FORM 6 — FULL AND PUBLIC DISCLOSURE OF FINANCIAL INTERESTS — 2018

Please print or type your name, mailing address, agency name, and position below:

FOR OFFICE USE ONLY: 4139

FLORIDA COMMISSION ON ETHICS

AUG 15 2019

RECEIVED

PROCESSED

HAND DELIVERED

LAST NAME — FIRST NAME — MIDDLE NAME:
Hon. Thomas (Tom) Lee

MAILING ADDRESS:
P.O. Box 2743

CONFIDENTIAL

CITY: Brandon | ZIP: 33509 | COUNTY: Hillsborough

NAME OF AGENCY:
Florida Senate

NAME OF OFFICE OR POSITION HELD OR SOUGHT:
State Senator, District 20

CHECK IF THIS IS A FILING BY A CANDIDATE ☐

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2018 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]

My net worth as of March 31, , 20 19 was $ 4,870,735.00 .

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds $1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is $ 70,000.00

ASSETS INDIVIDUALLY VALUED AT OVER $1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)	VALUE OF ASSET
SEE ATTACHED SCHEDULES "A" and "A-1"	$ 5,720,875.00

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF $1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
SEE ATTACHED SCHEDULES "A"	$ 850,140.00

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
N/A	

(Continued on reverse side)

PART D -- INCOME

Identify each separate source and amount of income which exceeded $1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2018 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

☐ I elect to file a copy of my 2018 federal income tax return and all W2's, schedules, and attachments.
[If you check this box and attach a copy of your 2018 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING $1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
SEE ATTACHED SCHEDULE "B"		$ 560,495.00

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
	SEE ATTACHED	SCHEDULE "C"	

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY	N/A		
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

For officers required to complete annual ethics training pursuant to section 112.3142, F.S.

☑ **I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.**

OATH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE

STATE OF FLORIDA
COUNTY OF Hillsborough

Sworn to (or affirmed) and subscribed before me this 13th day of August, 2019 by Tom Lee.

(Signature of Notary Public--State of Florida)

(Print, Type, or Stamp Commissioned Name of Notary Public)

Personally Known ✓ OR Produced Identification #FF 916644

Type of Identification Produced _____

CATHERINE MARIE THOMPSON MY COMMISSION EXPIRES January 8, 2020 NOTARY PUBLIC STATE OF FLORIDA Bonded thru Notary Public Underwriters

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Signature _____ Date _____

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☑

Schedule "A"

TOM LEE

as of March 31, 2019

ASSETS:

Bank of Tampa- Checking (PO Box One Tampa, FL 33601)	$ 42,015.00	
Suntrust Bank- Checking (403 W. Brandon Blvd., Brandon, FL 33511)	4,995.00	
Valley National- Checking (668 Oakfield Dr., Brandon, FL 33511)	118,000.00	
Ameritrade Account (See Schedule "A-1") (PO Box 2209, Omaha, NE 68103)	709,925.00	
Personal Residence (address redacted pursuant to Sec. 119.071(4)(d), F.S.)	975,000.00	
State of Florida Deffered Compensation (T. Rowe Price, Retire 2025 TR F)	6,035.00	
Residential Property [redacted]	1,000,000.00	
Lithia Properties- Stock (20% Owner) (PO Box 1592, Brandon, FL 33509)	193,980.00	
TAL Holdings, LLC (PO Box 2743, Brandon, FL 33509)	1,990,000.00	
Sabal Homes of FL, Inc- Stock (915 Oakfield Dr., Brandon, FL 33511)	300,000.00	
Bank of Tampa (1000 sh @ $109.35)	109,350.00	
Ameritrade IRA (See Schedule "A-1")	201,575.00	
Household Goods & Personal Effects	70,000.00	
TOTAL ASSETS		**$ 5,720,875.00**

LIABILITIES:

Personal Residence (address redacted pursuant to Sec 119.07 (4)(d), F.S.) Suntrust Mortgage P.O. Box 26149 Richmond, VA 23260	$ 647,250.00	
Note Payable (Ann Lee, 915 Oakfield Dr., Suite H, Brandon, FL 33511)	202,890.00	
TOTAL LIABILITIES		**$ 850,140.00**
NET WORTH		**$ 4,870,735.00**
TOTAL LIABILITIES AND NET WORTH		**$ 5,720,875.00**

Schedule "A-1"

TOM LEE

as of March 31, 2019

Holdings by Account	Units	Unit Price	Market Value
TD Ameritrade:			
Apple	1500	189.9500	284,925.00
Bank of America	5000	27.5900	137,950.00
Facebook	500	166.6900	83,345.00
Genreal Electric	2000	9.9900	19,980.00
Nokia	3000	5.7200	17,160.00
Nutrien	1000	52.7600	52,760.00
Qualcom	500	57.0300	28,515.00
Wabtec Corp	10	73.7200	737.20
Cash			84,552.80
TOTAL			**$ 709,925.00**
TD Ameritrade- IRA:			
Bank of America	2000	27.5900	55,180.00
BAC Jan 17 2020 27.0 Put	-1000	2.0872	(2,087.20)
General Electric	3000	9.9900	29,970.00
Intel	200	53.7000	10,740.00
Nokia OJY	2000	5.7200	11,440.00
NOK Oct 18 2019 6.0 Put	-2000	0.6863	(1,372.60)
Nutrien	100	52.7600	5,276.00
JC Penny Company.	200	1.4900	298.00
Twitter	100	32.8800	3,288.00
Wabtec Corp	16	73.7200	1,179.52
Cash			87,663.28
TOTAL			**$ 201,575.00**

Schedule "B"

TOM LEE

Calendar Year 2018

SOURCES:		AMOUNT
Sabal Homes of FL, Inc. (P.O. Box 951 Brandon, FL 33509)		$ 56,250.00
State of Florida, (200 E. Gaines St. Tallahassee, FL 32399-0356)		29,695.00
Bank of Tampa- Advisory Fees (P.O. Box One, Tampa, FL 33601)		4,500.00
Lithia Properties, Inc. (P.O. Box 1592 Brandon, FL 33509)		13,530.00
TAL Holdings, LLC (P.O. Box 2743 Brandon, FL 33509)		310,065.00
Common Stock & Derivatives:		
Apple	9,905.00	
Bank of America	63,710.00	
BAC Jan 19 2018 24.0 Put	3,385.00	
BAC Jan 19 2018 25.0 Put	10,480.00	
BB Jan 19 2018 10.0 Put	1,440.00	
BB Jan 19 2018 12.0 Put	1,340.00	
Citigroup	7,830.00	
GE Sept 21 2018 14.0 Call	2,615.00	
Intel	4,970.00	
NTR Jun 15 2018, 45.0 Put	15,990.00	
NTR Jan 19 2018, 15.0 Put	1,485.00	
NTR Jan 19 2018, 20.0 Put	7,985.00	
WFC Jan 18 2019, 55.0 Put	3,030.00	134,165.00
Dividends and Interest:		
Apple	4,295.00	
Bank of America	2,700.00	
Bank of Tampa	1,490.00	
Citigroup	130.00	
General Electric	1,800.00	
Intel	150.00	
Nokia Corp.	670.00	
Nutrien	1,055.00	12,290.00
TOTAL INCOME		**$ 560,495.00**

Schedule "C"
TOM LEE
Calendar Year 2018

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCE OF INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE
Lithia Properties, Inc.	Sellmer Meetings & Incentives	2228 Lithia Center Lane Valrico, FL 33596	Employee Incenives & Promtions
Lithia Properties, Inc.	House Movers, Inc. A/K/A Signature Realty Associates	2234 Lithia Center Lane Valrico, FL 33596	Real Estate Brokerage
Lithia Properties, Inc.	Ronald S. Haynes, P.A.	2240 Lithia Center Lane Valrico, FL 33596	Attorney
Lithia Properties, Inc.	Fidelity National Title	2222 Lithia Center Lane Valrico, FL 33596	Title Inurance Closing Agent
TAL Holdings, LLC	RCL Ventures	P.O. Box 2743 Brandon, FL 33509	Real Estate & Capital Investments