FORM 6 FULL AND PUBLIC DISCLOSURE OF FINANCIAL INTERESTS 2020

FOR OFFICE USE ONLY:

PROCESSED

**********AUTO**MIXED AADC 323 T6 P1 34 889

DAVID PAYNE RICE
COUNTY COMMISSIONER, DISTRICT 4
Monroe County
Elected Constitutional Officer
133 MOCKINGBIRD LN STE
MARATHON FL 33050-2482

FLORIDA
COMMISSION ON ETHICS
JUN 28 2021
RECEIVED

ID CODE

ID NO. 69948

CONF. CODE

Rice, David Payne

CHECK IF THIS IS A FILING BY A CANDIDATE ☐

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2020 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]

My net worth as of Dec. 31, 20 20 was $ 3,404,518.43.

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds $1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is $ 70,000

ASSETS INDIVIDUALLY VALUED AT OVER $1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)	VALUE OF ASSET
See attached	

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF $1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
See attached	

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY

(Continued on reverse side)

PART D -- INCOME

Identify each separate source and amount of income which exceeded $1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2020 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2020 federal income tax return and all W2's, schedules, and attachments.
[If you check this box and attach a copy of your 2020 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING $1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
see attached		

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
NA			

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY	NA		
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

This section applies only to officers required to complete annual ethics training pursuant to section 112.3142, F.S. [See instructions p. 6]

☒ **I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**

OATH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE

STATE OF FLORIDA
COUNTY OF Monroe

Sworn to (or affirmed) and subscribed before me by means of ☒ physical presence or ☐ online notarization, this 24 day of June, 2021 by David P. Rice.

Tamara Lamarche
(Signature of Notary Public--State of Florida)

TAMARA LAMARCHE
(Print, Type, or Stamp Commissioned Name of Notary Public)
Commission # GG 116820
Expires July 4, 2021
Bonded Thru Budget Notary Services

Personally Known _____ OR Produced Identification _____

Type of Identification Produced _____

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, MARK HERRON, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Signature

21 June 2021
Date

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☒

David Rice
2020 Form 6 Attachment

Part A – Net Worth

As of December 31, 2019:	$3,404,518.43

Part B – Assets

Household Goods and Personal Effects	$70,000.00

Individual Assets Over $1,000

Real Property

- 133 Mockingbird, Marathon Lane, Marathon. FL	$860,980.00
- 913-915 65th Street, Marathon, FL	$440,923.00
- 2413-2415 Staples Street, Marathon, FL	$486,959.00
- 2417-2419 Staples Street, Marathon, FL	$450,392.00

Intangible Property

Bank Accounts (Iberia Bank)

- Checking Account (Joint [redacted])	$6,265,55
- Checking Account (Joint [redacted])	$4,041.32
- Checking Account (Joint [redacted])	$3,029.50
- Money Market Account (Joint [redacted])	$20.014.95
- Freedom Checking Account (Joint [redacted])	$2,319.94

Brokerage Accounts

Amerprise Accounts (Details Attached) –

- David and Mary Rice (JT [redacted])	$76,696.18
- David and Mary Rice (JT [redacted])	$486,271.62
- David and Mary Rice (IRA [redacted])	$360,235.29
- David Rice (IRA [redacted]) –	$9,677.16

Infinex Financial Group Account (Details Attached)	$117,114.45
FRS Investment Plan –	$24,194.94

Other Assets

1982 Key West No. 1 Hull, 39' Boat	$75,000.00

PART C – Liabilities

Iberia Bank Mortgage Post Office Box 1172 Little Rock, AR 72223	$84,674.53
Iberia Bank 200 West Congress Street Lafayette, LA 70501	$4,921.94

PART D – Income

Monroe County Board of County Commissioners Post Office Box 1980 Key West, FL 33041-1980	$45,551.19
West Care Foundation, Inc. 1711 Whitney Mesa Drive Henderson, NV 89014	$35,338.52
Social Security Administration 1 Jamaica Center Plaza Jamaica, NY 11432-3898	$26,659.20
Iberia Bank 200 West Congress Street Lafayette, LA 70501	$129.77
Amerpirise Accounts – Dividends and Interest 10 First Avenue Englewood, FL 34223-3659	(See attached)
Infinex Financial Group – Dividends and Interest 538 Preston Avenue Meriden, CT 06450	(See Attached)
Pershing LLC – Dividends One Pershing Plaza Jersey City, NJ 07399	$5,379.48

Rental Income

Tenants

Wilner Henry Vernon Williams Greta Serranno Laura White 913-915 65th Street Marathon, FL	$33,000.00

Tenants
Sandra Bartlett — $32,400.00
Luke Navickas
2413-2415 Staples Street
Key West, FL

Tenants
Robert Wolfe — $32,425.00
Natalie Yaniz
Nick Clark
2417-2419 Staples Street
Key West, FL

Secondary Sources of Income

N/A

Part E – Interest in Specified Businesses

N/A

Part F – Training

See Form 6.

Ameriprise Financial

Your Ameriprise statement

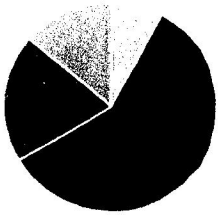
for December 1, 2020 to December 31, 2020

PREPARED FOR MARY RICE AND DAVID P RICE

Value of your investment accounts

	This month	This year
Beginning value	$956,012.72	$962,547.46
Net deposits & withdrawals	$0.00	-$2,845.35
Dividends, interest & income	$3,332.07	$15,864.06
Change in value	$4,702.06	-$11,519.32
Ending value	**$964,046.85**	**$964,046.85**

Your asset allocation



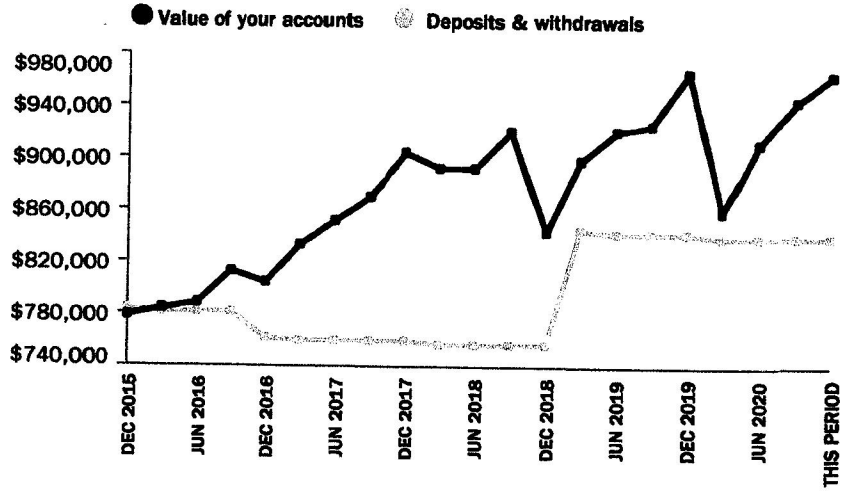
Asset class	Value on Dec 31, 2020	Percent of assets
Cash & cash investments*	$74,013.54	7.7%
● Fixed income	$563,536.28	58.4%
● Equities	$190,843.55	19.8%
Alternatives	$135,653.48	14.1%
Total assets	**$964,046.85**	**100%**

*Cash investments includes cash held inside pooled investments (e.g. mutual funds), as part of a manager's investment strategy, and is not directly accessible unless you sell some of that investment. For details visit ameriprise.com/allocation.

Your personal advisor

Michael L Kreuziger CFP® CMFC® ChFC® APMA®
A financial advisory practice of Ameriprise Financial Services, LLC.
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Englewood, FL 34223-3659
941.475.7561
michael.l.kreuziger@ampf.com
ameripriseadvisors.com/michael.l.kreuziger

Value of your investment accounts over time



This chart provides a five-year view of your account values. The black line shows the value of your accounts, while the gray line shows your net contributions (deposits less withdrawals).

Get all the details online at ameriprise.com. Click the Portfolio tab to find your latest account value, activity and asset allocation.

Cash sweep options allow you to manage cash awaiting investment. For long term cash holdings, other product solutions may be more appropriate and also have the potential to provide a higher return than your cash sweep option.

Important information about documents that go with this statement

One or more documents are intended to accompany this statement, including regulatory notices or disclosures. You can view an electronic version of each document by clicking each of the following document titles (look for the mouse symbol and click there):

Opt-in to receive your Ameriprise tax documents through e-delivery.

Providing electronic access to your documents is one of the ways we can help you feel confident, connected and in control of your financial life.

Working in your Best Interest. Regulation Best Interest Disclosure.

This document provides information to help you evaluate the benefits, risks, and costs of the investments and services we offer. This disclosure document can be found at ameriprise.com/bestinterest.

Other Important Brokerage Disclosures.

The "Changes to the bank list" section has been restated and revised to reflect that Ameriprise Bank, FSB, may secure a higher position in the bank list and thus receive higher deposits than other participant banks in the Ameriprise Insured Money Market Account (AIMMA) sweep program. This disclosure can be found at ameriprise.com/disclosures.

Summary of your accounts

Page		Beginning value	Deposits	Withdrawals	Dividends, interest & income	Change in value	Ending value
	Personal investment accounts						
	DAVID P RICE AND MARY RICE						
5	AMERIPRISE BROKERAGE ACCOUNT *Available cash: $19.14*	$76,696.18	$0.00	$0.00	$0.00	$0.00	$76,696.18
7	AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR	$483,229.94	$0.00	$0.00	$1,188.35	$1,853.33	$486,271.62
	Total personal investment accounts	$559,926.12	$0.00	$0.00	$1,188.35	$1,853.33	$562,967.80
	Retirement investment accounts - tax qualified						
	DAVID P RICE IRA						
14	AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR	$356,066.87	$0.00	$0.00	$1,465.49	$2,702.93	$360,235.29
	DAVID P RICE ROTH IRA						
20	AMERIPRISE BROKERAGE ACCOUNT	$9,380.02	$0.00	$0.00	$295.51	$1.63	$9,677.16
	MARY RICE IRA						
22	AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR	$21,259.69	$0.00	$0.00	$87.21	$142.54	$21,489.44
	MARY RICE ROTH IRA						
28	AMERIPRISE BROKERAGE ACCOUNT	$9,380.02	$0.00	$0.00	$295.51	$1.63	$9,677.16
	Total retirement investment accounts - tax qualified	$396,086.60	$0.00	$0.00	$2,143.72	$2,848.73	$401,079.05
	Total of all accounts	**$956,012.72**	**$0.00**	**$0.00**	**$3,332.07**	**$4,702.06**	**$964,046.85**

Should you choose to sell your entire portfolio or a holding in an account; the total amount received may not be the same as the ending value noted here due to sales or surrender charges, tax withholding, outstanding loans or other fees that may apply at the time of sale.

Plan contribution and distribution summary

Are you maximizing your retirement savings? Different types of retirement accounts have different contribution limits. For tax years 2019 and 2020, you may qualify to contribute up to $6,000 each year to a Traditional or Roth IRA, plus an additional $1,000 if you're age 50 or older. The IRS extended the 2019 IRA contribution funding deadline to July 15, 2020. Contributions made in 2020 for tax year 2019 will be reported on IRS form 5498, which will be issued around July or August as directed by the IRS. Learn more at ameriprise.com/contributions.

Plan name	Plan ID	Tax year 2019 contributions	Tax year 2020 contributions	Tax year 2020 distributions
Retirement Plans				
DAVID P RICE IRA PLAN	0004 305 644	$0.00	$0.00	$17,820.00
DAVID P RICE ROTH IRA PLAN	0004 305 645	$0.00	$0.00	$0.00
MARY RICE IRA PLAN	0004 968 005	$0.00	$0.00	$1,149.00
MARY RICE ROTH IRA PLAN	0004 305 648	$0.00	$0.00	$0.00
Total retirement plan		**$0.00**	**$0.00**	**$18,969.00**

2020 Required Minimum Distribution (RMD)

The Coronavirus Aid, Relief and Economic Security (CARES) Act was signed into law on March 27, 2020 and suspends required minimum distributions (RMDs) for IRAs and defined contribution plans for 2020. If you have already taken a distribution and would like to rollover money back into your retirement account, contact your advisor to determine your eligibility. If you do not wish to return any distributions to your retirement account, no action is required. If you receive a 5498 tax form later this year, it will show there is no RMD for 2020.

We have updated your 2020 RMD amount and your remaining 2020 RMD amount to zero and will continue to provide your 2019 year end value and your 2020 distributions taken throughout the year. To view previously communicated RMD amounts, refer to your January, February, March or April 2020 statements.

If you have questions about the CARES Act, RMDs or how this impacts your retirement accounts, contact your advisor.

Plan name	Plan ID	Value on Dec 31, 2019	2020 RMD	2020 distributions taken	Remaining 2020 RMD amount for the plan type(s)
Traditional, Rollover, SEP and/or SIMPLE IRA plans					
DAVID P RICE IRA PLAN	0004 305 644	$377,735.79	$0.00	$17,820.00	
Totals		**$377,735.79**	**$0.00**	**$17,820.00**	**$0.00**
Traditional, Rollover, SEP and/or SIMPLE IRA plans					
MARY RICE IRA PLAN	0004 968 005	$24,285.28	$0.00	$1,149.00	
Totals		**$24,285.28**	**$0.00**	**$1,149.00**	**$0.00**

Ameriprise Brokerage Account

DAVID P RICE AND | MARY RICE JT TOD

Account #: 0000 6068 6496 5 133

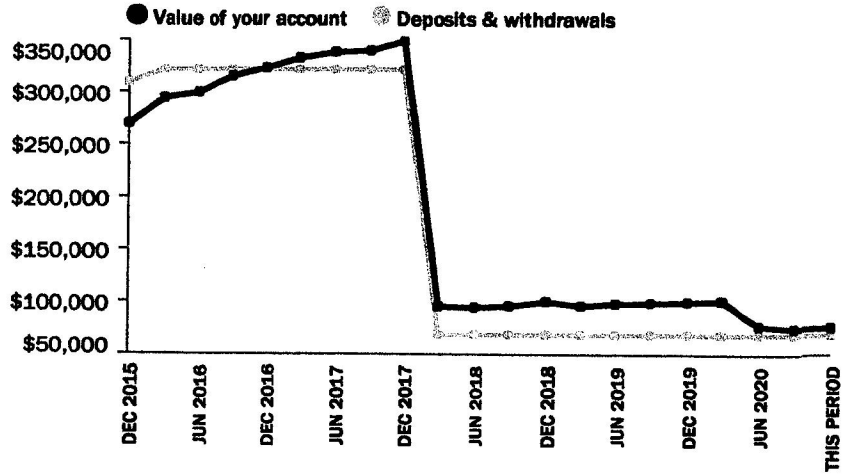
Investment time frame: 11+ years; **Risk tolerance:** Moderate/Aggressive; **Investment objective:** Growth; **Liquidity needs:** Information still needed
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$76,696.18**	**$97,014.77**
Income		
Dividends	$0.00	$2,256.51
Change in value	**$0.00**	**-$22,575.10**
Ending value	**$76,696.18**	**$76,696.18**

All or a portion of your reported dividends reflected in the Value of your account window may include distributions from a non-traded REIT or other direct participation program. Please see Your account activity window for additional information.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	$19.14	0.0%
Alternative investments	$76,677.04	100.0%
Ending value	**$76,696.18**	**100.0%**

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Yield
Cash and equivalents					
Cash	$19.14			$0.00	0.00%
Total Cash and equivalents [5]	**$19.14**	**$19.14**	**$0.00**	**$0.00**	

Description	Symbol/ CUSIP	Quantity	X	Market price	=	Ending value this period[7]	Ending value last period	Net change this period	Estimated Total cost basis[1]	Unrealized gain/loss	Annual income	Yield
Alternative investments [3]												
LIGHTSTONE VALUE PLUS REAL ESTATE INVESTMENT TRUST V INC	53227M107	4,217.468		$9.42*		$39,728.54	$39,728.54	$0.00	$32,426.00	$7,302.55	$0.00	0.00%

Estimated value was determined based on an independent third party appraisal.

Ameriprise Brokerage Account (continued)

Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X	Market price =	Ending value this period[7]	Ending value last period	Net change this period	Estimated Total cost basis[1]	Estimated Unrealized gain/loss	Estimated Annual income	Estimated Yield
Alternative investments[3]											
FS ENERGY & POWER FUND	30264D109	11,264.789		$3.28*	$36,948.50	$36,948.50	$0.00	$97,055.77	-$60,107.26	$0.00	0.00%
Value relects the trading price of program interests on a national exchange or NASDAQ.											
Total Alternative Investments					**$76,677.04**	**$76,677.04**	**$0.00**	**$129,481.77**	**-$52,804.71**	**$0.00**	
Total account holdings					**$76,696.18**	**$76,696.18**	**$0.00**	**$129,481.77**	**-$52,804.71**	**$0.00**	

1 Total cost basis reflects the amount you have invested "out-of-pocket" over time plus any automatically reinvested earnings. Each time a new purchase or a sale is made, your Total cost basis is adjusted accordingly. Total cost basis is not a measure of your initial investment amount, but rather an estimate of the unrealized gain or loss on the securities you have purchased. Total cost basis amounts on your statement are provided for informational purposes only and may be incomplete or unavailable for some of your holdings at this time. For investment performance information contact your financial advisor. For tax preparation, please refer to your year-end tax package and consult your tax advisor.

3 Assets held within your brokerage account are eligible for SIPC coverage through Ameriprise Financial. Assets not held in your brokerage account, such as at a product sponsor or transfer agent, are not eligible for SIPC coverage through Ameriprise Financial.
For more information regarding assets held at a third party, including valuation information, ownership records and SIPC coverage, please read "Assets Held Outside of Your Brokerage Account" found in the Disclosures section of this statement.

5 Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.

7 Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.

The valuation of a non traded REIT, business development company, and other alternative investment is either the initial offering price, or an estimated value (both provided by the issuer, or in limited circumstances, provided by a third party pricing agent). This information is not intended to reflect the value you may realize if the issuer liquidates the security or if you sell your interests. In addition, please note that the Total value of your account includes this estimated value. Please see the Disclosures section of this statement for more information. Non-traded REITs and other direct participation programs are not listed on a securities exchange, are generally illiquid, and even if a client is able to sell the investment, the price received may be less than the per share estimated value provided in the account statement.

* For a period of time up to 150 days following the second anniversary of the breaking of escrow for an offering, a non-traded REIT and/or direct participation program sponsor may use a net investment methodology to calculate a per share estimated value. IMPORTANT - If the net investment methodology is used, part of your distribution will likely include a return of capital. Any distribution that represents a return of capital reduces the estimated per share value shown on your account statement. Please review the non-traded REIT's or direct participation program's most recent annual report and other current periodic report for an explanation of the method by which the value was developed and the date of valuation.

See the Disclosures section of this statement for more information.

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR

DAVID P RICE AND | MARY RICE JT TOD

Account #: 0000 8489 4372 6 133

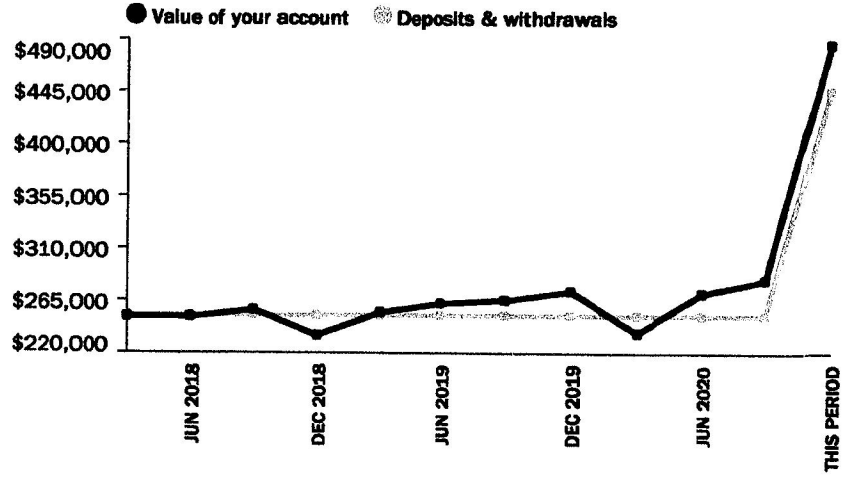
Investment time frame: 8-10 years; **Risk tolerance:** Moderate/Aggressive; **Investment objective:** Growth; **Liquidity needs:** 1-6 Years
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$483,229.94**	**$273,824.43**
Deposits		
Other deposits	$0.00	$193,120.58
Income		
Dividends	$1,103.06	$5,982.77
Interest	$1.66	$4.71
Capital gains	$83.63	$123.17
Foreign withholding	$0.00	-$75.49
Total income	**$1,188.35**	**$6,035.16**
Change in value	**$1,853.33**	**$13,291.45**
Ending value	**$486,271.62**	**$486,271.62**

You selected to pay a consolidated advisory fee which includes Ameriprise Financial Planning Service and a Managed Account service. Consult your tax advisor to determine the deductibility of any fees you pay. If this is an IRA, your fee is paid from the nonqualified account you have specified.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	$39,016.18	8.0%
Mutual funds	$32,671.60	6.7%
ETFs and closed-end funds	$414,583.84	85.3%
Ending value	**$486,271.62**	**100.0%**

Summary of your realized gains and losses

	This period	This year
Investment short term gain	$0.00	$50,194.21
Investment short term loss	$0.00	-$20,100.49
Investment long term gain	$0.00	$9,018.47
Investment long term loss	$0.00	-$6,869.98
Net mutual fund gains and losses	$0.00	$353.86

"Investment" includes all non-mutual fund security types with reportable gain/loss information.
Gain/Loss amounts are not a measure of investment return or performance. These amounts estimate the gain or loss on your securities and are provided for informational purposes only. For investment performance information please contact your financial advisor. For tax purposes, please consult your tax advisor. See the "Estimated Cost Basis footnote" section of this statement for more information.

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR (continued)

Account #: [redacted]

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Cash and equivalents					
Cash	-$155,238.21			$0.00	0.00%
Ameriprise Insured Money Market (AIMMA)[2]	$194,254.39			$19.43	0.01%
American Express National Sandy UT	*$194,254.39*				
Total Cash and equivalents[5]	**$39,016.18**	**$194,887.13**	**-$155,870.95**	**$19.43**	

Description	Symbol/ CUSIP	Quantity	X	Market price	=	Ending value this period[7]	Ending value last period	Net change this period	Total cost basis[1]	Estimated Unrealized gain/loss	Estimated Annual income	Estimated Yield
Mutual funds												
BNY MELLON GLOBAL REAL RETURN CL I	DRRIX	1,971.733		$16.57		$32,671.60	$20,357.42	$12,314.18	$30,346.17	$2,325.44	$712.78	2.18%
ETFs and closed-end funds												
X TRACKERS USD HIGH YIELD CORP BOND ETF	HYLB	469.132		$50.09		$23,498.82	$14,739.08	$8,759.74	$23,024.20	$474.62	$1,227.81	5.23%
ISHARES 7-10YR TREASURY BOND ETF	IEF	110.238		$119.95		$13,223.04	$8,445.11	$4,777.93	$12,628.54	$594.51	$160.61	1.21%
ISHARES MBS ETF	MBB	771.525		$110.13		$84,968.04	$54,263.30	$30,704.74	$84,994.78	-$26.73	$1,868.63	2.20%
ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	IGSB	491.319		$55.17		$27,106.06	$17,268.63	$9,837.43	$27,045.54	$60.53	$674.58	2.49%
ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF	IGLB	381.511		$73.02		$27,857.93	$17,783.86	$10,074.07	$27,436.72	$421.21	$898.38	3.22%
ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	85.421		$116.21		$9,926.77	$6,098.70	$3,828.07	$9,284.65	$642.12	$144.47	1.46%
ISHARES TRUST ESG AWARE USD CORP BOND ETF	SUSC	964.846		$28.34		$27,343.73	$17,454.23	$9,889.50	$26,988.22	$355.52	$634.48	2.32%
SCHWAB SHORT TERM U S TREASURY ETF	SCHO	1,478.641		$51.39		$75,987.36	$48,575.48	$27,411.88	$76,104.06	-$116.70	$968.06	1.27%
CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF	XLY	38.154		$160.78		$6,134.40	$5,988.77	$145.63	$5,670.07	$464.33	$54.75	0.89%
VANGUARD HEALTH CARE ETF	VHT	35.111		$223.73		$7,855.38	$6,038.48	$1,816.90	$7,277.11	$578.27	$89.49	1.14%
VANGUARD INFORMATION TECHNOLOGY ETF	VGT	18.035		$353.79		$6,380.60	$6,035.94	$344.66	$5,556.45	$824.15	$51.83	0.81%
VANGUARD FTSE EMERGING MARKETS ETF	VWO	203.910		$50.11		$10,217.93	$6,140.85	$4,077.08	$9,492.12	$725.81	$247.34	2.42%
VANGUARD LONG TERM TREASURY ETF	VGLT	451.478		$95.86		$43,278.68	$27,976.36	$15,302.32	$44,509.59	-$1,230.91	$815.36	1.88%
VANGUARD MID CAP ETF	VO	75.400		$206.77		$15,590.45	$9,620.78	$5,969.67	$14,149.38	$1,441.08	$229.97	1.48%
VANGUARD TOTAL STOCK MARKET ETF	VTI	180.922		$194.64		$35,214.65	$21,555.82	$13,658.83	$32,598.02	$2,616.64	$519.78	1.48%
Total ETFs and closed-end funds						**$414,583.84**	**$267,985.39**	**$146,598.45**	**$406,759.45**	**$7,824.45**	**$8,585.54**	
Total account holdings						**$486,271.62**	**$483,229.94**	**$3,041.68**	**$437,105.62**	**$10,149.89**	**$9,317.75**	

1 Total cost basis reflects the amount you have invested "out-of-pocket" over time plus any automatically reinvested earnings. Each time a new purchase or a sale is made, your Total cost basis is adjusted accordingly. Total cost basis is not a measure of your initial investment amount, but rather an estimate of the unrealized gain or loss on the securities you have purchased. Total cost basis amounts on your statement are provided for informational purposes only and may be incomplete or unavailable for some of your holdings at this time. For investment performance information contact your financial advisor. For tax preparation, please refer to your year-end tax package and consult your tax advisor.

2 *Ameriprise Insured Money Market Account (AIMMA) deposits are FDIC-insured within applicable limits, are not covered by SIPC and represent direct obligations of the Program Banks. You may opt out of depositing your funds at listed Program Banks as outlined in your Other Important Brokerage Disclosures.*

5 *Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.*

7 *Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.*

See the Disclosures section of this statement for more information.

Your account activity

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/04/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 94.999 DIVIDEND REINVESTMENT	VGLT	0.402		-$38.17
12/07/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.511 DIVIDEND REINVESTMENT	IGLB	0.577		-$41.81
12/07/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.188 DIVIDEND REINVESTMENT	SUSC	0.887		-$25.01
12/07/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.611 DIVIDEND REINVESTMENT	IEF	0.047		-$5.59
12/07/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.020 DIVIDEND REINVESTMENT	IGSB	0.603		-$33.17
12/07/2020	REINVEST DIV	ISHARES MBS ETF REINVEST AT 109.929 DIVIDEND REINVESTMENT	MBB	0.894		-$98.25
12/07/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.390 DIVIDEND REINVESTMENT	SCHO	0.605		-$31.07
12/08/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 49.797 DIVIDEND REINVESTMENT	HYLB	1.289		-$64.21
12/18/2020	REINVEST DIV	ISHARES MSCI USA QUALITY FACTOR ETF REINVEST AT 115.609 DIVIDEND REINVESTMENT	QUAL	0.191		-$22.12
12/22/2020	REINVEST DIV	VANGUARD HEALTH CARE ETF REINVEST AT 221.999 DIVIDEND REINVESTMENT	VHT	0.111		-$24.68
12/22/2020	REINVEST DIV	VANGUARD INFORMATION TECHNOLOGY ETF REINVEST AT 352.146 DIVIDEND REINVESTMENT	VGT	0.035		-$12.42

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/23/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.149 DIVIDEND REINVESTMENT	IGLB	0.614		-$44.29
12/23/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.118 DIVIDEND REINVESTMENT	SUSC	0.940		-$26.42
12/23/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.630 DIVIDEND REINVESTMENT	IEF	0.043		-$5.09
12/23/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.040 DIVIDEND REINVESTMENT	IGSB	0.538		-$29.63
12/23/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.389 DIVIDEND REINVESTMENT	SCHO	0.539		-$27.69
12/24/2020	REINVEST DIV	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF REINVEST AT 157.686 DIVIDEND REINVESTMENT	XLY	0.072		-$11.28
12/24/2020	REINVEST DIV	VANGUARD FTSE EMERGING MARKETS ETF REINVEST AT 49.032 DIVIDEND REINVESTMENT	VWO	0.792		-$38.85
12/29/2020	DIV REIN LT CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.066 DIVIDEND REINVESTMENT	VGLT	0.476		-$45.26
12/29/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.065 DIVIDEND REINVESTMENT	VGLT	0.408		-$38.83
12/29/2020	DIV REIN ST CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.440 DIVIDEND REINVESTMENT	VGLT	0.402		-$38.37
12/30/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 50.002 DIVIDEND REINVESTMENT	HYLB	1.204		-$60.20
12/30/2020	REINVEST DIV	VANGUARD MID CAP ETF REINVEST AT 205.974 DIVIDEND REINVESTMENT	VO	0.219		-$45.16
12/30/2020	REINVEST DIV	VANGUARD TOTAL STOCK MARKET ETF REINVEST AT 193.909 DIVIDEND REINVESTMENT	VTI	0.465		-$90.26
12/31/2020	REINVEST DIV	BNY MELLON GLOBAL REAL RETURN CL I REINVEST AT 16.550	DRRIX	17.454		-$288.86
12/31/2020	PURCHASE	BNY MELLON GLOBAL REAL RETURN CL I	DRRIX	703.823	$16.5700	-$11,662.34
12/31/2020	PURCHASE	X TRACKERS USD HIGH YIELD CORP BOND ETF	HYLB	169.000	$50.0766	-$8,462.94
12/31/2020	PURCHASE	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF	IGLB	138.000	$72.9925	-$10,072.97
12/31/2020	PURCHASE	ISHARES TRUST ESG AWARE USD CORP BOND ETF	SUSC	348.000	$28.3466	-$9,864.62
12/31/2020	PURCHASE	ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	31.000	$115.3696	-$3,576.46
12/31/2020	PURCHASE	ISHARES 7-10YR TREASURY BOND ETF	IEF	40.000	$119.9666	-$4,798.66

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/31/2020	PURCHASE	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	IGSB	177.000	$55.1575	-$9,762.88
12/31/2020	PURCHASE	ISHARES MBS ETF	MBB	278.000	$110.1289	-$30,615.83
12/31/2020	PURCHASE	SCHWAB SHORT TERM U S TREASURY ETF	SCHO	533.000	$51.3966	-$27,394.39
12/31/2020	PURCHASE	VANGUARD HEALTH CARE ETF	VHT	7.000	$221.5294	-$1,550.71
12/31/2020	PURCHASE	VANGUARD FTSE EMERGING MARKETS ETF	VWO	74.000	$49.9962	-$3,699.72
12/31/2020	PURCHASE	VANGUARD MID CAP ETF	VO	27.000	$205.9430	-$5,560.46
12/31/2020	PURCHASE	VANGUARD LONG TERM TREASURY ETF	VGLT	163.000	$95.8900	-$15,630.07
12/31/2020	PURCHASE	VANGUARD TOTAL STOCK MARKET ETF	VTI	65.000	$193.6332	-$12,586.16
Total Securities purchased						**-$156,424.90**
Income						
12/04/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 120420 286.79000	VGLT			$38.17
12/07/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 120720 242.32000	IGLB			$41.81
12/07/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 120720 615.01900	SUSC			$25.01
12/07/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 120720 70.14800	IEF			$5.59
12/07/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 120720 313.17800	IGSB			$33.17
12/07/2020	DIVIDEND	ISHARES MBS ETF 120720 492.63100	MBB			$98.25
12/07/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 120720 944.49700	SCHO			$31.07
12/08/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 120820 297.63900	HYLB			$64.21
12/18/2020	DIVIDEND	ISHARES MSCI USA QUALITY FACTOR ETF 121820 54.23000	QUAL			$22.12
12/22/2020	DIVIDEND	VANGUARD HEALTH CARE ETF 122220 28	VHT			$24.68
12/22/2020	DIVIDEND	VANGUARD INFORMATION TECHNOLOGY ETF 122220 18	VGT			$12.42
12/23/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 122320 242.89700	IGLB			$44.29

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Income						
12/23/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 122320 615.90600	SUSC			$26.42
12/23/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 122320 70.19500	IEF			$5.09
12/23/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 122320 313.78100	IGSB			$29.63
12/23/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 122320 945.10200	SCHO			$27.69
12/24/2020	DIVIDEND	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF 122420 38.08200	XLY			$11.28
12/24/2020	DIVIDEND	VANGUARD FTSE EMERGING MARKETS ETF 122420 129.11800	VWO			$38.85
12/29/2020	SHORT TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 287.19200	VGLT			$38.37
12/29/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 122920 287.19200	VGLT			$38.83
12/29/2020	LONG TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 287.19200	VGLT			$45.26
12/30/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 123020 298.92800	HYLB			$60.20
12/30/2020	DIVIDEND	VANGUARD MID CAP ETF 123020 48.18100	VO			$45.16
12/30/2020	DIVIDEND	VANGUARD TOTAL STOCK MARKET ETF 123020 115.45700	VTI			$90.26
12/30/2020	DIVIDEND	BNY MELLON GLOBAL REAL RETURN CL I 123020 1,250.45600	DRRIX			$288.86
12/31/2020	INTEREST	AMERIPRISE INSURED MONEY MARKET NOT SIPC INSURED - FDIC 123120 194,254 APYE .01%				$1.66
Total Income						**$1,188.35**
Fees						
12/14/2020	FEE	ASSET-BASED BILL VAL 483,229.94 12/01/20 THRU 12/31/20				-$634.40

Asset-based fee rate changes are communicated separately, contact your financial advisor for current account fee rate information.

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Other activity						
12/31/2020	INTEREST REINVEST	AMERIPRISE INSURED MONEY MARKET NOT SIPC INSURED - FDIC				-$1.66

An investment in money market funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Fund seeks to maintain the value of your investment at $1.00 per share, it is possible to lose money by investing in the Fund.

Your cash sweep activity

Date	Transaction	Description	Amount
12/14/2020	SALE	AMERIPRISE INSURED MONEY MARKET NOT SIPC INSURED - FDIC	$634.40

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR

Account #: [redacted]
Plan ID: 0004 305 644

AMERIPRISE TRUST COMPANY | C/O DAVID P RICE | AS CUSTD FOR THE DAVID P RICE IRA

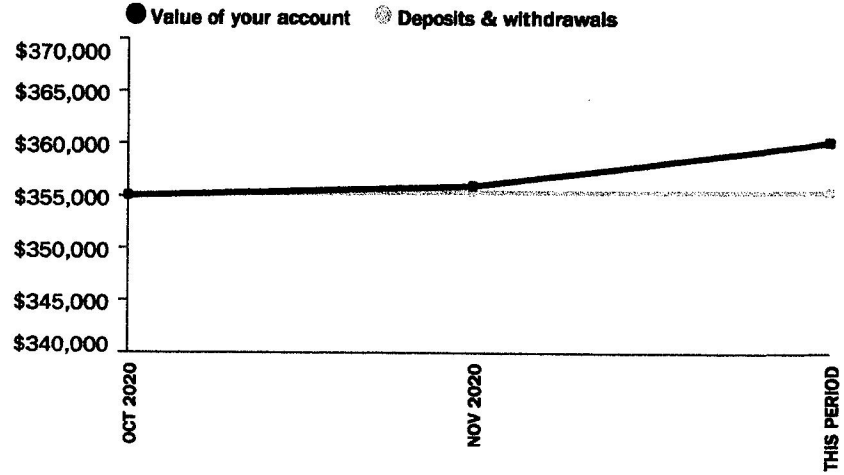
Investment time frame: 8-10 years; **Risk tolerance:** Moderate/Aggressive; **Investment objective:** Growth; **Liquidity needs:** 1-6 Years
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$356,066.87**	**$0.00**
Deposits		
Other deposits	$0.00	$355,436.12
Income		
Dividends	$1,382.06	$1,382.06
Interest	$0.03	$2.55
Capital gains	$83.40	$83.40
Total income	**$1,465.49**	**$1,468.01**
Change in value	**$2,702.93**	**$3,331.16**
Ending value	**$360,235.29**	**$360,235.29**

Important information for IRA Clients: If you are an IRA client, the interest and dividends described on this statement may not be taxable to you. In general, distributions from your IRA will be taxed as ordinary income for the year in which the distribution occurs.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	$4,643.02	1.3%
Mutual funds	$24,822.05	6.9%
ETFs and closed-end funds	$330,770.22	91.8%
Ending value	**$360,235.29**	**100.0%**

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Cash and equivalents					
Cash	$346.04			$0.00	0.00%
Ameriprise Bank Insured Sweep Account (ABISA)[2]	$4,296.98			$0.30	0.01%
Ameriprise Bank FSB Minneapolis MN	*$4,296.98*				
Total Cash and equivalents[5]	**$4,643.02**	**$4,764.40**	**-$121.38**	**$0.30**	

Description	Symbol/ CUSIP	Quantity	X Market price	Ending value = this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Mutual funds								
BNY MELLON GLOBAL REAL RETURN CL I	DRRIX	1,498.012	$16.57	$24,822.05	$24,387.63	$434.42	$541.53	2.18%
ETFs and closed-end funds								
X TRACKERS USD HIGH YIELD CORP BOND ETF	HYLB	358.982	$50.09	$17,981.40	$17,629.12	$352.28	$939.52	5.23%
ISHARES 7-10YR TREASURY BOND ETF	IEF	117.149	$119.95	$14,052.02	$14,085.63	-$33.61	$170.68	1.21%
ISHARES MBS ETF	MBB	607.099	$110.13	$66,859.81	$66,750.90	$108.91	$1,470.39	2.20%
ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	IGSB	384.395	$55.17	$21,207.07	$21,118.62	$88.45	$527.77	2.49%
ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF	IGLB	290.420	$73.02	$21,206.46	$21,209.71	-$3.25	$683.88	3.22%
ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	158.557	$116.21	$18,425.90	$17,768.68	$657.22	$268.16	1.46%
ISHARES TRUST ESG AWARE USD CORP BOND ETF	SUSC	748.216	$28.34	$21,204.44	$21,171.48	$32.96	$492.02	2.32%
SCHWAB SHORT TERM U S TREASURY ETF	SCHO	1,095.324	$51.39	$56,288.70	$56,264.42	$24.28	$717.10	1.27%
CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF	XLY	46.086	$160.78	$7,409.70	$7,233.96	$175.74	$66.13	0.89%
VANGUARD TOTAL INTL STOCK INDEX FUND ETF	VXUS	123.213	$60.16	$7,412.49	$7,002.80	$409.69	$163.25	2.20%
VANGUARD INFORMATION TECHNOLOGY ETF	VGT	18.035	$353.79	$6,380.60	$6,035.94	$344.66	$51.83	0.81%
VANGUARD FTSE EMERGING MARKETS ETF	VWO	146.896	$50.11	$7,360.95	$6,943.76	$417.19	$178.18	2.42%
VANGUARD LONG TERM TREASURY ETF	VGLT	287.684	$95.86	$27,577.38	$27,899.30	-$321.92	$519.55	1.88%
VANGUARD MID CAP ETF	VO	54.246	$206.77	$11,216.44	$10,782.72	$433.72	$165.45	1.48%
VANGUARD TOTAL STOCK MARKET ETF	VTI	134.540	$194.64	$26,186.86	$25,017.80	$1,169.06	$386.53	1.48%
Total ETFs and closed-end funds				**$330,770.22**	**$326,914.84**	**$3,855.38**	**$6,800.44**	
Total account holdings				**$360,235.29**	**$356,066.87**	**$4,168.42**	**$7,342.27**	

2 Ameriprise Bank Insured Sweep Account (ABISA) deposits are FDIC-insured within applicable limits, represent direct obligations of the Program Bank, and are not covered by SIPC.

5 Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.

7 Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.

See the Disclosures section of this statement for more information.

Your account activity

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/04/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 94.999 DIVIDEND REINVESTMENT	VGLT	0.401		-$38.07
12/07/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.511 DIVIDEND REINVESTMENT	IGLB	0.688		-$49.86
12/07/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.188 DIVIDEND REINVESTMENT	SUSC	1.076		-$30.34
12/07/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.611 DIVIDEND REINVESTMENT	IEF	0.078		-$9.33
12/07/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.020 DIVIDEND REINVESTMENT	IGSB	0.737		-$40.56
12/07/2020	REINVEST DIV	ISHARES MBS ETF REINVEST AT 109.929 DIVIDEND REINVESTMENT	MBB	1.099		-$120.86
12/07/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.390 DIVIDEND REINVESTMENT	SCHO	0.700		-$35.99
12/08/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 49.797 DIVIDEND REINVESTMENT	HYLB	1.542		-$76.80
12/18/2020	REINVEST DIV	ISHARES MSCI USA QUALITY FACTOR ETF REINVEST AT 115.609 DIVIDEND REINVESTMENT	QUAL	0.557		-$64.44
12/22/2020	REINVEST DIV	VANGUARD INFORMATION TECHNOLOGY ETF REINVEST AT 352.146 DIVIDEND REINVESTMENT	VGT	0.035		-$12.42
12/23/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.149 DIVIDEND REINVESTMENT	IGLB	0.732		-$52.83
12/23/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.118 DIVIDEND REINVESTMENT	SUSC	1.140		-$32.05
12/23/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.630 DIVIDEND REINVESTMENT	IEF	0.071		-$8.49
12/23/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.040 DIVIDEND REINVESTMENT	IGSB	0.658		-$36.24

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/23/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.389 DIVIDEND REINVESTMENT	SCHO	0.624		-$32.07
12/24/2020	REINVEST DIV	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF REINVEST AT 157.686 DIVIDEND REINVESTMENT	XLY	0.086		-$13.62
12/24/2020	REINVEST DIV	VANGUARD TOTAL INTL STOCK INDEX FUND ETF REINVEST AT 59.357 DIVIDEND REINVESTMENT	VXUS	1.213		-$72.02
12/24/2020	REINVEST DIV	VANGUARD FTSE EMERGING MARKETS ETF REINVEST AT 49.032 DIVIDEND REINVESTMENT	VWO	0.896		-$43.93
12/29/2020	DIV REIN LT CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.066 DIVIDEND REINVESTMENT	VGLT	0.475		-$45.14
12/29/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.065 DIVIDEND REINVESTMENT	VGLT	0.407		-$38.72
12/29/2020	DIV REIN ST CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.440 DIVIDEND REINVESTMENT	VGLT	0.401		-$38.26
12/30/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 50.002 DIVIDEND REINVESTMENT	HYLB	1.440		-$72.01
12/30/2020	REINVEST DIV	VANGUARD MID CAP ETF REINVEST AT 205.974 DIVIDEND REINVESTMENT	VO	0.246		-$50.61
12/30/2020	REINVEST DIV	VANGUARD TOTAL STOCK MARKET ETF REINVEST AT 193.909 DIVIDEND REINVESTMENT	VTI	0.540		-$104.76
12/31/2020	INTEREST REINVEST	AMERIPRISE BANK INSURED SWEEP ACCT-FDIC NOT SIPC INSURED				-$0.03
Total Securities purchased						**-$1,119.45**
Income						
12/04/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 120420 286	VGLT			$38.07
12/07/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 120720 289	IGLB			$49.86
12/07/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 120720 746	SUSC			$30.34
12/07/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 120720 117	IEF			$9.33
12/07/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 120720 383	IGSB			$40.56
12/07/2020	DIVIDEND	ISHARES MBS ETF 120720 606	MBB			$120.86

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Income						
12/07/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 120720 1,094	SCHO			$35.99
12/08/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 120820 356	HYLB			$76.80
12/18/2020	DIVIDEND	ISHARES MSCI USA QUALITY FACTOR ETF 121820 158	QUAL			$64.44
12/22/2020	DIVIDEND	VANGUARD INFORMATION TECHNOLOGY ETF 122220 18	VGT			$12.42
12/23/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 122320 289.68800	IGLB			$52.83
12/23/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 122320 747.07600	SUSC			$32.05
12/23/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 122320 117.07800	IEF			$8.49
12/23/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 122320 383.73700	IGSB			$36.24
12/23/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 122320 1,094.70000	SCHO			$32.07
12/24/2020	DIVIDEND	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF 122420 46	XLY			$13.62
12/24/2020	DIVIDEND	VANGUARD TOTAL INTL STOCK INDEX FUND ETF 122420 122	VXUS			$72.02
12/24/2020	DIVIDEND	VANGUARD FTSE EMERGING MARKETS ETF 122420 146	VWO			$43.93
12/29/2020	SHORT TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 286.40100	VGLT			$38.26
12/29/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 122920 286.40100	VGLT			$38.72
12/29/2020	LONG TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 286.40100	VGLT			$45.14
12/30/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 123020 357.54200	HYLB			$72.01
12/30/2020	DIVIDEND	VANGUARD MID CAP ETF 123020 54	VO			$50.61
12/30/2020	DIVIDEND	VANGUARD TOTAL STOCK MARKET ETF 123020 134	VTI			$104.76

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Income						
12/30/2020	DIVIDEND	BNY MELLON GLOBAL REAL RETURN CL I 123020 1,498.01200	DRRIX			$346.04
12/31/2020	INTEREST	AMERIPRISE BANK INSURED SWEEP ACCT-FDIC NOT SIPC INSURED 123120 4,296 APYE .00%				$0.03
Total Income						**$1,465.49**
Fees						
12/14/2020	FEE	ASSET-BASED BILL VAL 356,066.87 12/01/20 THRU 12/31/20				-$467.45

Asset-based fee rate changes are communicated separately, contact your financial advisor for current account fee rate information.

An investment in money market funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Fund seeks to maintain the value of your investment at $1.00 per share, it is possible to lose money by investing in the Fund.

Your cash sweep activity

Date	Transaction	Description	Amount
12/14/2020	SALE	AMERIPRISE BANK INSURED SWEEP ACCT-FDIC NOT SIPC INSURED	$467.45

Ameriprise Brokerage Account

Account #: [redacted]
Plan ID: 0004 305 645

AMERIPRISE TRUST COMPANY | C/O DAVID P RICE | AS CUSTD FOR THE DAVID P RICE | ROTH CONTRIBUTORY IRA

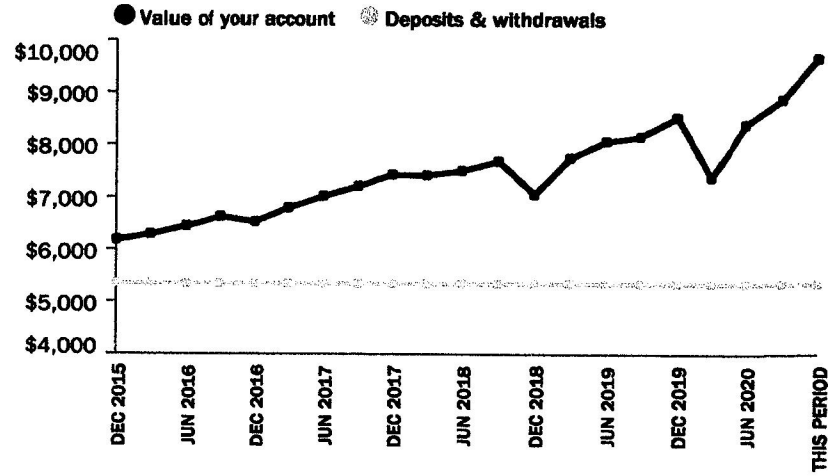
Investment time frame: 11+ years; **Risk tolerance:** Moderate; **Investment objective:** Tax Considerations, Growth; **Liquidity needs:** Information still needed
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$9,380.02**	**$8,543.96**
Income		
Dividends	$64.32	$103.35
Capital gains	$231.19	$231.19
Total income	**$295.51**	**$334.54**
Change in value	**$1.63**	**$798.66**
Ending value	**$9,677.16**	**$9,677.16**

Important information for IRA Clients: If you are an IRA client, the interest and dividends described on this statement may not be taxable to you. In general, distributions from your IRA will be taxed as ordinary income for the year in which the distribution occurs.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	-$5.96	0.0%
Mutual funds	$9,683.12	100.0%
Ending value	**$9,677.16**	**100.0%**

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Cash and equivalents					
Cash	-$5.96			$0.00	0.00%
Total Cash and equivalents [5]	**-$5.96**	**-$5.96**	**$0.00**	**$0.00**	

Ameriprise Brokerage Account (continued)

Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X Market price	Ending value = this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Mutual funds								
MFS MODERATE ALLOC CL A	MAMAX	475.829	$20.35	$9,683.12	$9,385.98	$297.14	$154.31	1.59%
Total account holdings				**$9,677.16**	**$9,380.02**	**$297.14**	**$154.31**	

5 *Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.*

7 *Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.*

See the Disclosures section of this statement for more information.

Your account activity

Date	Transaction	Description		Symbol/ CUSIP	Quantity	Price	Amount
Trade activity							
Securities purchased							
12/30/2020	DIV REIN LT CAP GAIN	MFS MODERATE ALLOC CL A REINVEST AT	20.240	MAMAX	10.239		-$207.23
12/30/2020	REINVEST DIV	MFS MODERATE ALLOC CL A REINVEST AT	20.240	MAMAX	3.178		-$64.32
12/30/2020	DIV REIN ST CAP GAIN	MFS MODERATE ALLOC CL A REINVEST AT	20.240	MAMAX	1.184		-$23.96
Total Securities purchased							**-$295.51**
Income							
12/30/2020	SHORT TERM CAP GAIN	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX			$23.96
12/30/2020	LONG TERM CAP GAIN	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX			$207.23
12/30/2020	DIVIDEND	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX			$64.32
Total Income							**$295.51**

AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR

Account #: [redacted]
Plan ID: 0004 968 005

AMERIPRISE TRUST COMPANY | C/O MARY RICE | AS CUSTD FOR THE MARY RICE IRA

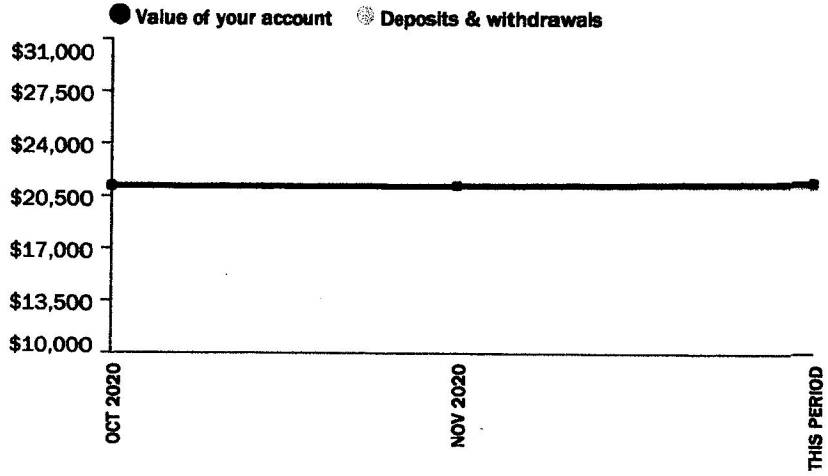
Investment time frame: 8-10 years; **Risk tolerance:** Moderate/Aggressive; **Investment objective:** Growth; **Liquidity needs:** 1-6 Years
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$21,259.69**	**$0.00**
Deposits		
Other deposits	$0.00	$21,232.67
Income		
Dividends	$82.26	$82.26
Interest	$0.00	$0.15
Capital gains	$4.95	$4.95
Total income	**$87.21**	**$87.36**
Change in value	**$142.54**	**$169.41**
Ending value	**$21,489.44**	**$21,489.44**

Important information for IRA Clients: If you are an IRA client, the interest and dividends described on this statement may not be taxable to you. In general, distributions from your IRA will be taxed as ordinary income for the year in which the distribution occurs.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	$588.56	2.7%
Mutual funds	$1,482.79	6.9%
ETFs and closed-end funds	$19,418.09	90.4%
Ending value	**$21,489.44**	**100.0%**

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Cash and equivalents					
Cash	$20.67			$0.00	0.00%
Ameriprise Bank Insured Sweep Account (ABISA)[2]	$567.89			$0.00	0.00%
Ameriprise Bank FSB Minneapolis MN	*$567.89*				
Total Cash and equivalents[5]	**$588.56**	**$595.80**	**-$7.24**	**$0.00**	

Description	Symbol/ CUSIP	Quantity	X Market price	Ending value = this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Mutual funds								
BNY MELLON GLOBAL REAL RETURN CL I	DRRIX	89.487	$16.57	$1,482.79	$1,456.84	$25.95	$32.34	2.18%
ETFs and closed-end funds								
X TRACKERS USD HIGH YIELD CORP BOND ETF	HYLB	22.184	$50.09	$1,111.19	$1,089.44	$21.75	$58.05	5.23%
ISHARES 7-10YR TREASURY BOND ETF	IEF	7.009	$119.95	$840.72	$842.73	-$2.01	$10.21	1.21%
ISHARES MBS ETF	MBB	36.065	$110.13	$3,971.83	$3,965.40	$6.43	$87.34	2.20%
ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF	IGSB	23.084	$55.17	$1,273.54	$1,268.22	$5.32	$31.69	2.49%
ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF	IGLB	17.083	$73.02	$1,247.40	$1,247.63	-$0.23	$40.22	3.22%
ISHARES MSCI USA QUALITY FACTOR ETF	QUAL	9.032	$116.21	$1,049.60	$1,012.14	$37.46	$15.27	1.46%
ISHARES TRUST ESG AWARE USD CORP BOND ETF	SUSC	45.134	$28.34	$1,279.09	$1,277.10	$1.99	$29.68	2.32%
SCHWAB SHORT TERM U S TREASURY ETF	SCHO	66.080	$51.39	$3,395.85	$3,394.38	$1.47	$43.26	1.27%
CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF	XLY	3.006	$160.78	$483.30	$471.78	$11.52	$4.31	0.89%
VANGUARD TOTAL INTL STOCK INDEX FUND ETF	VXUS	8.080	$60.16	$486.09	$459.20	$26.89	$10.70	2.20%
VANGUARD FTSE EMERGING MARKETS ETF	VWO	9.055	$50.11	$453.74	$428.04	$25.70	$10.98	2.42%
VANGUARD LONG TERM TREASURY ETF	VGLT	17.100	$95.86	$1,639.20	$1,658.35	-$19.15	$30.88	1.88%
VANGUARD MID CAP ETF	VO	3.014	$206.77	$623.20	$599.04	$24.16	$9.19	1.48%
VANGUARD TOTAL STOCK MARKET ETF	VTI	8.032	$194.64	$1,563.34	$1,493.60	$69.74	$23.07	1.48%
Total ETFs and closed-end funds				**$19,418.09**	**$19,207.05**	**$211.04**	**$404.85**	
Total account holdings				**$21,489.44**	**$21,259.69**	**$229.75**	**$437.19**	

2 Ameriprise Bank Insured Sweep Account (ABISA) deposits are FDIC-insured within applicable limits, represent direct obligations of the Program Bank, and are not covered by SIPC.

5 *Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.*

7 *Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.*

See the Disclosures section of this statement for more information.

Your account activity

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/04/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 94.999 DIVIDEND REINVESTMENT	VGLT	0.024		-$2.26
12/07/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.511 DIVIDEND REINVESTMENT	IGLB	0.040		-$2.93
12/07/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.188 DIVIDEND REINVESTMENT	SUSC	0.065		-$1.83
12/07/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.611 DIVIDEND REINVESTMENT	IEF	0.005		-$0.56
12/07/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.020 DIVIDEND REINVESTMENT	IGSB	0.044		-$2.44
12/07/2020	REINVEST DIV	ISHARES MBS ETF REINVEST AT 109.929 DIVIDEND REINVESTMENT	MBB	0.065		-$7.18
12/07/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.390 DIVIDEND REINVESTMENT	SCHO	0.042		-$2.17
12/08/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 49.797 DIVIDEND REINVESTMENT	HYLB	0.095		-$4.75
12/18/2020	REINVEST DIV	ISHARES MSCI USA QUALITY FACTOR ETF REINVEST AT 115.609 DIVIDEND REINVESTMENT	QUAL	0.032		-$3.67
12/23/2020	REINVEST DIV	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 72.149 DIVIDEND REINVESTMENT	IGLB	0.043		-$3.11
12/23/2020	REINVEST DIV	ISHARES TRUST ESG AWARE USD CORP BOND ETF REINVEST AT 28.118 DIVIDEND REINVESTMENT	SUSC	0.069		-$1.93
12/23/2020	REINVEST DIV	ISHARES 7-10YR TREASURY BOND ETF REINVEST AT 119.630 DIVIDEND REINVESTMENT	IEF	0.004		-$0.51
12/23/2020	REINVEST DIV	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF REINVEST AT 55.040 DIVIDEND REINVESTMENT	IGSB	0.040		-$2.18
12/23/2020	REINVEST DIV	SCHWAB SHORT TERM U S TREASURY ETF REINVEST AT 51.389 DIVIDEND REINVESTMENT	SCHO	0.038		-$1.94

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/24/2020	REINVEST DIV	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF REINVEST AT 157.686 DIVIDEND REINVESTMENT	XLY	0.006		-$0.89
12/24/2020	REINVEST DIV	VANGUARD TOTAL INTL STOCK INDEX FUND ETF REINVEST AT 59.357 DIVIDEND REINVESTMENT	VXUS	0.080		-$4.72
12/24/2020	REINVEST DIV	VANGUARD FTSE EMERGING MARKETS ETF REINVEST AT 49.032 DIVIDEND REINVESTMENT	VWO	0.055		-$2.71
12/29/2020	DIV REIN LT CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.066 DIVIDEND REINVESTMENT	VGLT	0.028		-$2.68
12/29/2020	DIV REIN ST CAP GAIN	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.440 DIVIDEND REINVESTMENT	VGLT	0.024		-$2.27
12/29/2020	REINVEST DIV	VANGUARD LONG TERM TREASURY ETF REINVEST AT 95.065 DIVIDEND REINVESTMENT	VGLT	0.024		-$2.30
12/30/2020	REINVEST DIV	X TRACKERS USD HIGH YIELD CORP BOND ETF REINVEST AT 50.002 DIVIDEND REINVESTMENT	HYLB	0.089		-$4.45
12/30/2020	REINVEST DIV	VANGUARD MID CAP ETF REINVEST AT 205.974 DIVIDEND REINVESTMENT	VO	0.014		-$2.81
12/30/2020	REINVEST DIV	VANGUARD TOTAL STOCK MARKET ETF REINVEST AT 193.909 DIVIDEND REINVESTMENT	VTI	0.032		-$6.25
Total Securities purchased						**-$66.54**
Income						
12/04/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 120420 17	VGLT			$2.26
12/07/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 120720 17	IGLB			$2.93
12/07/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 120720 45	SUSC			$1.83
12/07/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 120720 7	IEF			$0.56
12/07/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 120720 23	IGSB			$2.44
12/07/2020	DIVIDEND	ISHARES MBS ETF 120720 36	MBB			$7.18
12/07/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 120720 66	SCHO			$2.17
12/08/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 120820 22	HYLB			$4.75

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Income						
12/18/2020	DIVIDEND	ISHARES MSCI USA QUALITY FACTOR ETF 121820 9	QUAL			$3.67
12/23/2020	DIVIDEND	ISHARES 10+ YEAR INVESTMENT GRADE CORP BOND ETF 122320 17.04000	IGLB			$3.11
12/23/2020	DIVIDEND	ISHARES TRUST ESG AWARE USD CORP BOND ETF 122320 45.06500	SUSC			$1.93
12/23/2020	DIVIDEND	ISHARES 7-10YR TREASURY BOND ETF 122320 7.00500	IEF			$0.51
12/23/2020	DIVIDEND	ISHARES 1-5 YEAR INVESTMENT GRADE CORP BOND ETF 122320 23.04400	IGSB			$2.18
12/23/2020	DIVIDEND	SCHWAB SHORT TERM U S TREASURY ETF 122320 66.04200	SCHO			$1.94
12/24/2020	DIVIDEND	CONSUMER DISCRETIONARY SELECT SECTOR SPDR ETF ETF 122420 3	XLY			$0.89
12/24/2020	DIVIDEND	VANGUARD TOTAL INTL STOCK INDEX FUND ETF 122420 8	VXUS			$4.72
12/24/2020	DIVIDEND	VANGUARD FTSE EMERGING MARKETS ETF 122420 9	VWO			$2.71
12/29/2020	SHORT TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 17.02400	VGLT			$2.27
12/29/2020	DIVIDEND	VANGUARD LONG TERM TREASURY ETF 122920 17.02400	VGLT			$2.30
12/29/2020	LONG TERM CAP GAIN	VANGUARD LONG TERM TREASURY ETF 122920 17.02400	VGLT			$2.68
12/30/2020	DIVIDEND	X TRACKERS USD HIGH YIELD CORP BOND ETF 123020 22.09500	HYLB			$4.45
12/30/2020	DIVIDEND	VANGUARD MID CAP ETF 123020 3	VO			$2.81
12/30/2020	DIVIDEND	VANGUARD TOTAL STOCK MARKET ETF 123020 8	VTI			$6.25
12/30/2020	DIVIDEND	BNY MELLON GLOBAL REAL RETURN CL I 123020 89.48700	DRRIX			$20.67
Total Income						**$87.21**

Your account activity - continued

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Fees						
12/14/2020	FEE	ASSET-BASED BILL VAL 21,259.69 12/01/20 THRU 12/31/20				-$27.91

Asset-based fee rate changes are communicated separately, contact your financial advisor for current account fee rate information.

An investment in money market funds is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Fund seeks to maintain the value of your investment at $1.00 per share, it is possible to lose money by investing in the Fund.

Your cash sweep activity

Date	Transaction	Description	Amount
12/14/2020	SALE	AMERIPRISE BANK INSURED SWEEP ACCT-FDIC NOT SIPC INSURED	$27.91

Ameriprise Brokerage Account

Account #: [redacted]
Plan ID: 0004 305 648

AMERIPRISE TRUST COMPANY | C/O MARY RICE | AS CUSTD FOR THE MARY RICE | ROTH CONTRIBUTORY IRA

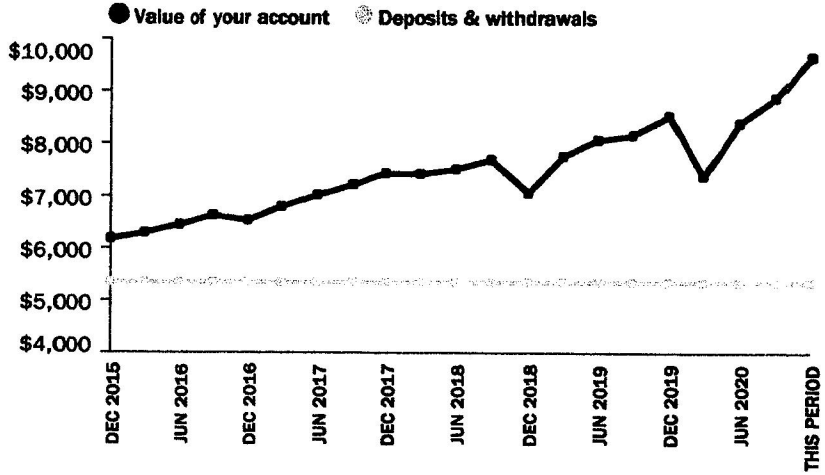
Investment time frame: 11+ years; **Risk tolerance:** Moderate; **Investment objective:** Tax Considerations, Growth; **Liquidity needs:** Information still needed
See the Disclosures at the end of your statement for definitions of these suitability terms.

Value of your account

	This period	This year
Beginning value	**$9,380.02**	**$8,543.96**
Income		
Dividends	$64.32	$103.35
Capital gains	$231.19	$231.19
Total income	**$295.51**	**$334.54**
Change in value	**$1.63**	**$798.66**
Ending value	**$9,677.16**	**$9,677.16**

Important information for IRA Clients: If you are an IRA client, the interest and dividends described on this statement may not be taxable to you. In general, distributions from your IRA will be taxed as ordinary income for the year in which the distribution occurs.

Value of your account over time



Summary of your holdings

Asset	Value of assets	Percent of account
Cash and equivalents	-$5.96	0.0%
Mutual funds	$9,683.12	100.0%
Ending value	**$9,677.16**	**100.0%**

Your holdings

Description	Ending value this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Cash and equivalents					
Cash	-$5.96			$0.00	0.00%
Total Cash and equivalents [5]	**-$5.96**	**-$5.96**	**$0.00**	**$0.00**	

Ameriprise Brokerage Account (continued)

Account #: [redacted]

Your holdings - continued

Description	Symbol/ CUSIP	Quantity	X Market price	Ending value = this period[7]	Ending value last period	Net change this period	Estimated Annual income	Estimated Yield
Mutual funds								
MFS MODERATE ALLOC CL A	MAMAX	475.829	$20.35	$9,683.12	$9,385.98	$297.14	$154.31	1.59%
Total account holdings				**$9,677.16**	**$9,380.02**	**$297.14**	**$154.31**	

5 Any balances held in AIMMA, ABISA or a money market mutual fund serving as your sweep account can be liquidated at your request and the proceeds held as cash in the account or remitted to you per your instructions.

7 Ending value amounts for Your holdings represent information posted as of trade date and thus may include transactions that have not settled as of the date of this statement. Total Value is derived from both assets held by the firm in your account, as well as assets held away which is reported for convenience purposes.

See the Disclosures section of this statement for more information.

Your account activity

Date	Transaction	Description	Symbol/ CUSIP	Quantity	Price	Amount
Trade activity						
Securities purchased						
12/30/2020	DIV REIN LT CAP GAIN	MFS MODERATE ALLOC CL A REINVEST AT 20.240	MAMAX	10.239		-$207.23
12/30/2020	REINVEST DIV	MFS MODERATE ALLOC CL A REINVEST AT 20.240	MAMAX	3.178		-$64.32
12/30/2020	DIV REIN ST CAP GAIN	MFS MODERATE ALLOC CL A REINVEST AT 20.240	MAMAX	1.184		-$23.96
Total Securities purchased						**-$295.51**
Income						
12/30/2020	SHORT TERM CAP GAIN	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX		$23.96
12/30/2020	LONG TERM CAP GAIN	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX		$207.23
12/30/2020	DIVIDEND	MFS MODERATE ALLOC CL A 123020	461.22800	MAMAX		$64.32
Total Income						**$295.51**

for Infinex Investments Inc. clearing through
Pershing LLC. 800-987-9299
Last Login: Dec 16, 2020 8:57 PM (ET)

NETX INVESTOR

Viewing: **RI - H5E113395** In USD

Summary

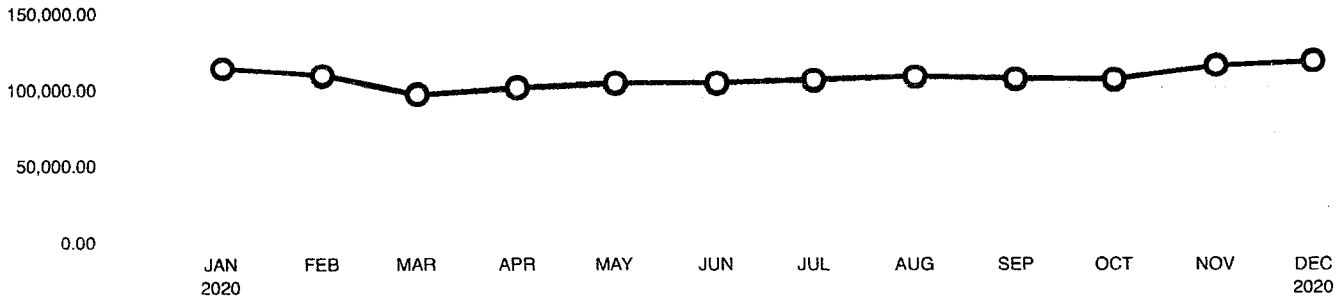
TOTAL MARKET VALUE
119,087.57

VALUE AT 12/31/2020
MONTH TO DATE CHANGE
117,114.45
1,973.12 (1.68%)

VALUE AT 12/31/2020
YEAR TO DATE CHANGE
117,114.45
1,973.12 (1.68%)

Quote data displayed per exchange agreement.*
Mutual Fund prices as of previous business close.

As of 12:56 PM ET 01/12/2021



Values in the "Total Market value", "Month to date change" and "Year to Date change" columns are calculated as of close of previous business day. For a holding with intraday activity such as a purchase or sale, these values may not accurately reflect the effect of market fluctuations on the account in which it is held.