000	3,440.71	04/16/20	3,510.00	69.29 W	0.00	Sale

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TD Ameritrade Clearing, Inc.

2020 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account 4

(continued)

02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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EMBRAER SA ADR SPONSORED / CUSIP: 29082A107 / Symbol: ERJ (cont'd)							
04/29/20	500,000	3,222.37	04/17/20	3,569.29	346.92 W	0.00	Sale
05/05/20	1,500,000	8,189.79	Various	8,972.72	995.36 W	212.43	Sale
05/07/20	2,000,000	9,819.54	05/06/20	11,155.16	987.13 W	-348.49	Sale
06/01/20	1,500,000	8,287.14	05/27/20	9,207.13	919.99 W	0.00	Sale
06/12/20	1,000,000	7,249.72	06/11/20	6,625.37	...	624.35	Sale
07/29/20	1,000,000	6,258.74	06/23/20	7,367.62	...	-1,108.88	Sale
08/10/20	500,000	2,822.38	06/24/20	3,079.35	...	-256.97	Sale
11/09/20	10,500,000	57,222.74	Various	52,087.01	...	5,135.73	Total of 2 transactions
	Security total:	115,495.91		113,730.58	3,318.69 W	5,084.02	
ERICSSON ADR SPONSORED / CUSIP: 294821608 / Symbol: ERIC							
06/23/20	2,500,000	23,099.44	Various	23,095.15	...	4.29	Sale
FIESTA RESTAURANT GROUP COM / CUSIP: 31660B101 / Symbol: FRGI							
05/26/20	1,200,000	10,109.91	Various	8,712.90	...	1,397.01	Total of 4 transactions
06/25/20	1,957,000	11,554.93	Various	13,368.56	...	0.00	Total of 5 transactions
08/25/20	1,000,000	10,529.65	07/16/20	7,964.70	1,813.63 W	2,564.95	Sale
08/27/20	1,000,000	10,514.65	Various	6,985.70	...	3,528.95	Sale
09/08/20	3,300,000	37,067.84	Various	29,635.75	...	7,432.09	Total of 2 transactions
10/09/20	3,000,000	33,119.21	Various	29,469.58	...	3,649.63	Sale
	Security total:	112,896.19		96,137.19	1,813.63 W	18,572.63	
FRANCESCA'S HLDGS CORP COM / CUSIP: 351793203 / Symbol: FRANQ							
04/16/20	2,500,000	7,201.02	Various	8,302.67	1,181.29 W	79.64	Total of 3 transactions
04/29/20	1,000,000	2,799.82	04/16/20	3,650.83	851.99 W	0.98	Sale
05/05/20	1,000,000	2,426.53	05/04/20	3,316.99	890.46 W	0.00	Sale
05/18/20	1,000,000	2,636.67	Various	3,347.05	723.46 W	13.08	Total of 2 transactions
06/18/20	1,000,000	3,269.81	06/17/20	4,486.46	...	-1,216.65	Sale
09/02/20	1,000,000	5,939.75	08/31/20	6,020.00	...	-80.25	Sale
11/20/20	2,000,000	6,199.62	11/16/20	4,840.00	...	1,359.62	Sale
12/04/20	2,500,000	5,774.82	12/04/20	4,974.75	...	800.07	Sale
	Security total:	36,248.04		38,938.75	3,647.20 W	956.49	

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TD Ameritrade Clearing, Inc.			
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Proceeds from Broker and Barter Exchange Transactions			02/04/2021
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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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FRONT YARD RESIDENTIAL CORP CSH MRG \$16.25/SH 1/12/21 / CUSIP: 35904G107 / Symbol: RESI							
05/06/20	1,250,000	8,479.79	05/05/20	10,227.50	1,416.17 W	-331.54	Sale
06/05/20	1,000,000	8,431.69	Various	8,969.92	...	-538.23	Sale
	Security total:	16,911.48		19,197.42	1,416.17 W	-869.77	
GILEAD SCIENCES INC COM / CUSIP: 375558103 / Symbol: GILD							
05/07/20	200,000	15,399.71	Various	15,574.00	...	-174.29	Total of 2 transactions
GLACIER BANCORP COM / CUSIP: 37637Q105 / Symbol: GBCI							
06/16/20	1,000,000	39,694.00	06/16/20	38,950.00	...	744.00	Sale
GOL LINHAS AEREAS INTELIGENTES ADR SPONSORED / CUSIP: 38045R206 / Symbol: GOL							
06/05/20	1,500,000	11,047.08	06/02/20	8,528.92	...	2,518.16	Sale
06/16/20	1,250,000	10,428.62	Various	8,125.00	...	2,303.62	Total of 2 transactions
08/04/20	2,700,000	17,674.84	Various	19,062.56	...	-1,387.72	Sale
10/06/20	1,000,000	7,019.72	10/01/20	6,320.00	...	699.72	Sale
11/09/20	1,000,000	7,585.01	10/06/20	6,825.00	...	760.01	Sale
	Security total:	53,755.27		48,861.48	...	4,893.79	
GOPRO INC COM CL A / CUSIP: 38268T103 / Symbol: GPRO							
11/24/20	7,000,000	45,499.56	Various	48,117.25	...	-2,617.69	Sale
GREAT WESTERN BANCORP INC COM / CUSIP: 391416104 / Symbol: GWB							
05/08/20	250,000	3,703.92	05/05/20	4,125.00	421.08 W	0.00	Sale
05/12/20	625,000	8,124.81	Various	9,826.08	1,701.27 W	0.00	Sale
05/19/20	975,000	12,196.86	Various	14,359.74	2,425.27 W	262.39	Sale
06/03/20	250,000	3,905.43	05/26/20	4,318.38	544.33 W	131.38	Sale
06/05/20	0.256	4.44	06/01/20	4.04	...	0.40	Sale
06/05/20	760,000	13,170.72	Various	12,662.50	262.07 W	770.29	Sale
06/16/20	1,000,000	15,729.53	06/16/20	15,861.68	...	-132.15	Sale
	Security total:	56,835.71		61,157.42	5,354.02 W	1,032.31	
HERSHA HOSPITALITY TRUST BEN INTEREST USD0.01 CL A / CUSIP: 427825500 / Symbol: HT							
06/16/20	250,000	1,898.18	06/16/20	2,034.95	...	-136.77	Sale
IZEA WORLDWIDE INC COM / CUSIP: 46604H105 / Symbol: IZEA							
06/25/20	5,010,000	6,412.56	06/25/20	7,763.90	...	-1,351.34	Sale

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TD Ameritrade Clearing, Inc.

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JAKKS PACIFIC INC 1:10 R/S 7/10/20 47012E403 / CUSIP: 47012E106 / Symbol:	5,000.00	5,200.17	Various	5,350.00	...	-149.83	Total of 3 transactions
KIRKLAND'S INC COM / CUSIP: 497498105 / Symbol: KIRK							
04/07/20	6,000.00	4,441.37	Various	5,237.44	796.07 W	0.00	Total of 2 transactions
04/09/20	5,206.00	4,178.05	Various	5,106.05	...	-928.00	Total of 3 transactions
04/09/20	794.00	638.28	03/26/20	801.57	20.57 W	-142.72	Sale
05/21/20	3,000.00	3,059.87	05/19/20	3,329.57	269.70 W	0.00	Sale
06/04/20	3,000.00	3,569.56	06/03/20	4,249.70	...	-680.14	Sale
08/31/20	1,000.00	8,179.70	08/28/20	6,630.00	...	1,549.70	Sale
Security total:		24,066.83		25,354.33	1,086.34 W	-201.16	
LAMAR ADVERTISING CO COM USD0.001 CL A / CUSIP: 512816109 / Symbol: LAMR							
03/30/20	100.00	5,155.88	03/26/20	5,534.38	...	-378.50	Short sale closed
NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
06/05/20	20.00	8,604.85	06/03/20	8,539.00	...	65.85	Short sale closed
06/09/20	60.00	24,948.56	06/05/20	24,795.22	...	153.34	Short sale closed
Security total:		33,553.41		33,334.22	...	219.19	
NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE							
03/20/20	1.00	205.75	12/12/19	235.11	...	-29.36	Sale
03/26/20	10.00	2,203.85	03/24/20	1,864.90	...	338.95	Sale
Security total:		2,409.60		2,100.01	...	309.59	
NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK							
06/22/20	3,000.00	12,994.75	06/17/20	13,245.80	...	-251.05	Sale
PJT PARTNERS INC COM CL A / CUSIP: 69343T107 / Symbol: PJT							
04/09/20	250.00	11,594.72	04/08/20	11,102.65	...	492.07	Sale
PENNYMAC MTG INVEST TRUST COM USD0.01 / CUSIP: 70931T103 / Symbol: PMT							
03/30/20	200.00	2,321.93	03/26/20	2,864.00	...	-542.07	Short sale closed

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PEUGEOT SA ADR UNSPONSORED / CUSIP: 716830104 / Symbol: PUGOY							
07/30/20	100,000	1,663.00	06/22/20	1,559.05	...	103.95	Sale
08/03/20	150,000	2,423.94	06/22/20	2,338.58	...	85.36	Sale
08/11/20	500,000	9,116.79	Various	7,906.92	...	1,209.87	Sale
10/06/20	1,000,000	18,551.52	Various	16,706.53	...	1,844.99	Total of 2 transactions
	Security total:	31,755.25		28,511.08	...	3,244.17	
POTBELLY CORPORATION COM / CUSIP: 73754Y100 / Symbol: PBPB							
05/12/20	3,000,000	8,834.44	05/08/20	8,683.85	...	150.59	Sale
05/18/20	1,150,000	2,410.44	Various	2,528.50	...	-118.06	Sale
08/06/20	5,000,000	20,790.52	Various	14,316.65	...	6,473.87	Total of 2 transactions
11/23/20	6,600,000	26,423.23	Various	25,090.27	...	1,332.96	Total of 5 transactions
11/23/20	900,000	3,581.81	Various	3,664.43	15.77 W	-66.85	Sale
	Security total:	62,040.44		54,283.70	15.77 W	7,772.51	
RLJ LODGING TR COM USD0.01 / CUSIP: 74965L101 / Symbol: RLJ							
03/30/20	250,000	2,042.42	03/26/20	2,188.98	146.56 W	0.00	Short sale closed
03/31/20	250,000	2,127.42	03/27/20	2,279.89	...	-152.47	Short sale closed
	Security total:	4,169.84		4,468.87	146.56 W	-152.47	
RANGE RESOURCES CORP COM / CUSIP: 75281A109 / Symbol: RRC							
04/29/20	500,000	2,857.38	04/20/20	2,583.70	...	273.68	Sale
06/05/20	1,250,000	7,939.30	Various	7,653.97	...	285.33	Sale
10/09/20	4,000,000	32,159.45	Various	29,319.60	...	2,839.85	Total of 3 transactions
10/30/20	5,000,000	33,302.66	Various	41,000.00	...	-7,697.34	Total of 2 transactions
	Security total:	76,258.79		80,557.27	...	-4,298.48	
REMARK HOLDINGS INC COM / CUSIP: 75955K102 / Symbol: MARK							
05/11/20	1,500,000	2,680.21	Various	1,530.00	...	1,150.21	Total of 2 transactions
06/05/20	1,500,000	4,142.43	Various	2,130.00	...	2,012.43	Sale
	Security total:	6,822.64		3,660.00	...	3,162.64	
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
12/24/20	40,000	12,079.73	12/22/20	14,339.33	...	-2,259.60	Short sale closed
RUMBLEON INC COM CL B / CUSIP: 781386305 / Symbol: RMBL							
06/05/20	75,000	688.69	05/12/20	653.70	...	34.99	Sale

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TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

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2020 1099-B* OMB No. 1545-0715

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02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
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HENRY SCHEIN INC COM / CUSIP: 806407102 / Symbol: HSC							
03/26/20	100,000	5,153.38	03/26/20	5,300.50	...	-147.12	Sale
SEAWORLD ENTERTAINMENT INC COM / CUSIP: 81282V100 / Symbol: SEAS							
10/09/20	1,000,000	22,659.48	10/06/20	21,229.90	...	1,429.58	Sale
SONIC AUTOMOTIVE INC COM / CUSIP: 83545G102 / Symbol: SAH							
03/26/20	1,000,000	15,019.55	Various	11,489.50	...	3,530.05	Sale
06/11/20	1,000,000	32,099.17	06/09/20	30,655.00	...	1,444.17	Short sale closed
10/23/20	750,000	29,721.33	Various	32,625.00	2,903.67 W	0.00	Total of 2 transactions
10/30/20	500,000	18,050.69	10/12/20	21,580.60	3,529.91 W	0.00	Sale
11/09/20	5,404,000	224,513.47	Various	231,148.29	12,701.81 W	6,066.99	Total of 6 transactions
	Security total:	319,404.21		327,498.39	19,135.39 W	11,041.21	
SOUTHWESTERN ENERGY CO COM / CUSIP: 845467109 / Symbol: SWN							
05/11/20	1,000,000	3,199.81	04/22/20	3,200.00	...	-0.19	Sale
STEIN MART COM / CUSIP: 858375108 / Symbol: SMRTQ							
06/05/20	2,500,000	1,062.68	05/28/20	1,096.75	...	-34.07	Sale
SYNOVUS FINANCIAL CORP COM / CUSIP: 87161C501 / Symbol: SNV							
04/13/20	250,000	4,702.37	04/08/20	4,522.50	...	179.87	Sale
06/03/20	200,000	4,239.89	05/26/20	3,861.76	...	378.13	Sale
06/05/20	800,000	19,211.48	05/26/20	15,122.64	...	4,088.84	Sale
06/16/20	1,050,000	24,949.85	06/11/20	21,741.93	...	3,207.92	Sale
07/02/20	1,250,000	25,106.23	Various	24,901.83	...	204.40	Total of 2 transactions
10/09/20	1,000,000	24,329.43	Various	23,651.60	...	677.83	Total of 2 transactions
	Security total:	102,539.25		93,802.26	...	8,736.99	
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
09/04/20	100,000	38,044.65	09/04/20	38,386.00	...	-341.35	Sale
TRAVELZOO COM / CUSIP: 89421Q205 / Symbol: TZOO							
04/08/20	250,000	1,011.80	04/08/20	1,010.65	...	1.15	Sale
04/29/20	500,000	3,049.87	Various	2,146.90	...	902.97	Sale
05/26/20	1,500,000	8,402.35	Various	6,985.25	...	1,417.10	Total of 2 transactions
06/03/20	1,500,000	9,191.32	Various	6,851.15	...	2,340.17	Sale

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2020 1099-B* OMB No. 1545-0715

02/04/2021

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TRAVELZOO COM / CUSIP: 894210205 / Symbol: TZOO (cont'd)							
06/05/20	2,000.000	14,300.84	Various	9,568.57	...	4,732.27	Sale
06/12/20	1,750.000	12,687.01	06/11/20	11,592.50	...	1,094.51	Sale
09/03/20	1,000.000	7,939.70	08/21/20	6,506.67	...	1,433.03	Sale
11/09/20	5,001.000	44,007.23	Various	33,560.67	...	10,446.56	Sale
12/21/20	2,039.000	19,410.81	Various	14,082.70	...	5,328.11	Total of 2 transactions
	Security total:	120,000.93		92,305.06	...	27,695.87	
TRUIST FINANCIAL CORPORATION COM / CUSIP: 89832Q109 / Symbol: TFC							
06/03/20	200.000	8,011.80	05/26/20	7,081.76	...	930.04	Sale
06/05/20	800.000	35,065.21	05/26/20	28,327.04	...	6,738.17	Sale
	Security total:	43,077.01		35,408.80	...	7,668.21	
UNITED AIRLINES HOLDINGS COM / CUSIP: 910047109 / Symbol: UAL							
10/13/20	1,200.000	42,672.72	10/08/20	43,920.00	...	-1,247.28	Sale
ZOGENIX INC COM / CUSIP: 98978L204 / Symbol: ZGNX							
06/16/20	1,000.000	30,652.00	06/16/20	30,950.00	...	-298.00	Sale
ZOMEDICA PHARMACEUTICALS CORP N/C 10/5/20 9890M109 / CUSIP: 98979F107 / Symbol:							
06/25/20	5,000.000	1,105.88	06/25/20	1,170.00	...	-64.12	Sale
APPLE INC AAPL Sep 04 2020 135.0 Call / CUSIP: / Symbol: AAPL 09/04/20 C 135.000							
09/02/20	125.000	14,016.07	Various	12,033.37	...	1,982.70	Total of 3 transactions
DINEEQUITY INC DIN Aug 21 2020 35.0 Call / CUSIP: / Symbol: DIN 08/21/20 C 35.000							
07/30/20	4.000	-801.43	07/29/20	0.00	...	-801.43	Short sale closed- option
DINEEQUITY INC DIN Aug 21 2020 45.0 Call / CUSIP: / Symbol: DIN 08/21/20 C 45.000							
07/29/20	3.000	-154.72	Various	0.00	...	-154.72	Total of 2 transactions
07/29/20	9.000	-481.38	07/28/20	0.00	267.43 W	-213.95	Short sale closed- option
	Security total:	-636.10		0.00	267.43 W	-368.67	
BRINKER INTERNATIONAL EAT Jun 19 2020 17.5 Call / CUSIP: / Symbol: EAT 06/19/20 C 17.500							
04/30/20	5.000	-2,251.70	04/29/20	0.00	...	-2,251.70	Short sale closed- option
BRINKER INTERNATIONAL EAT Jun 19 2020 25.0 Call / CUSIP: / Symbol: EAT 06/19/20 C 25.000							
05/08/20	4.000	914.62	05/07/20	0.00	...	914.62	Short sale closed- option

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Account 4

Proceeds from Broker and Barter Exchange Transactions

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BRINKER INTERNATIONAL EAT Jul 17 2020 25.0 Call / CUSIP: / Symbol: EAT 07/17/20 C 25.000	7.000	935.61	07/02/20	0.00	...	935.61	Short sale closed- option
BRINKER INTERNATIONAL EAT Jun 19 2020 17.5 Put / CUSIP: / Symbol: EAT 06/19/20 P 17.500	5.000	623.31	05/20/20	0.00	...	623.31	Short sale closed- option
EMBRAER SA ERJ Apr 17 2020 5.0 Call / CUSIP: / Symbol: ERJ 04/17/20 C 5.000	20.000	4,736.51	Various	5,273.34	536.83 W	0.00	Total of 2 transactions
EMBRAER SA ERJ Apr 17 2020 5.0 Call / CUSIP: / Symbol: ERJ 04/17/20 C 5.000	20.000	4,786.51	Various	5,580.17	...	-793.66	Option sale
Security total:		9,523.02		10,853.51	536.83 W	-793.66	
EMBRAER SA ERJ Apr 17 2020 7.5 Call / CUSIP: / Symbol: ERJ 04/17/20 C 7.500	20.000	673.24	04/09/20	0.00	...	673.24	Short sale closed- option
EMBRAER SA ERJ May 15 2020 5.0 Call / CUSIP: / Symbol: ERJ 05/15/20 C 5.000	20.000	1,286.59	Various	2,763.34	...	-1,476.75	Option sale
EMBRAER SA ERJ Jun 19 2020 5.0 Call / CUSIP: / Symbol: ERJ 06/19/20 C 5.000	40.000	9,973.02	Various	4,776.68	...	5,196.34	Option sale
STELLANTIS N.V. FCAU Dec 18 2020 7.5 Call / CUSIP: / Symbol: FCAU 12/18/20 C 7.500	50.000	27,965.93	Various	13,833.35	...	14,132.58	Option sale
STELLANTIS N.V. FCAU Dec 18 2020 7.5 Call / CUSIP: / Symbol: FCAU 12/18/20 C 7.500	35.000	17,826.30	Various	13,923.34	...	3,902.96	Option sale
Security total:		45,792.23		27,756.69	...	18,035.54	
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	1,400.000	23,703.30	Various	12,266.42	...	11,436.88	Total of 2 transactions
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	1,600.000	29,084.61	Various	35,929.81	6,845.20 W	0.00	Total of 4 transactions
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	400.000	5,024.24	03/27/20	6,489.79	1,465.55 W	0.00	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	700.000	9,799.70	Various	10,621.23	1,437.79 W	616.26	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	300.000	3,478.38	04/13/20	4,543.56	1,065.18 W	0.00	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	500.000	7,662.03	Various	7,713.41	269.47 W	218.09	Total of 2 transactions
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	2,100.000	26,879.16	Various	26,076.43	584.40 W	1,387.13	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	1,000.000	15,654.09	Various	12,370.20	...	3,283.89	Total of 2 transactions
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	500.000	9,104.74	05/14/20	4,810.98	...	4,293.76	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	1,000.000	18,099.48	05/27/20	16,500.00	...	1,599.48	Short sale closed
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH	1,000.000	17,629.49	05/29/20	15,503.20	...	2,126.29	Short sale closed

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TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account 4

2020 1099-B* OMB No. 1545-0715

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02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part 1 with Box A checked. Basis is provided to the IRS. (Line 12)

*Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH (cont'd)							
06/11/20	3,750.000	85,712.43	Various	91,833.68	7,310.55 W	1,189.30	Total of 2 transactions
06/12/20	1,250.000	24,999.30	06/11/20	23,061.54	...	1,937.76	Sale
07/20/20	100.000	1,532.96	06/24/20	2,270.49	737.53 W	0.00	Sale
09/03/20	400.000	7,275.79	06/24/20	8,261.50	1,128.88 W	143.17	Sale
09/22/20	1,250.000	19,099.43	06/24/20	20,667.87	1,568.44 W	0.00	Sale
10/06/20	3,500.000	62,805.69	Various	53,797.43	564.30 W	9,572.56	Sale
10/09/20	8,751.000	163,726.55	Various	145,439.18	96.19 W	18,383.56	Sale
10/23/20	2,500.000	45,973.68	Various	40,986.19	...	4,987.49	Sale
10/23/20	3,500.000	64,363.51	Various	58,761.42	3.43 W	5,605.52	Sale
11/09/20	7,503.000	164,211.17	Various	124,142.37	...	40,068.80	Total of 2 transactions
11/27/20	1.000	24.19	11/10/20	19.88	...	4.31	Sale
12/08/20	2,000.000	48,360.49	12/04/20	51,840.00	...	-3,479.51	Short sale closed
	Security total:	854,204.41		773,906.58	23,076.91 W	103,374.74	
KIRKLAND'S INC KIRK Jul 17 2020 2.5 Put / CUSIP: / Symbol: KIRK 07/17/20 P 2.500							
06/22/20	10.000	493.30	06/17/20	756.67	...	-263.37	Option sale
FIAT CHRYSLER AUTOMOBILES NV 1:1 EXC 1/19/21 N82405106 / CUSIP: N31738102 / Symbol: FCAU							
06/05/20	1,500.000	15,709.57	05/27/20	13,800.00	...	1,909.57	Sale
06/22/20	1,000.000	9,673.37	06/17/20	9,559.90	...	113.47	Sale
07/29/20	2,000.000	21,533.28	Various	19,588.00	...	1,945.28	Sale
	Security total:	46,916.22		42,947.90	...	3,968.32	
NORWEGIAN CRUISE LINE HLDGS LT NCLH Jun 19 2020 12.5 Call / CUSIP: / Symbol: NCLH 06/19/20 C 12.500							
05/20/20	15.000	118.91	05/19/20	0.00	...	118.91	Short sale closed-option
NORWEGIAN CRUISE LINE HLDGS LT NCLH Aug 14 2020 18.5 Call / CUSIP: / Symbol: NCLH 08/14/20 C 18.500							
07/21/20	15.000	1,559.90	Various	0.00	...	1,559.90	Total of 2 transactions
NORWEGIAN CRUISE LINE HLDGS LT NCLH Dec 18 2020 22.5 Call / CUSIP: / Symbol: NCLH 12/18/20 C 22.500							
10/09/20	35.000	-256.67	10/08/20	0.00	...	-256.67	Short sale closed-option
NORWEGIAN CRUISE LINE HLDGS LT NCLH Apr 24 2020 11.0 Put / CUSIP: / Symbol: NCLH 04/24/20 P 11.000							
04/17/20	15.000	235.93	04/16/20	0.00	...	235.93	Short sale closed-option
04/24/20	10.000	901.61	04/23/20	0.00	...	901.61	Short sale closed-option
	Security total:	1,137.54		0.00	...	1,137.54	

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TD Ameritrade Clearing, Inc.

2020 1099-B* OMB No. 1545-0715

Proceeds from Broker and Barter Exchange Transactions

Account 4

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02/04/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 3)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional Information
NORWEGIAN CRUISE LINE HLDGS LT NCLH Jul 17 2020 25.0 Put / CUSIP: / Symbol: NCLH 07/17/20 P 25.000							
06/11/20	5.000	3,996.57	06/09/20	2,328.33	...	1,668.24	Option sale
POTBELLY CORPORATION PBPB May 15 2020 3.0 Call / CUSIP: / Symbol: PBPB 05/15/20 C 3.000							
05/11/20	25.000	608.27	05/08/20	391.67	...	216.60	Option sale
05/15/20	95.000	0.00	Various	1,548.36	...	-1,548.36	Option expiration
	Security total:	608.27		1,940.03	...	-1,331.76	
DAVE & BUSTER'S ENTERTAINMENT PLAY Jun 19 2020 10.0 Put / CUSIP: / Symbol: PLAY 06/19/20 P 10.000							
05/13/20	10.000	286.60	05/12/20	0.00	...	286.60	Short sale closed- option
05/22/20	10.000	286.61	05/21/20	0.00	...	286.61	Short sale closed- option
	Security total:	573.21		0.00	...	573.21	
RANGE RESOURCES CORP RRC Sep 18 2020 5.0 Call / CUSIP: / Symbol: RRC 09/18/20 C 5.000							
05/26/20	25.000	5,108.17	Various	5,373.38	...	-265.21	Option sale
05/26/20	20.000	3,986.53	Various	3,438.34	56.71 W	604.90	Option sale
	Security total:	9,094.70		8,811.72	56.71 W	339.69	
RANGE RESOURCES CORP RRC Jan 15 2021 5.0 Call / CUSIP: / Symbol: RRC 01/15/21 C 5.000							
06/05/20	6.000	1,375.96	05/26/20	1,704.05	...	-328.09	Option sale
06/05/20	49.000	10,991.98	Various	11,520.68	188.05 W	-340.65	Option sale
	Security total:	12,367.94		13,224.73	188.05 W	-668.74	
SONIC AUTOMOTIVE INC SAH Aug 21 2020 10.0 Call / CUSIP: / Symbol: SAH 08/21/20 C 10.000							
04/09/20	4.000	3,297.25	03/25/20	1,402.67	...	1,894.58	Option sale
04/29/20	6.000	8,395.80	03/25/20	2,104.00	...	6,291.80	Option sale
	Security total:	11,693.05		3,506.67	...	8,186.38	
AT&T INC T Sep 04 2020 31.0 Call / CUSIP: / Symbol: T 09/04/20 C 31.000							
09/04/20	100.000	0.00	08/31/20	366.69	...	-366.69	Option expiration
AT&T INC T Sep 04 2020 31.5 Call / CUSIP: / Symbol: T 09/04/20 C 31.500							
09/04/20	100.000	0.00	08/31/20	466.69	...	-466.69	Option expiration
AT&T INC T Dec 18 2020 28.0 Call / CUSIP: / Symbol: T 12/18/20 C 28.000							
09/08/20	60.000	11,959.60	Various	15,590.02	...	-3,630.42	Option sale

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TD Ameritrade Clearing, Inc.			Proceeds from Broker and Barter Exchange Transactions		Account
2020	1099-B*	OMB No. 1545-0715	(continued)	02/04/2021	

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TRAVELZOO TZOO Jul 17 2020 5.0 Call / CUSIP: / Symbol: TZOO 07/17/20 C 5.000	30.000	2,379.88	06/26/20	3,580.01	...	-1,200.13	Option sale	
TRAVELZOO TZOO Dec 18 2020 5.0 Call / CUSIP: / Symbol: TZOO 12/18/20 C 5.000	10.000	3,433.23	06/26/20	2,206.67	...	1,226.56	Option sale	
10/21/20	70.000	19,522.94	Various	16,196.68	...	3,326.26	Total of 2 transactions	
Security total:		22,956.17		18,403.35	...	4,552.82		
TRAVELZOO TZOO Dec 18 2020 10.0 Call / CUSIP: / Symbol: TZOO 12/18/20 C 10.000	70.000	6,183.24	12/18/20	0.00	...	6,183.24	Option expiration short position	
VERIZON COMMUNICATIONS VZ Jan 15 2021 57.5 Call / CUSIP: / Symbol: VZ 01/15/21 C 57.500	150.000	52,398.94	Various	56,723.04	...	-4,324.10	Total of 2 transactions	
VERIZON COMMUNICATIONS VZ Apr 16 2021 57.5 Call / CUSIP: / Symbol: VZ 04/16/21 C 57.500	75.000	30,324.38	09/21/20	28,415.01	...	1,909.37	Option sale	
VERIZON COMMUNICATIONS VZ Jan 15 2021 62.5 Put / CUSIP: / Symbol: VZ 01/15/21 P 62.500	50.000	13,716.40	11/10/20	14,293.20	...	-576.80	Option sale	
WAYFAIR INC W May 29 2020 160.0 Put / CUSIP: / Symbol: W 05/29/20 P 160.000	12.000	0.00	Various	6,492.99	...	-6,492.99	Option expiration	
WAYFAIR INC W May 29 2020 162.5 Put / CUSIP: / Symbol: W 05/29/20 P 162.500	5.000	3,046.59	05/21/20	4,253.33	...	-1,206.74	Option sale	
WAYFAIR INC W Jun 12 2020 162.5 Put / CUSIP: / Symbol: W 06/12/20 P 162.500	3.000	4,497.89	05/21/20	4,172.00	...	325.89	Option sale	
WAYFAIR INC W Jun 12 2020 170.0 Put / CUSIP: / Symbol: W 06/12/20 P 170.000	5.000	1,936.62	05/29/20	4,753.33	...	-2,816.71	Option sale	
Totals :		3,857,830.82		3,643,149.45	66,792.46 W	281,473.83		

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TD Ameritrade Clearing, Inc.

Proceeds from Broker and Barter Exchange Transactions

Account 4

2020 1099-B* OMB No. 1545-0715

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02/04/2021

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS (Ordinary gains or losses are identified in the Additional information column) (Line 5)
 Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)
 "Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)Gross or (N)Net	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CEDAR FAIR LP DEPOSITRY UTS(REP LTD PART) / CUSIP: 150185106 / Symbol: FUN							
03/23/20	100.000	1,763.24	03/20/20	1,759.00	...	4.24	Sale
03/25/20	750.000	18,749.50	Various	13,538.02	...	5,211.48	Sale
04/07/20	750.000	16,230.98	Various	13,422.75	...	2,808.23	Sale
04/08/20	193.000	3,609.00	04/07/20	3,570.50	...	38.50	Sale
04/08/20	807.000	15,052.38	Various	15,045.71	42.35 W	49.02	Total of 2 transactions
04/16/20	250.000	5,624.85	04/15/20	5,675.59	50.74 W	0.00	Sale
04/29/20	300.000	8,948.77	04/16/20	7,550.74	...	1,398.03	Sale
05/22/20	600.000	19,322.96	Various	15,603.63	...	3,719.33	Total of 2 transactions
07/28/20	500.000	13,199.65	06/29/20	13,746.00	1.09 W	-545.26	Sale
	Security total:	102,501.33		89,911.94	94.18 W	12,683.57	
Totals :		102,501.33		89,911.94	94.18 W	12,683.57	

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