

FOR OFFICE USE ONLY:
FLORIDA
COMMISSION ON ETHICS

JUN 16 2016

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Hon Lori Berman
State Representative
House Of Representatives
Elected Constitutional Officer
Apt 105
2945 Windswept Dr
Lantana FL 33462-2474

PROCESSED

ID Code



ID No. 234991

Conf. Code

Berman, Lori

CHECK IF THIS IS A FILING BY A CANDIDATE ☐

PART A -- NET WORTH

Please enter the value of your net worth as of December 31, 2015 or a more current date. [Note: Net worth is not calculated by subtracting your *reported* liabilities from your *reported* assets, so please see the instructions on page 3.]My net worth as of June 1, 20 16 was \$ 2,180,610.20.

PART B -- ASSETS

HOUSEHOLD GOODS AND PERSONAL EFFECTS:

Household goods and personal effects may be reported in a lump sum if their aggregate value exceeds \$1,000. This category includes any of the following, if not held for investment purposes: jewelry; collections of stamps, guns, and numismatic items; art objects; household equipment and furnishings; clothing; other household items; and vehicles for personal use, whether owned or leased.

The aggregate value of my household goods and personal effects (described above) is \$ 150,000.

ASSETS INDIVIDUALLY VALUED AT OVER \$1,000:

DESCRIPTION OF ASSET (specific description is required - see instructions p.4)

VALUE OF ASSET

See Attached Part A assets2,746,129.20

PART C -- LIABILITIES

LIABILITIES IN EXCESS OF \$1,000 (See instructions on page 4):

NAME AND ADDRESS OF CREDITOR

AMOUNT OF LIABILITY

Comerica Bank, One South Federal Highway, Boca Raton, FL 33432278,161Caliber Home Loans, Inc, PO Box 619063 Dallas, TX 75261358,854Nationstar Mortgage, 8450 Cypress Blvd, Coppell, TX 7501922,236Wells Fargo, 5355 Town Center Road, Suite 600, Boca Raton FL 3348656,268

JOINT AND SEVERAL LIABILITIES NOT REPORTED ABOVE:

NAME AND ADDRESS OF CREDITOR

AMOUNT OF LIABILITY

PART D -- INCOME

Identify each separate source and amount of income which exceeded \$1,000 during the year, including secondary sources of income. Or attach a complete copy of your 2015 federal income tax return, including all W2s, schedules, and attachments. Please redact any social security or account numbers before attaching your returns, as the law requires these documents be posted to the Commission's website.

- ☐ I elect to file a copy of my 2015 federal income tax return and all W2's, schedules, and attachments.
 [If you check this box and attach a copy of your 2015 tax return, you need not complete the remainder of Part D.]

PRIMARY SOURCES OF INCOME (See instructions on page 5):

NAME OF SOURCE OF INCOME EXCEEDING \$1,000	ADDRESS OF SOURCE OF INCOME	AMOUNT
See Attached Part D Income.		134,301

SECONDARY SOURCES OF INCOME [Major customers, clients, etc., of businesses owned by reporting person--see instructions on page 5]:

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART E -- INTERESTS IN SPECIFIED BUSINESSES [Instructions on page 6]

	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2	BUSINESS ENTITY # 3
NAME OF BUSINESS ENTITY			
ADDRESS OF BUSINESS ENTITY			
PRINCIPAL BUSINESS ACTIVITY			
POSITION HELD WITH ENTITY			
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS			
NATURE OF MY OWNERSHIP INTEREST			

PART F - TRAINING

For officers required to complete annual ethics training pursuant to section 112.3142, F.S.

☒ I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

OATH

STATE OF FLORIDA
COUNTY OF

PALM BEACH

I, the person whose name appears at the beginning of this form, do depose on oath or affirmation and say that the information disclosed on this form and any attachments hereto is true, accurate, and complete.

Sworn to (or affirmed) and subscribed before me this 15th day of

June, 20 16 by Lois Berman

[Signature]

(Signature of Notary Public--State of Florida)



LINDA H. REICHMAN
MY COMMISSION # FF 148003
EXPIRES: October 12, 2018
Bonded Thru Notary Public Under Part 11

(Type, or Stamp Commissioned Name of Notary Public)

Lois Berman

SIGNATURE OF REPORTING OFFICIAL OR CANDIDATE

Personally Known N/A OR Produced Identification _____

Type of Identification Produced N/A

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 6 in accordance with Art. II, Sec. 8, Florida Constitution, Section 112.3144, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

Signature

Date

Preparation of this form by a CPA or attorney does not relieve the filer of the responsibility to sign the form under oath.

IF ANY OF PARTS A THROUGH E ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE ☒

Lori Berman

Form 6, Part B Assets, Page 1

Single Family Home, 2365 NW 46 th Street, Boca Raton	\$1,455,000
Townhome, 3657 NW 5 th Terrace, Boca Raton	\$325,000
Bank of America Account	\$15,991
Comerica Bank	\$7,978
Alternative Investment, OZPD11 Access LLC	\$113,134
Thor 519 Fulton Street Member, LLC	\$91,000
Body Details, LLC, Miami, FL	\$75,000
Closely held business, Comprehensive Closure Concepts, Inc.	\$1000 (1)
Closely held business, Dentalimplan, Inc.	\$1,000 (2)
Closely held business, GBL LLC	\$-50,000 (3)
Trust, Ganeles Irrevocable Trust Agreement	\$163,658 (4)
Nonresidential Rental, Royal Palm Recreation Center, Margate	\$100,000
Wells Fargo Accounts , portfolio attached	\$447,368.25.

(1) Determined based on book value

(2) Determined based on book value

(3) Based on market value of sole asset (condo 110 Carriage Way, Snowmass, Colorado) minus mortgage

(4) Determined based on cash surrender value of sole asset (insurance policy) owned by trust

Account Number ending in 5680:

Cash	\$1726.22
Preferred/ Fixed Rate Cap Securities	
EBay 6% PFD SR. note Due 2/1/56	\$5198
Goldman Sachs 6.3% PFD PERP Mty Non cum Ser	\$5964.75
Total:	\$11, 162.75

Account Number ending in 6492:

Cash	\$417.67
Stocks and ETFs	
Berkshire Hathaway Inc BRK/B	\$12648.60
Deutsche X Trackers ET DBJP	\$6806
Dish Network Corp. Class A DISH	\$6237.50
Disney Walt Company DIS	\$7441.50
SPDR S&P Oil & Gas ET XOP	\$5328
WisdomTree Trust ET HEDJ	\$10, 716
Total:	\$49,177.60
Mutual Funds	
Delaware Healthcare FD DLHIX	\$8192.91
Putnam FDS TR PVSIX	\$12,659.26
Total:	\$20,852.17

Account Number ending in 4177:

Cash	\$950.69
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Account Number ending in 1212:

Cash	\$.02
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Account Number ending in 1270:

Cash	\$38,328.77
Stocks and EFTs	
Apple Inc AAPL	\$9,986
Berkshire Hathaway Inc BRK/B	\$49,189
Danaher Corp DHR	\$5,704.88
Deutsche X Trackers ET DBJP	\$13,612

Dish Network Corp	DISH	\$14,970
Disney Walt Company	DIS	\$19,844
Exxon Mobil Corp	XOM	\$17,804
First Trust ET	FBT	\$11,936.25
Intel Corp	INTC	\$3,159
Intercept Pharma Inc	ICPT	\$7,418
SPDR S&P Oil & Gas ET	XOP	\$21,312
Tesla Motors	TSLA	\$23,267
WisdomTree Trust ET	HEDJ	\$24,111
Total		\$221,369.13

Mutual Funds

Delaware Healthcare FD	DLHIX	\$23,722.05
Henderson Global FDS	HFEIX	\$10,901.75
Prudential Inv't PRT 10	JDEZX	\$13,713.32
Putnam FDS Tr	PVSYX	\$29,698.22
Transamerica Funds	TIMUX	\$15,239.28
Total		\$93,274.62

Account Number Ending in 0786:

Cash **\$185.61**

Preferred/ Fixed rate cap.secs

Bank of Amer Corp PFD **\$9,923**

Lori Berman
Disclosure of Income
2015

Primary Sources of Income:

Name of Source of Income Exceeding \$1,000	Ownership	Address of Source of Income	Amount
State of Florida - Wages	Lori	State of Florida Jeff Atwater, Chief Financial Officer 200 E. Gaines Street Tallahassee, FL 32399-0356	\$26,646
Comprehensive Closure Concepts - Wages	Lori	Comprehensive Closure Concepts 2365 NW 46th Street Boca Raton, FL 33431	\$50,000
Wells Fargo Brokerage Accounts - Interest Income	Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	Did not exceed \$1,000
Wells Fargo Brokerage Accounts - Dividends/Capital Gain Distributions	Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	\$11,743
Wells Fargo Brokerage Accounts - Short Term/Long Term Capital Gains (Losses)	Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	Overall Net Loss
Wells Fargo Brokerage Accounts - Ordinary Gain (Sale of Partnership Interests)	Joint	First Clearing, LLC 2801 Market Street St. Louis, MO 63103	Did not exceed \$1,000
Residential Rental	Joint	3657 NW 5th Terrace Boca Raton, FL 33431	\$27,600 Gross Rents Overall Net Loss
Pass-through Income from Partnership	Lori	Recreation Lease Joint Venture 3822 N. 41st avenue Hollywood, FL 33021	\$7,914
Pass-through Income from Partnership	Joint	OZDPI Access, LLC c/o Merrill Lynch Adv Inv LLC 250 Vessey Street, 11th Floor New York, NY 10080	\$8,073 Estimate K-1 not yet issued.
Pass-through Income from Partnership	Joint	Plains All American Pipeline, LP 333 Clay St., Suite 1600 Houston, TX 77002	Distributive Share did not exceed \$1,000
Pass-through Income from Partnership	Joint	Edlington Financial LLC 53 Forest Avenue Old Greenwich, CT 06870	Distributive Share did not exceed \$1,000
Pass-through Income from Partnership	Joint	Thor 519 Fulton Street Member 25 West 39th Street, 11th Fl. New York, NY 10018	Distributive Share not expected to exceed \$1,000
Pass-through Income from Partnership	Joint	Body Details LLP 170 NE 2nd Street, Unit 1469 Boca Raton, FL 33462 New York, NY 10080	Distributive Share not expected to exceed \$1,000
Pass-through Income from S Corp	Lori	Comprehensive Closure Concepts 2365 NW 46th Street Boca Raton, FL 33431	\$2,325
Pass-through Income from S Corp	Lori	Dentalimplan, Inc. 2965 NW 46th Street Boca Raton, FL 33431	Distributive Share did not exceed \$1,000

Lori Berman, Form 6
Part D Income