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FLORIDA
COMMISSION ON ETHICS

JUN 22 2017

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Berman, Lori

*****AUTO**MIXED AADC 323 T6 P1 171 1037

Hon Lori Berman
State Representative
House Of Representatives
Elected Constitutional Officer
2945 Windswept Dr Apt 105
Lantana, FL 33462-2474

PROCESSED

CHECK IF THIS IS A FILING BY A CANDIDATE ☐

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2016 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]My net worth as of June 1, 20 17 was \$ 2,231,772.

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is \$ 150,000

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)	VALUE OF ASSET
<u>See Attached Part A assets</u>	<u>2,798,314</u>

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF \$1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY
<u>Comerica Bank, One South Federal Highway, Boca Raton FL 33432</u>	<u>\$358,551</u>
<u>Caliber Home Loans, Inc., P.O. Box 619063 Dallas, TX 75261</u>	<u>\$346,551</u>
<u>Lexus Financial Services, P.O. Box 5855, Carol Stream, IL 60197</u>	<u>\$11,440</u>

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR	AMOUNT OF LIABILITY

PART D -- INCOME

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2016 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

- ☐ I elect to file a copy of my 2016 federal income tax return and all W2's, schedules, and attachments.
 [If you check this box and attach a copy of your 2016 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING \$1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
See attached Part D Income		97,547

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY			
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

For officers required to complete annual ethics training pursuant to section 112.3142, F.S.

☐ I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

OATH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

Ari Behm

SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE

STATE OF FLORIDA
COUNTY OF Leon, Beach

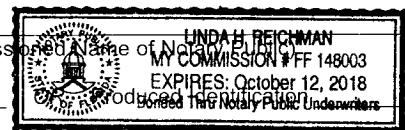
Sworn to (or affirmed) and subscribed before me this 19th day of

June, 20 17 by Karen B. Berger
[Signature]
 (Signature of Notary Public--State of Florida)

(Print, Type, or Stamp Commissioned Name of Notary Public)

Personally Known ☒

Type of Identification Produced



N/A

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Signature

Date

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☐

Lori Berman

Form 6, Part B Assets, Page 1

Single Family Home, 2365 NW 46 th Street, Boca Raton	\$1,500,000
Townhome, 3657 NW 5 th Terrace, Boca Raton	\$350,000
Bank of America Account	\$15,535
Comerica Bank	\$6104
Thor 519 Fulton Street Member, LLC	\$91,000
Supply Clinic, Inc., Preferred Stock, Chicago	\$25,000
Body Details, LLC, Miami, FL	\$75,000
Closely held business, Comprehensive Closure Concepts, Inc.	\$1000 (1)
Closely held business, GBL, LLC	\$-50,000 (2)
Trust, Ganeles Irrevocable Trust Agreement	\$184,420 (3)
Nonresidential Rental, Royal Palm Recreation Center, Margate	\$100,000
Wells Fargo Accounts , portfolio attached	\$500,255

(1) Determined based on book value

(2) Based on market value of sole asset (condo 110 Carriage Way, Snowmass, Colorado) minus mortgage

(3) Determined based on cash surrender value of sole asset (insurance policy) owned by trust

Account ending in [REDACTED]:

Cash	\$1051
Mutual Funds:	
Nuveen Preferred	\$7597
Preferred Fix Rate Cap Securities:	
Ebay 6% PFD Sr note due 2/1/56	\$5511
Goldman Sachs 6.3% PFD Perp Mty	\$6233
Total:	\$20,392

Account ending in [REDACTED]:

Cash	\$184
Stocks and EFT:	
Berkshire Hathaway BRK/B	\$9090
Deutsche X Trackers ET BBJP	\$3867
Dish Network Corp Class A DISH	\$4782
Disney Walt Company DIS	\$8095
Proshares S&P 500 ET NOBL	\$7184
SPDR Gold Trust ET GLD	\$4221
SPDR S&P Oil & Gas ET XOP	\$6514
SPDR S&P Regnl Bnkg ET KRE	\$5183
Vanguard REIT ET VNQ	\$4931
Mutual Funds:	
Delaware Healthcare FD DLHIX	\$8741
Putnam FDS TR PVSIX	\$15,025
Total:	\$ 77,817

Account ending in [REDACTED]:

Cash	\$951
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Account ending in [REDACTED]:

Cash	\$.02
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Account ending in [REDACTED]:

Cash	\$336
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Account ending in [REDACTED]:

Cash	\$1476
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Stocks & EFTs:

AFLAC, Inc. AFL	\$9422
Amazon Com Inc AMZN	\$7957
Apple Inc AAPL	\$15,276
Berkshire Hathaway Inc BRK/B	\$41,320
Danaher Corp DHR	\$7645
Deutsche X Trackers ET DBJP	\$7734
Dish Network Corp DISH	\$31,885
Exxon Mobile Corp XOM	\$16,100
Facebook Inc FB	\$7573
Fortive Corp FTV	\$1811
Intel Corp INTC	\$3611
IShares ET Basic Materials IYM	\$7070
IShares ET Industrials IYJ	\$7826
IShares ET Aerospace ITA	\$7890
IShares ET Small Cap IJR	\$13,666
Johnson & Johnson JNJ	\$6413
Phillips 66 PSX	\$15,222
Proshares S&P 500 ET NOBL	\$28,735
Select Sector Spdr ET XLF	\$4650
Select Secort Spd FD XLV	\$13,314
Spdr Gold Trust ED GLD	\$16,284
Spdr S&P Oil & Gas ET XOP	\$22,799
Spdr S&P Regnl Bnkg ET KRE	\$23,324
Under Armour Inc UAA	\$11,496
United Technologies Corp UTX	\$6428

Mutual Funds:

John Hancock Funds JRBFX	\$7403
Oppenheimer Senior OOSYX	\$15,212
Putnam FDS TR PVSYX	\$35,247
Calamos Dynamic	\$5970
Total	\$400,759

Lori Berman
Disclosure of Income
2016

*LORI Berman, Form 6
 Part D, INCOME*

Primary Sources of Income:

Name of Source of Income Exceeding \$1,000		Ownership	Address of Source of Income	Amount	
State of Florida - Wages		Lori	State of Florida Jeff Atwater, Chief Financial Officer 200 E. Gaines Street Tallahassee, FL 32399-0356	\$26,646	
Comprehensive Closure Concepts - Wages		Lori	Comprehensive Closure Concepts 2365 NW 46th Street Boca Raton, FL 33431	\$25,988	
Wells Fargo Brokerage Accounts - Interest Income		Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	Did not exceed \$1,000	
Wells Fargo Brokerage Accounts - Dividends/Capital Gain Distributions		Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	\$7,103	
Wells Fargo Brokerage Accounts - Short Term/Long Term Capital Gains (Losses)		Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	Overall Net Loss	
Residential Rental		Joint	3657 NW 5th Terrace Boca Raton, FL 33431	\$27,900 Gross Rents Overall Net Loss	
Pass-through Income from Partnership		Lori	Recreation Lease Joint Venture 3822 N. 41st Avenue Hollywood, FL 33021	\$7,475	
Pass-through Income from Partnership		Joint	OZDPI Access, LLC c/o Merrill Lynch All Inv LLC 250 Vesey Street, 11th Floor New York, NY 10080	Distributive Share not expected to exceed \$1,000	Estimate K-1 not yet issued.
Pass-through Income from Partnership		Joint	Plains All American Pipeline, LP 333 Clay St. Suite 1600 Houston, TX 77002	Distributive Share did not exceed \$1,000	
Pass-through Income from Partnership		Joint	Thor 519 Fulton Street Member 25 West 39th Street, 11th Fl. New York, NY 10018	Distributive Share not expected to exceed \$1,000	Estimate K-1 not yet issued.
Pass-through Income from Partnership		Joint	Body Details LLP 170 NE 2nd Street, Unit 1469 Boca Raton, FL 33462 New York, NY 10080	Distributive Share did not exceed \$1,000	
Pass-through Income from S Corp		Lori	Comprehensive Closure Concepts 2365 NW 46th Street Boca Raton, FL 33431	\$2,435	
Pass-through Income from S Corp		Lori	Dentalimplan, Inc. 2365 NW 46th Street Boca Raton, FL 33431	Distributive Share did not exceed \$1,000	